

**PREPARATION AND CHARACTERIZATION OF COMPLETELY  
BIODEGRADABLE POLYMER-TITANIA NANOCOMPOSITES**

by

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## **Declaration**

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I hereby declare that the thesis submitted by me for the degree Philosophiae Doctor at the University of the Free State is my own independent work, and has not previously been submitted by me at any other university/faculty. I furthermore cede copyright of the thesis in favour of the University of the Free State.

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Ms. J.P. Mofokeng

## **Dedication**

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I would like to dedicate this thesis to my mother Mmakeletso Mofokeng, the woman of strength who made it her mission to see that I become the best in life. If it was not because of your unconditional love, encouragements and directions, Mother, I would not have achieved this great milestone, I crown you my Dr.

I would also like to dedicated this thesis to my beloved late grandmother Mmamokgae Mokoena moradi wa Tibisa, Lekgwakgwa laha Sidimo Nthole, may your soul continue to rest in eternal peace, you will always be in my heart.

## Abstract

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PLA/PHBV, PLA/PCL and PHBV/PCL blends were prepared through melt-mixing in the absence and presence of small amounts of titania ( $\text{TiO}_2$ ) nanoparticles. The effect of blending and the presence of nanoparticles on the morphology, thermal degradation behaviour and kinetics, and the dynamic mechanical properties of the different blends and nanocomposites was investigated. The dispersion and distribution of the  $\text{TiO}_2$  nanoparticles in the blends was studied using scanning electron microscopy (SEM) and transmission electron microscopy (TEM), and contact angle measurements were used to assist in the explanation of the nanoparticle dispersion in the different blends. The thermal stabilities and degradation kinetics of the different samples were investigated using thermogravimetric analysis (TGA), and the Flynn-Wall-Ozawa method was used to estimate the activation energies of degradation. Fourier transform infrared (FTIR) spectroscopy connected to the TGA was used to evaluate the nature of volatile degradation products and the time taken for these products to be released from the sample. The storage and loss moduli, as well as the mechanical damping, of the blends and nanocomposites were investigated using dynamic mechanical analysis (DMA), and these results were related to the effect of blending and the presence of nanoparticles on the glass transition temperature, miscibility, and compatibility of the polymers in the different samples.

All three polymer pairs were immiscible and showed a co-continuous structure for the 50/50 w/w blend compositions. In the PLA/PHBV system the nanoparticles were well dispersed in the PLA phase and on the interface between the two polymers, with a few large agglomerates in the PHBV phase. The nanoparticles were found to be equally dispersed in both polymer phases of PLA/PCL and PHBV/PCL, but some agglomerates were also observed. These observations were explained through differences in the surface energies, interfacial tensions, molecular weights, viscosities, and crystallinities.

For the PLA/PHBV blends the thermal stability of PHBV was improved through blending with PLA, while that of PLA was reduced due to the low thermally stable PHBV. The presence of  $\text{TiO}_2$  nanoparticles improved the thermal stability of both polymers in the blends. The degradation kinetics results showed changes in the activation energy of degradation that could have been brought about by the nanoparticles catalysing the degradation process and/or retarding the volatilization of the degradation products, depending on their localization and their interaction

with the polymer in question. Blending of PLA and PCL reduced the thermal stabilities of both polymers, which was attributed to the incompatibility of the polymers. The presence of TiO<sub>2</sub> nanoparticles in these blends improved the polymers' thermal stabilities. This was also explained in terms of the catalysis and immobilization effects of the nanoparticles. The thermal stability of PHBV was improved when blended with the more thermally stable PCL, but the thermal stability of PCL decreased. The introduction of only 1 wt% of TiO<sub>2</sub> nanoparticles observably improved the thermal stabilities of both polymers in the blend, but it is quite possible that the nanoparticles only retarded the evolution of the degradation products through their interaction with these products.

The storage modulus of the PLA/PHBV blends was higher than those of both PLA and PHBV in the temperature region below the glass transition of PHBV, but the PLA/PCL and PHBV/PCL blends did not show a similar feature. The E' values between the glass transitions of PLA and PHBV depended on the blend compositions and morphologies. The presence of titania nanoparticles had little effect on the E' values of all the investigated blends. The cold crystallization transition of PLA shifted to lower temperatures in the PLA/PHBV blends, and shifts in the T<sub>g</sub>s of the two polymers indicated partial miscibility at the polymer-polymer interfaces. This partial miscibility reduced the chain mobilities of these polymers, which could be seen in a reduction in the damping during their respective glass transitions. Blending and nanoparticle addition had little influence on the glass transition temperatures of PLA and PCL, but the glass transitions of PHBV and PCL in the PHBV/PCL blends were respectively at higher and lower temperatures than those of the neat polymers, which is a somewhat abnormal observation. The PCL glass transition peaks became broader as a result of blending, and this was attributed to incompatibility between the polymers, because blending had no influence on the PCL crystallinity.

## Conference presentations

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1. J.P. Mofokeng, A.S. Luyt. Preparation and characterisation of biodegradable PLA/PHBV, PLA/PCL and PHBV/PCL polymer blends and their nanocomposites with TiO<sub>2</sub> as filler. 12<sup>th</sup> Annual UNESCO/IUPAC Workshop and Conference on Macromolecules & Materials, 25-28 March 2013, Stellenbosch, South Africa (oral presentation).
2. J.P. Mofokeng, A.S. Luyt. Preparation and characterisation of biodegradable PLA/PHBV, PLA/PCL and PHBV/PCL polymer blends and their nanocomposites with TiO<sub>2</sub> as filler. BiPoCo 2014, 2<sup>nd</sup> International Conference on Bio-based Polymers and Composites, 24-28 August 2014, Visegrád, Hungary (oral presentation).

## Table of contents

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Contents	Page
Declaration	i
Dedication	ii
Abstract	iii
Conference presentations	v
Table of contents	vi
List of tables	ix
List of figures	x
List of symbols and abbreviations	xv
<b>Chapter 1: General introduction</b>	<b>1</b>
1.1 Objectives of the study	6
1.2 Structure of the thesis.	7
1.3 References	7
<b>Chapter 2: Morphology and thermal degradation studies of melt-mixed PLA/PHBV biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler</b>	<b>14</b>
2.1 Introduction	15
2.2 Experimental	18
2.2.1 Materials	18
2.2.2 Preparation method	18
2.2.3 Characterization	19
2.3. Results and discussion	22
2.3.1 Molar mass analysis by SEC	22
2.3.2 Morphology	22
2.3.3 Thermogravimetric analysis (TGA)	27
2.3.4 Degradation kinetics	31
2.4 Conclusions	38

2.5	References	38
-----	------------	----

### **Chapter 3: Morphology and thermal degradation studies of melt-mixed PLA/PCL**

#### **biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler** **45**

3.1	Introduction	46
3.2	Experimental	49
3.2.1	Materials	49
3.2.2	Blend and nanocomposite preparation	49
3.2.3	Characterization	50
3.3.	Results and discussion	52
3.3.1	Morphology	52
3.3.2	Thermogravimetric analysis (TGA)	55
3.3.3	Degradation kinetics	58
3.4	Conclusions	64
3.5	References	64

### **Chapter 4: Morphology and thermal degradation studies of melt-mixed PHBV/PCL**

#### **biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler** **72**

4.1	Introduction	73
4.2	Experimental	75
4.2.1	Materials	75
4.2.2	Preparation of blends and nanocomposites	75
4.2.3	Characterization	75
4.3.	Results and discussion	78
4.3.1	Morphology investigation	78
4.3.2	Thermogravimetric analysis (TGA)	80
4.3.3	Degradation kinetics	84
4.4	Conclusions	90
4.5	References	91



<b>Chapter 5: Dynamic mechanical properties of PLA/PHBV, PLA/PCL, PHBV/PCL blends and their nanocomposites with TiO<sub>2</sub> as nanofiller</b>	<b>98</b>
5.1 Introduction	99
5.2 Experimental	101
5.2.1 Materials	101
5.2.2 Sample preparation	102
5.2.3 Characterization	102
5.3. Results and discussion	103
5.3.1 DMA analysis of PLA/PHBV blends and their nanocomposites with 1, 3, and 5wt% TiO <sub>2</sub> nanoparticles	103
5.3.2 DMA analysis of PLA/PCL blends and their nanocomposites with 1, 3, and 5 wt% TiO <sub>2</sub> nanoparticles	108
5.3.3 DMA analysis of PHBV/PCL blends and their nanocomposites with 1, 3, and 5 wt% TiO <sub>2</sub> nanoparticles	113
5.5 Conclusions	118
5.4 References	119
 <b>Chapter 6: Conclusions</b>	 <b>125</b>
 <b>Acknowledgements</b>	 <b>127</b>
 <b>Appendix</b>	 <b>129</b>

## List of tables

---

	Page
Table 2.1 Summary of molar masses, melt flow index and surface properties of PLA, PHBV and titania	22
Table 2.2 Interfacial tensions and wetting coefficient of the investigated materials	26
Table 3.1 Contact angles of PLA, PCL and TiO <sub>2</sub> . The TiO <sub>2</sub> contact angle was taken from the literature [37]	54
Table 3.2 Interfacial tension values calculated using the geometric-mean equation (Equation 1)	54
Table 3.3 Molar masses, dispersity index and melt flow index of PLA and PCL	56
Table 3.4 Derivative TGA peak temperatures of all the investigated samples	56
Table 4.1 Summary of molar masses, degrees of crystallinity, and melt flow index values of PHBV and PCL	80
Table 4.2 Contact angles of PLA, PCL and TiO <sub>2</sub> . The TiO <sub>2</sub> contact angle was found in the literature [29]	80
Table 4.3 Interfacial tensions calculated using the geometric-mean equation (Equation 2)	82
Table 4.4 Derivative TGA peak temperatures of all the investigated samples	82
Table 5.1 DMA storage modulus and glass transition temperatures of all investigated samples in PLA/PHBV system	107
Table 5.2 Data obtained from the DMA storage modulus, loss modulus and tan $\delta$ curves for the different PLA/PCL samples	109
Table 5.3 DMA storage modulus and glass transition temperatures of all investigated samples in PLA/PCL system	112
Table 5.4 Data from the DMA loss modulus and loss tangent curves of all the investigated PHBV/PCL samples	116
Table 5.5 DMA storage modulus and glass transition temperatures of all investigated samples in PHBV/PCL system	117

## List of figures

---

	Page
Figure 1.1 Chemical structures of PLA, PCL, PHB, PHV and PHBV	3
Figure 2.1 SEM micrographs of 50/50 w/w PLA/PHBV with 5wt% TiO <sub>2</sub> at (a) 1000x and (b) 3000x magnifications	23
Figure 2.2 TEM micrographs of 50/50 w/w PLA/PHBV with 5 wt% TiO <sub>2</sub> at (a) 5700× and (b) 19000× magnifications	24
Figure 2.3 TEM-EDS micrographs of 50/50 w/w PLA/PHBV with 5 wt% of TiO <sub>2</sub> to illustrate the localization of nanoparticles in the different phases of the blend, showing (a) the morphology, (b) positions for the elemental analyses, and (c) a detailed view of the filler particle distribution	25
Figure 2.4 TGA curves of 30/70 w/w PLA/PHBV with different amounts of TiO <sub>2</sub>	28
Figure 2.5 TGA curves of 50/50 w/w PLA/PHBV with different amounts of TiO <sub>2</sub>	28
Figure 2.6 TGA curves of 70/30 w/w PLA/PHBV with different amounts of TiO <sub>2</sub>	29
Figure 2.7 Effect of blending and filler addition on the temperature at 50% mass loss of PHBV in the PLA/PHBV blends and nanocomposites	30
Figure 2.8 Effect of blending and filler addition on the temperature at 50% mass loss of PLA in the PLA/PHBV blends and nanocomposites	31
Figure 2.9 Activation energy vs. extent of degradation for PLA and PLA in the 97/3 w/w PLA/TiO <sub>2</sub> nanocomposite	32
Figure 2.10 TGA curves of neat PLA and PLA in the 97/3 w/w PLA/TiO <sub>2</sub> nanocomposite	33
Figure 2.11 FTIR spectra of the degradation products of neat PLA and PLA in 97/3 w/w PLA/TiO <sub>2</sub>	33
Figure 2.12 Activation energy vs. extent of degradation for PHBV and PHBV in the 97/3 w/w PHBV/TiO <sub>2</sub> nanocomposite	34
Figure 2.13 TGA curves of neat PHBV and PHBV in the 97/3 w/w PHBV/TiO <sub>2</sub> nanocomposite	35

Figure 2.14	FTIR spectra of the degradation products of neat PHBV and PHBV in 97/3 w/w PHBV/TiO <sub>2</sub>	35
Figure 2.15	Activation energy vs. extent of degradation for a 50/50 w/w PLA/PHBV blend and its nanocomposite with 3 wt% TiO <sub>2</sub>	36
Figure 2.16	FTIR spectra of the degradation products of PHBV and PLA in 50/50 w/w PLA/PHBV and its nanocomposite with 3 wt% TiO <sub>2</sub>	37
Figure 3.1	SEM pictures of the (a) 50/50 w/w PLA/PCL blend, and (b) 50/50 w/w PLA/PCL nanocomposite with 5wt% TiO <sub>2</sub> , both at 1000× magnification	52
Figure 3.2	TEM pictures of 50/50 w/w PLA/PCL with 5 wt% TiO <sub>2</sub> : (a) unstained, and (b) uranyl acetate stained, both at 5700× magnification	53
Figure 3.3	TGA curves of (a) 70/30, (b) 50/50, and (c) 30/70 w/w of PLA/PCL with 1, 3 and 5 wt% of TiO <sub>2</sub>	55
Figure 3.4	Effect of blending and filler addition on the temperature at 50% mass loss of PLA in the PLA/PCL blends and nanocomposites	58
Figure 3.5	Effect of blending and filler addition on the temperature at 50% mass loss of PCL in the PLA/PCL blends and nanocomposites	58
Figure 3.6	Activation energy vs. extent of degradation for PCL, PLA, 97/3 w/w PCL/TiO <sub>2</sub> and 97/3 w/w PLA/TiO <sub>2</sub>	60
Figure 3.7	FTIR spectra of the degradation products of (a) neat PLA and 97/3 w/w PLA/TiO <sub>2</sub> , (b) neat PCL and 97/3 w/w PCL/TiO <sub>2</sub>	61
Figure 3.8	Activation energy vs. extent of degradation curves for 50/50 w/w PLA/PCL without and with 3 wt% TiO <sub>2</sub>	62
Figure 3.9	FTIR spectra of the degradation products PLA and PCL in 50/50 w/w PLA/PCL and its nanocomposites with 3 wt%	63
Figure 4.1	SEM micrographs of 50/50 w/w PHBV/PCL ratios with 5wt% TiO <sub>2</sub> : (a) 1000x and(b) 3000x magnification	78
Figure 4.2	TEM pictures of (a) unstained and (b) uranyl acetate stained 50/50 w/w PHBV/PCL with 5 wt% TiO <sub>2</sub> both at 19000× magnification	79
Figure 4.3	TGA curves of (a) 70/30 w/w, (b) 50/50 w/w, and (c) 30/70 w/w PHBV/PCL with 1, 3, and 5 wt% of TiO <sub>2</sub>	81

Figure 4.4	Effect of blending and filler addition on the temperature at 50% mass loss of PHBV in the PHBV/PCL blends and nanocomposites	83
Figure 4.5	Effect of blending and filler addition on the temperature at 50% mass loss of PCL in the PHBV/PCL blends and nanocomposites	84
Figure 4.6	Activation energy vs. conversion of PHBV, PCL, 97/3 w/w PHBV/TiO <sub>2</sub> and 97/3 w/w PCL/TiO <sub>2</sub>	85
Figure 4.7	FTIR spectra of the degradation products of (a) neat PHBV and 97/3 w/w PLA/TiO <sub>2</sub> , and (b) neat PCL and 97/3 w/w PCL/TiO <sub>2</sub>	87
Figure 4.8	Activation energy vs. conversion of 50/50 w/w PHBV/PCL with 3wt% TiO <sub>2</sub>	88
Figure 4.9	FTIR spectra of the degradation products of PHBV and PCL in 50/50 w/w PHBV/PCL and its nanocomposites with 3 wt%	89
Figure 5.1	The E' curves of neat PLA, neat PHBV, PLA /PHBV blends and PLA/PHBV/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PHBV with 1, 3, and 5 wt% TiO <sub>2</sub>	104
Figure 5.2	SEM micrographs of PLA/PHBV neat blend at (a) 70/30, (b) 50/50, and (c) 30/70 w/w ratios	105
Figure 5.3	The E'' curves of neat PLA, neat PHBV, PLA /PHBV blends and PLA/PHBV/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PHBV with 1, 3, and 5 wt% TiO <sub>2</sub>	105
Figure 5.4	The tan $\delta$ curves of neat PLA, neat PHBV, PLA /PHBV blends and PLA/PHBV/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PHBV with 1, 3, and 5 wt% TiO <sub>2</sub>	107
Figure 5.5	The E' curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PCL with 1,3, and 5 wt% TiO <sub>2</sub>	109
Figure 5.6	SEM micrographs of PLA/PCL neat blend at (a) 70/30, (b) 50/50, and (c) 30/70 ratios.	110
Figure 5.7	The E'' curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PCL with 1,3, and 5 wt% TiO <sub>2</sub>	111

Figure 5.8	The $\tan \delta$ curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PCL with 1, 3, and 5 wt% TiO <sub>2</sub>	113
Figure 5.9	The E' curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1, 3, and 5 wt% TiO <sub>2</sub>	114
Figure 5.10	SEM micrographs of PHBV/PCL neat blend at (a) 70/30, (b) 50/50, and (c) 30/70 ratios	114
Figure 5.11	The E'' curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1, 3, and 5 wt% TiO <sub>2</sub>	116
Figure 5.12	The $\tan \delta$ curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/TiO <sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1, 3, and 5 wt% TiO <sub>2</sub>	118
Figure A.1	TGA-FTIR spectra at different temperatures of the degradation of (a) neat PHBV, and (b) 97/3 w/w PHBV/TiO <sub>2</sub>	129
Figure A.2	TGA-FTIR spectra at different temperatures of the degradation of (a) neat PLA, (b) 97/3 w/w PLA/TiO <sub>2</sub> , (c) neat PCL, and (d) 97/3 w/w PCL/TiO <sub>2</sub>	130
Figure A.3	TGA-FTIR spectra at different temperatures of (a) PHBV degradation in a (a) 50/50 w/w PLA/PHBV blend and (b) 48.5/48.5/3.0 w/w PLA/PHBV/TiO <sub>2</sub> blend nanocomposite	132
Figure A.4	TGA-FTIR spectra at different temperatures of (a) PLA degradation in 50/50 w/w PLA/PHBV blend (b) PLA degradation in a 48.5/48.5/3.0 w/w PLA/PHBV/TiO <sub>2</sub> blend nanocomposite	133
Figure A.5	TGA-FTIR spectra at different temperatures of (a) PLA degradation in 50/50 w/w PLA/PCL blend and (b) PLA degradation in a 48.5/48.5/3.0 w/w PLA/PCL/TiO <sub>2</sub> blend nanocomposite	134
Figure A.6	TGA-FTIR spectra at different temperatures of (a) PCL degradation in 50/50 w/w PLA/PCL blend and (b) PCL degradation in a 48.5/48.5/3.0 w/w PLA/PCL/TiO <sub>2</sub> blend nanocomposite	135

- Figure A.7 TGA-FTIR spectra at different temperatures of (a) PHBV degradation in 50/50 w/w PHBV/PCL blend, and (b) PHBV degradation in a 48.5/48.5/3.0 w/w PHBV/PCL/TiO<sub>2</sub> blend nanocomposite 136
- Figure A.8 TGA-FTIR spectra at different temperatures of (a) PCL degradation in 50/50w/w PHBV/PCL blend, and (b) PCL degradation in a 48.5/48.5/3.0 w/w PHBV/PCL/TiO<sub>2</sub> blend nanocomposite 137

## List of symbols and abbreviations

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AAC	aliphatic-aromatic copolymer
D	dispersity index
DC	degree of crystallinity
$\Delta H_m$	melting enthalpy
$\Delta H_m^\circ$	melting enthalpy of 100% crystalline polymer
DMA	dynamic mechanical analysis
$E_a$	activation energy
$E'$	storage modulus
$E''$	loss modulus
$\gamma$	surface energy
$\gamma^d$	dispersive component of surface energy
$\gamma^p$	polar component of surface energy
MFI	melt flow index
$M_n$	number average molar mass
$M_w$	weight average molar mass
MWCNTs	multi walled carbon nanotubes
$M_z$	viscosity average molar mass
$\omega_a$	wetting coefficient
PBSA	poly(butylene succinate adipate)
PCL	poly( $\epsilon$ -caprolactone)
PGA	polyglycolide
PHA	poly(hydroxy alkanoate)
PHB	poly(hydroxy butyrate)
PHBV	poly(3-hydroxybutyrate-co-3-hydroxyvalerate)
PHV	poly(hydroxy valerate)
PLA	poly(lactic acid)
PVOH	polyvinyl alcohol
$PW_{HH}$	peak width at half height
SEC	size exclusion chromatography



SEM	scanning electron microscopy
$\tan \delta$	loss tangent
TEM	transmission electron microscopy
TEM-EDS	TEM-energy dispersive spectroscopy
$T_g$	glass transition temperature
TGA	thermogravimetric analysis
TGA-FTIR	TGA-Fourier-transform infrared
$X_c$	degree of crystallinity

# Chapter1

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## General introduction

The recent advances in the field of light-weight materials, and related methods, entail the development of new and promising materials in order to replace the heavy conventional materials such as metals and ceramics. This is done by modifying the current technologies and optimizing the processing conditions to achieve low-cost, high-speed and high quality mass production. There are also research efforts aimed at finding suitable preparation methods, study the compatibility of the constituents making up the final product, determining whether the final product will meet industrial application requirements and whether it will be strong enough to last for the required period, and determining whether the product can be made cost-effectively.

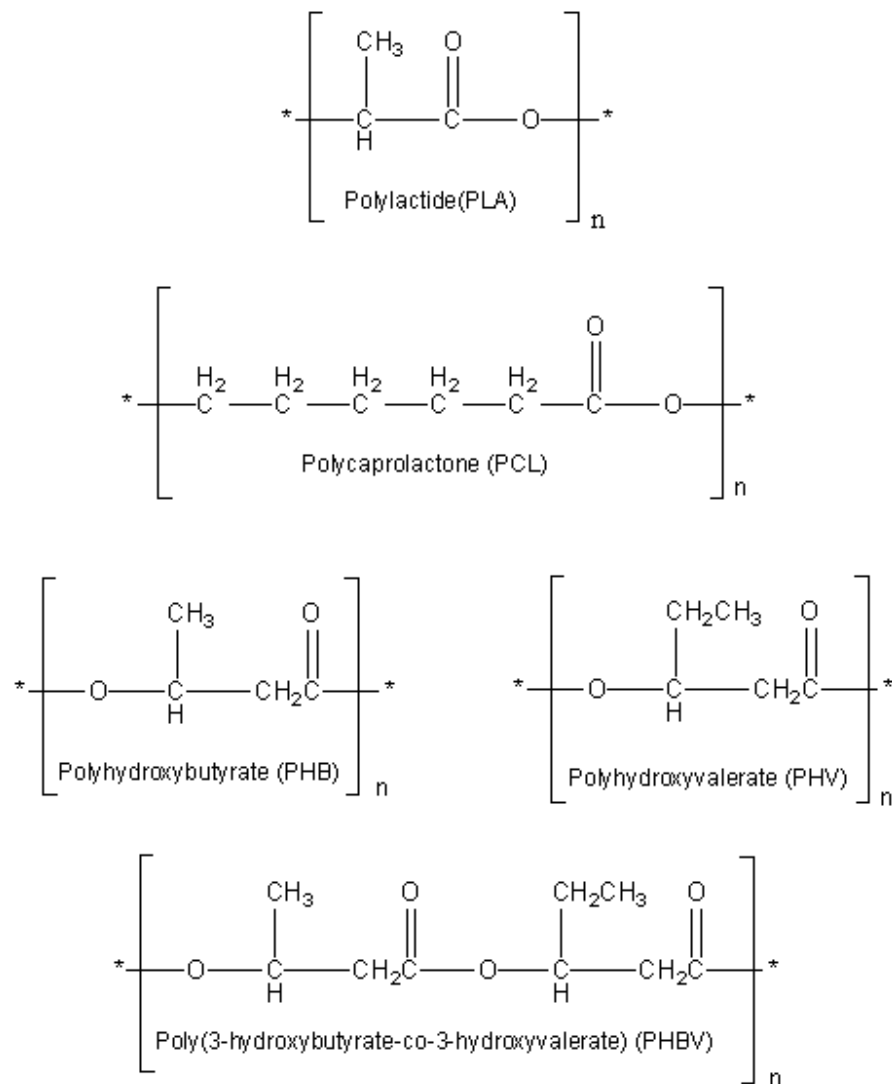
In the past decades many synthetic polymers made from non-renewable fossil fuel have been, and are still, widely used. However, the production of these polymers not only leads to the exhaustion of the petroleum resources, but also to the serious environmental pollution. Those used for disposable products and short term packaging especially, cause a lot of harm because they are resistant to chemicals and microbial attack [1]. The first drawback associated with the disposal of plastic waste is the fact that landfill facilities occupy space that could be utilised for more productive means such as agriculture [2]. These non-degradable polymers also pose a danger to our wildlife as the plastic waste accumulate and end up spreading in the environment during windy conditions, and end up at the places where animals reside. The animals mistake these plastics for food, but since they are indigestible, these plastics are a health hazard to animals, and with time we will end up having reduced or no wildlife. The other concern is the environmental pollution in the marine world, where these plastics are carried by rivers and municipal drainage systems and end up in the sea, where they endanger the marine life [3-5]. Although work has been done to blend these synthetic polymers with natural biodegradable materials to produce plastics that meet the functional requirements with the possibility of biodegradation, the worldwide consumer plastic production volumes still continue to accumulate due to the slow degradation or non-degradability of the final products. For people and animals to lead a comfortable life, and free from environmental pollution issues, new and useful materials

need to be developed. The search for new materials began a long time ago, and a lot of progress has already been made. There are a number of biodegradable polymers, and their blends and nanocomposites provide opportunities for developing materials with tailor-made properties.

Biopolymers are polymers generated from renewable natural resources such as corn, rice, potato, animal fat and others, and they are often biodegradable. They can be produced by biological means or chemically synthesised from biological materials. These polymers are materials that are not harmful to the environment, and they degrade naturally. Biodegradable polymers normally degrade in different ways in the environment; some are bio-erodable, while others are hydrobiodegradable or photobiodegradable. Their biodegradability depends on the chemical structure of the polymer and on the constitution of the final product (blends, nanocomposites, blends nanocomposites and others), and not just on the initially produced raw polymer [6]. The polyester family play a major role as biodegradable polymers due to their potentially hydrolysable ester bonds. Polyesters are made up of two major groups, aliphatic (linear) polyesters, and aromatic (ring) polyesters. There are a number of biodegradable polyesters that have been developed and are already commercially available. These include polyvinyl alcohol (PVOH), polylactic acid (PLA), polybutylene succinate adipate (PBSA), aliphatic-aromatic copolymers (AAC), poly( $\epsilon$ -caprolactone) (PCL), polyhydroxy alkanates (PHAs), which include polyhydroxybutyrate (PHB), polyhydroxyvalerate (PHV), and the co-polymer poly(3-hydroxybutyrate-co-3hydroxyvalerate) (PHBV). These biodegradable thermoplastic polyesters are melt processable biomaterials that present a number of promising properties in a number of applications in the packaging, automotive and biomedical sectors. Specifically, thermoplastic biodegradable polymers such as PLA, PCL and PHBV, that exhibit a combination of good properties [1,7,8], have been widely investigated and are already used for a number of applications.

PLA is a biodegradable polymer resourced from corn starch, and the existence of hydroxyl and carboxyl groups in the lactic acid monomer enables it to be converted directly into a polyester *via* a condensation reaction during its polymerisation, or it can be polymerized through the ring opening of lactide. The latter method is preferred since the polymerization can be controlled in terms of molecular weight [6]. PLA is mainly used in the medical industry for controlled release devices, surgical implants, or sutures, exterior parts in the automotive industry, disposable cups and napies, and many other applications in the packaging industry. PLA has been

produced with different structural forms, which include amorphous, semi-crystalline, or highly crystalline. Its melting temperature ranges from 140 to 180 °C, and its glass transition temperature from 50 to 70 °C [9,10], but it has a low crystallinity and generally undergoes cold crystallization when heated. PLA properties similar to those of conventional thermoplastics like PP and LDPE, but it has poor processing properties and thermal stability, and is unacceptably brittle.



**Figure 1.1 Chemical structures of PLA, PCL, PHB, PHV and PHBV**

PCL is a linear semicrystalline polyester with a moderate degree of crystallinity, and characterised by a low glass transition temperature ( $-60\text{ }^{\circ}\text{C}$ ). It is produced by ring opening polymerization of  $\epsilon$ -caprolactone. It is a biocompatible and biodegradable polymer, and its chains undergo degradation through hydrolytic or enzymatic reactions. PCL is used in medical applications as controlled drug release carriers, and lately it has also been examined as potential biodegradable material for packaging applications. Due to its excellent toughness, high thermal stability and rubbery properties, it has also been used as a blend component to improve the toughness in brittle biodegradable polymers like PLA and PHB. The main disadvantages of PCL are the low melting temperature ( $60\text{ }^{\circ}\text{C}$ ), modulus, abrasion, slow degradation, and its relatively high cost, which restricts its individual usage [11,12].

PHBV, the co-polymer from PHB and PHV, has mainly been used in medical applications due to its environmental friendliness, biocompatibility, biodegradability and its thermoplastic properties similar to other conventional synthetic petroleum-based polymers, although it is still has a high degree of crystallinity, brittleness and low thermal stability [13,14]. Figure 1 illustrates the chemical structures of PLA, PCL, PHB, PHV, and PHBV.

Blending is the most convenient way to generate new materials that combine the properties of the components, and improve the not-so-good thermal, mechanical, and dynamic mechanical properties of the individual polymers. The usual objective for preparing a blend of two or more polymers is not to significantly change the properties of the components, but to capitalize on the good properties of each component in the blend [15-17]. Therefore blending biodegradable polymers has been explored as an alternative way of acquiring novel materials with desired properties in a cheaper way. Most polymer blends are thermodynamically immiscible, and consequently the phase morphology is usually observed as multi-phase. It is well known that the size of the dispersed phase can significantly influence the physical and mechanical properties of the final immiscible polymer blends. During blending, there are several factors that are important in determining the final particle size of the dispersed phases of the blend components, such as surface energy, interfacial tension, polar character, blend composition, viscosity ratio, differences between the degree of crystallinity of the components in the blend, as well as time, shear stress, and temperature of mixing [16].

The use of inorganic nanofillers to stabilize the interface between immiscible polymers in a blend has been lately studied. One would ask, why nanofillers over conventional micro-particles?

The nanoscopic dimension and inherent extreme aspect ratios of nanofillers result in the following interrelated characteristics which distinguish them from microparticles: (i) Large number density of particles per particle volume; (ii) Extensive interfacial area per volume of particles; (iii) Short distance between the particles; (iv) Comparable size scales among the rigid nanoparticles inclusion, distance between the particles, and the relaxation volume of the polymer chains [18]. Sometimes the inorganic nanofiller/nanoparticles can be selectively localized in one of the polymeric components, where the affinity between the nanoparticles and the polymer is high. The affinity between the inorganic nanoparticles and the polymer is controlled mainly by thermodynamic and kinetic effects. When the surface energies and polar characters of the nanoparticles and the polymer are similar, it is likely that the nanoparticles will easily disperse in the polymer, but if there is a big difference, the nanoparticles will migrate to the interface between the components of the blend or they will form agglomerates that indicate that the nanoparticles have a higher affinity for each other than for the polymer. An important requirement in obtaining blend nanocomposites with improved properties, is that the nanofiller must interact with the polymeric components, or be well dispersed in one or both of the components and/or on the interface between these components in the blend.

Some of the nanomaterials reported in the literature are good in compatibilising the polymers in a blend. Examples are carbon black, calcium carbonate ( $\text{CaCO}_3$ ), zinc oxide ( $\text{ZnO}$ ), graphite, silica, silver, clays, carbon nanotubes, and titanium dioxide ( $\text{TiO}_2$ ) [16,18,19].  $\text{TiO}_2$  received a lot of attention as nanofiller in polymers because of its good thermal stability, accessibility, and catalytic properties. It is generally used for various applications such as photo electrochemical activity, solar energy conversion, photocatalysis, UV detection, ultrasonic sensing, and as a promising material in applications such as water or wastewater treatment. Its environmental compatibility, non-toxicity and low price are some practical advantages of  $\text{TiO}_2$  [20-24].

The most important properties that need to be improved in biodegradable polymer blends are thermal stability, since most of them suffer from low thermal stability compared to conventional synthetic polymers, and the dynamic mechanical properties. The thermal stability of materials is important in determining the limit of their working temperature and the environmental conditions for use, that are related to their thermal decomposition temperature and decomposition rate [25]. The study of dynamic mechanical properties is important because it gives information

on properties such as glass transition temperatures, stress relaxation behaviour, dynamic fragility parameters, miscibility of polymer blends, interfacial compatibility of individual composite components, and filler effectiveness [26-28]. A number of previous studies showed that inorganic nanoparticles improved the thermal stabilities and dynamic mechanical properties of polymers and blends [29-32]

The blends of PLA with polymers such as PCL and PHBV have been widely researched, and most of these blends were reported to have an immiscible/phase separated morphology. PLA/PHBV blends and their blend nanocomposites have been moderately studied and they are reported to be immiscible but compatible. The compatibility was reported to further improve in the presence of inorganic nanoparticles, and some of these studies reported on the thermal degradation and dynamic mechanical properties [33-35]. The immiscible, biodegradable PLA/PCL blends have been fairly intensively studied and some papers mentioned that PLA and PCL are compatible immiscible polymers, while others indicated that PLA and PCL are incompatible, and therefore inorganic micro or nanomaterials were utilised as reinforcements to stabilise the interface between the polymers. The reports on thermal stability and degradation mostly involved standard TGA analyses, with almost no in-depth studies of the thermal degradation behaviour and kinetics of similar blends and nanocomposites. Most of these studies investigated the dynamic mechanical properties using dynamic mechanical analysis (DMA), but different types of nanoparticles were used, giving rise to different, non-comparable results [36-42]. Although the PHBV/PCL blends show potential in the medical and packaging industries, these blends and their nanocomposites have been the least studied, probably due to their high cost [43-45].

## **1.1 Objectives of the study**

In this work TiO<sub>2</sub> nanoparticles were used to stabilize the interface between the immiscible polymers, and to improve the thermal stability and thermo-mechanical properties of the blends. The PLA/PHBV, PLA/PCL and PHBV/PCL biodegradable polymer blends and their nanocomposites with small amounts of titania were prepared through melt-mixing. The effect of blending and nanoparticle filling on the morphology of the blends and nanocomposites was investigated by scanning electron microscopy (SEM), transmission electron microscopy (TEM),

and surface energy measurements. Their thermal degradation behaviour and kinetics were studied using thermogravimetric analysis (TGA), and TGA-Fourier-transform infrared (FTIR) spectroscopy was used to determine the nature of volatile degradation products and to study the rate at which they were released. The dynamic mechanical properties of the different systems were also studied and compared.

## **1.2 Structure of the thesis**

The thesis is comprised of six chapters. The thesis does not have the experimental chapter because the materials and methods details are included in Chapters 2 to 5 that are in a scientific journal paper format. The organization of these chapters is as follows:

- Chapter 1: General introduction
- Chapter 2: Morphology and thermal degradation studies of melt-mixed PLA/PHBV biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler
- Chapter 3: Morphology and thermal degradation studies of melt-mixed PLA/PCL biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler
- Chapter 4: Morphology and thermal degradation studies of melt-mixed PHBV/PCL biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler
- Chapter 5: Dynamic mechanical properties of PLA/PHBV, PLA/PCL, PHBV/PCL blends and their nanocomposites with TiO<sub>2</sub> as nanofiller
- Chapter 6: Conclusions

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## Chapter 2

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### **Morphology and thermal degradation studies of melt-mixed PLA/PHBV biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler**

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#### **Abstract**

The morphology and thermal stability of melt-mixed PLA/PHBV blends and nanocomposites with small amounts of TiO<sub>2</sub> nanoparticles were investigated. PLA/PHBV at 50/50 w/w formed a co-continuous structure, and most of the TiO<sub>2</sub> nanoparticles were well dispersed in the PLA phase and on the interface between PLA and PHBV, with a small number of large agglomerates in the PHBV phase. Thermogravimetric analysis (TGA) and TGA-Fourier-transform infrared (FTIR) spectroscopy was used to study the thermal stability and degradation behaviour of the two polymers, their blends and nanocomposites. The thermal stability of PHBV was improved through blending with PLA, while that of PLA was reduced through blending with PHBV, and the presence of TiO<sub>2</sub> nanoparticles seemingly improved the thermal stability of both polymers in the blend. However, the degradation kinetics results revealed that the nanoparticles could catalyse the degradation process and/or retard the volatilization of the degradation products, depending on their localization and their interaction with the polymer in question.

**Keywords:** poly(lactic acid); poly(hydroxybutyrate-co-valerate); titania; blends; nanocomposites; thermal degradation

## 2.1. Introduction

In recent years, environmental pollution has become a great concern due to the high impact of plastic waste in daily use and the out of control emission of carbon dioxide into the atmosphere. Studies of biobased and biodegradable polymers, more so from renewable resources, have attracted increased attention due to the great demand to reduce dependence on petroleum-based polymers which is the main source of plastic waste. Biodegradable polymers are believed to be an environmentally friendly replacement of the current petrochemical based polymers. Recent interests in these biodegradable polymers are encouraged by the increasing cost of petroleum oil, as well as concerns for the environment and a shift towards sustainable manufacturing [1-5]. The applications of biodegradable polymers currently include mainly agriculture, biomedical, and food packaging applications. However, there is a large potential for many other applications such as automotive, aerospace, medical equipment, and various sanitary products [6].

One of the most commonly used bio-based and biodegradable polymers, poly(lactic acid) (PLA), is produced by the ring opening polymerization of lactide or the condensation polymerization of lactic acid monomers produced from renewable resources *via* a fermentation process [7,8]. Due to its commercial availability at a low cost, it has been broadly studied and used mainly for packaging and biomedical applications. PLA has good mechanical properties and biocompatibility, as well as thermo-plasticity comparable to that of petro-chemically derived polymers. It is known to degrade well when disposed along with municipal waste, so it is less of a burden to the environment [2,3]. Even though PLA is a very attractive biodegradable polymer, it cannot fully satisfy the requirements of industry. Disadvantages like poor melt properties, brittleness and low thermal resistance limits its use in different applications. To overcome these problems, several methods were implemented and used to improve the lacking properties in PLA. These include blending with other biodegradable polymers, plasticization, and copolymerization. Among these methods, blending was reported to be the easiest and most cost effective [9]. Polymer blends had been extensively studied because it presents the possibility of enhancing the overall properties of the final material through a synergistic combination of the desirable properties of each component in one system [10-12].

A range of renewable biodegradable polymers are currently available on the market, amongst others the polyhydroxyalkanoates (PHAs). The PHAs are a family of polyesters



produced by microorganisms. The most common PHA is poly(hydroxybutyrate) (PHB). Poly(hydroxybutyrate-co-valerate) (PHBV) is another PHA and is a co-polymer of PHB with randomly arranged 3-hydroxybutyrate and 3-hydroxyvalerate groups. This co-polymer was developed to improve PHB flexibility and thermal stability, and to lower its melting temperature and reduce its high crystallinity. PHBV has good flexibility and processing capabilities [13].

Most polymer pairs are thermodynamically immiscible as a result of their unfavourable interaction. The macrophase separation and poor interfacial adhesion restrict property combination of the two components in the blend. In recent years a new concept of compatibilisation by using inorganic nanoparticles has been introduced. Unfortunately there are relatively few studies dealing with immiscible polymer blends whose interfaces are stabilized by solid particles [14-17].

Nanometre inorganic compounds such as titanium dioxide ( $\text{TiO}_2$ ), zinc oxide ( $\text{ZnO}$ ), silica ( $\text{SiO}_2$ ), aluminium dioxide ( $\text{Al}_2\text{O}_3$ ), and silicon nitride ( $\text{Si}_3\text{N}_4$ ) were tried as fillers in fabrics and polymers to improve the tribological properties.  $\text{TiO}_2$  has received most of the attention because of its good thermal stability, accessibility, and catalytic properties. It is an inorganic material obtained from a variety of naturally occurring ores that contain ilmenite, rutile, anatase, and leucoxene, which are mined from deposits located throughout the world. It is generally used for various applications including photo electrochemical activity, solar energy conversion, photocatalysis, UV detection, ultrasonic sensing, and as a promising material in applications such as water or wastewater treatment. Environmental compatibility, non-toxicity and low price are some practical advantages of  $\text{TiO}_2$  [18-23].

Few studies reported on the selective localization of inorganic nanoparticles in one of the phases in a polymer blend, or at the interface between the two polymers. The filler will selectively locate itself in order to reduce interfacial tension, and to reduce free surface energy, and as a result it may improve the interfacial interaction between the two polymers. This selective localization of the filler is mainly the result of the large difference in the affinity between the filler and the two matrix components. Thermodynamically the filler will be expected to locate in the lower viscosity phase to balance the viscoelastic difference between the two matrix components, which will contribute to the compatibility and improve the desired final properties. This assumption is based on entropy effects, where the entropy will be higher in the lower viscosity polymer in the molten state due to ease of chain movement. The nanoparticles should

therefore disperse more easily in the lower viscosity polymer to balance and reduce the levels of disorder between the two polymers in the blend. It has also been reported that lower viscosity polymers has the ability to accommodate higher filler volumes [24]. Wu *et al.* [17,25] introduced multi-walled carbon nanotubes (MWCNTs) into a 30:70 w/w PLA/PCL blend. They found that the MWCNTs were selectively dispersed in the lower viscosity PCL phase and on the interface. They explained that such a selective localization of the MWCNTs not only prevented the coalescence of the discrete PLA phase, but also enhanced the interfacial adhesion. In this case the MWCNTs acted as nano-reinforcement and compatibilizer, simultaneously improving the morphology and final properties of the PLA/PCL blend. If the filler is located at the interface of the two components, it can to some degree reduce the overall free energy of blending, leading to a thermodynamically driven compatibility. The localization of the filler is important to the final morphology and property control of immiscible blends.

Young's equation (Equation 2.1) is used to predict selective particle distribution in a polymer blend by calculating the wetting coefficient ( $\omega_a$ ) [26].

$$\omega_a = \frac{\gamma_{\text{PolymerB-Filler}} - \gamma_{\text{PolymerA-Filler}}}{\gamma_{\text{PolymerA-PolymerB}}} \quad (2.1)$$

where  $\gamma_{\text{polymerB-Filler}}$  is the interfacial tension between polymer B and the filler,  $\gamma_{\text{polymerA-Filler}}$  the interfacial tension between polymer A and the filler, and  $\gamma_{\text{polymerA-PolymerB}}$  the interfacial tension between polymers A and B. The value of the wetting coefficient is normally used to determine where the filler is likely expected to disperse. If  $\omega_a < -1$ , the particles are predicted to be localised in polymer B, if  $\omega_a > 1$ , they are dispersed in polymer A, and if the value of  $\omega_a$  is between -1 and 1, the nanoparticles are likely dispersed on the interface between the two polymers in the blend [12]. In rare cases where the particles are dispersed in both the interface and one of the phases, the third condition does not apply, so that a negative  $\omega_a$  indicates dispersion of the particles in polymer B as well as the interface, and a positive  $\omega_a$  indicates dispersion of the particles in polymer A and on the interface [27-28].

Both PLA and PHBV are biodegradable polymers. Blending of PLA with PHBV could provide a practical way of improving or tailoring the structure and properties of the material, without compromising the biodegradability. Another important aspect of blending these two

polymers is that the crystallinity of the blend will be improved since PHBV is highly crystalline when compared to PLA. A number of studies reported on the preparation of PLA/PHBV blends by solvent casting processes. This method was reported to improve the intimacy between the components in the blends. Although it has the important advantage of improving the mixing and interaction between the components in a blend, solution mixing is a very expensive method for preparation of blend samples, and it is not industrially viable because solvents are expensive and difficult to dispose of in an environmentally friendly way. Therefore the use of melt-mixing is considered as a more acceptable way of sample preparation [10,29-32].

In the present work the effect of blending of PLA with PHBV in the presence of TiO<sub>2</sub> nanoparticles as filler on the thermal stability and degradation behaviour of PLA/PHBV/TiO<sub>2</sub> nanocomposites was studied. The structure and properties of the blend nanocomposites were also studied and related to the thermal behaviour of the samples.

## **2.2. Experimental**

### *2.2.1. Materials*

The polylactic acid (PLA) used in the study is a commercial grade (PLA 2002D), obtained from Natureworks, LLC. (USA). It has a D-isomer content of 4%, a density of 1.24 g cm<sup>-3</sup>, a glass transition temperature of ~53 °C, a melting temperature of ~153 °C, with a degree of crystallinity of 33%. The poly(hydroxy-butyrate-co-valerate) (PHBV) biopolymer used was purchased from Goodfellow, Huntington, UK with a 12% PHV content, density of 1.25 g cm<sup>-3</sup>, a melting temperature of ~150 °C, and a degree of crystallinity of 59%. Anatase titanium(IV)oxide (TiO<sub>2</sub>) with particle sizes < 25 nm and 99.7% purity was supplied by Sigma-Aldrich.

### *2.2.2. Preparation method*

The samples were prepared *via* melt-mixing using a Brabender Plastograph. PLA and PHBV were dried in an oven at 80 °C for four hours prior to mixing, and TiO<sub>2</sub> was used as received. 30/70, 50/50, and 70/30 w/w PLA/PHBV blends and their nanocomposites with 1, 3 and 5 wt% of TiO<sub>2</sub> were mixed at 170 °C for ten minutes. The samples were compression moulded into 2

mm thick sheets at the same temperature for 5 minutes using a hydraulic press at a pressure of 50 bar, after which they were removed and left to cool under ambient conditions.

### 2.2.3. Characterization

Size exclusion chromatography (SEC) measurements were performed on a PL Olexis column (Polymer Laboratories). Chloroform was used as mobile phase at a flow rate of 1.00 mL min<sup>-1</sup>. The samples were prepared at a concentration of 2 mg mL<sup>-1</sup>. The column was calibrated with polystyrene (PS) standards from Polymer Laboratories (Church Stretton, Shropshire, UK). The chromatograph comprised of a Waters 1515 isocratic pump, a Waters inline degasser AF, and a Waters 717 Plus auto sampler with a 100 µL sample loop. The system was connected to an evaporative light scattering detector (PL-ELS 1000). Nitrogen was used as carrier gas in the ELSD, at a flow rate of 1.5 SLM. The evaporator and nebulizer temperatures were set at 100 and 40 °C, respectively.

The melt flow index of the two polymers in the blend was determined using a CEAST Melt Flow Junior. Ten samples each of both polymers were analysed at 180 °C. The amount of sample which flowed through the die over a period of 10 minutes under 2.16 kg weight was determined in each case.

Differential scanning calorimetry (DSC) was used to determine the polymers' degree of crystallinity. The analyses were performed under nitrogen flow (20 ml min<sup>-1</sup>) from 0 to 170 °C at 10 °C min<sup>-1</sup>. The melting enthalpies of the polymers were determined, and Equation 2.2 was used to calculate their crystallinities.

$$X_c (\%) = \left( \frac{\Delta H_m}{\Delta H_m^0} \right) \times 100\% \quad (2.2)$$

where  $\Delta H_m$  is the melting enthalpy,  $\Delta H_m^0$  is the melting enthalpy of the 100% crystalline polymer. Values of 93.7 J g<sup>-1</sup> [3] and 109 J g<sup>-1</sup> [3,31] were used for PLA and PHBV respectively, and the results are included in the methods section .

A Tescan VEGA3 scanning electron microscope (SEM) was used to study the surface dispersion of the TiO<sub>2</sub> nanoparticles in the PLA/PHBV blends. The liquid nitrogen fractured

samples were sputter coated with gold for 30 seconds to eliminate sample charging, and analyses were performed at three different magnifications.

The morphologies of the nanocomposites were characterised by transmission electron microscopy (TEM). Images were obtained using a 200 kV FEI Tecnai 20 TEM fitted with Gatan Tridiem. The 50/50 w/w PLA/PHBV blend with 5 wt% TiO<sub>2</sub> nanoparticles was trimmed and sectioned to fit the ultra-microtome, since they were hard enough to cut without cooling. 100-150 nm thin sections were collected on copper grids and viewed. Staining was not necessary as the different phases could easily be distinguished. For TEM-EDS analyses the samples were sectioned at 150 nm using a Leica UC7 (Vienna, Austria) ultramicrotome, and examined with a Philips (FEI) (Eindhoven, The Netherlands) CM100 transmission electron microscope at 60 keV. EDS spectra were obtained with an Oxford X-Max (80 mm<sup>2</sup>) analyser (Wycombe, UK).

The contact angle measurements of the samples were conducted at room temperature on a surface energy evaluation system, based on the sessile drop method. At least 5 replicates were analysed for each sample to ensure reproducibility of the results. The contact angles and surface energies of TiO<sub>2</sub> were acquired from the literature [34]. Distilled water (H<sub>2</sub>O), and diiodomethane (CH<sub>2</sub>I<sub>2</sub>) were used as polar and non-polar solvents, respectively. The literature values of their surface energies are: (H<sub>2</sub>O:  $\gamma^p = 50.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 22.1 \text{ mJ m}^{-2}$ ; CH<sub>2</sub>I<sub>2</sub>:  $\gamma^p = 6.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 44.1 \text{ mJ m}^{-2}$ ). The contact angles, total surface energies, as well as their dispersive and polar surface components, were calculated using the Owens-Wendt method (Equations 2.3 and 2.4) [12,34,35].

$$\gamma_s = \gamma_s^d + \gamma_s^p \quad (2.3)$$

$$\gamma_1(1 + \cos\theta) = \sqrt{\gamma_s^d \cdot \gamma_l^d + \gamma_s^p \cdot \gamma_l^p} \quad (2.4)$$

where  $\theta$  is the contact angle,  $\gamma$  is the surface energy, the subscripts 's' and 'l' indicate solid and liquid respectively, while 'd' and 'p' indicate the dispersive and polar components, respectively. If the contact angle of at least two liquids, usually a polar and nonpolar with known  $\gamma_l^d$  and  $\gamma_l^p$  values, are measured on a solid surface, the  $\gamma_s^d$  and  $\gamma_s^p$  and the total surface energy ( $\gamma_s$ ) of the solid can be calculated by combining Equations 2 and 3 [36]. The interfacial tensions between the

components in a blend were calculated from the contact angle measurement results using the geometric mean equation (Equation 2.5) [12,27].

$$\gamma_{12} = \gamma_1 + \gamma_2 - \sqrt{\gamma_1^d \cdot \gamma_2^d + \gamma_1^p \cdot \gamma_2^p} \quad (2.5)$$

where  $\gamma_{12}$  = interfacial tension between components 1 and 2 in the blend,  $\gamma_1$  and  $\gamma_2$  are the total surface energies of components 1 and 2,  $\gamma_1^d$  and  $\gamma_2^d$  are the dispersive surface energies of components 1 and 2, and  $\gamma_1^p$  and  $\gamma_2^p$  are the polar surface energies of the components in the nanocomposites.

A Perkin-Elmer STA6000 thermogravimetric analyser (TGA) was used to analyse the thermal degradation behaviour of the samples. The analyses were done from 30 to 600 °C at a heating rate of 10 °C min<sup>-1</sup> under nitrogen atmosphere. The sample masses were ~24 mg. The samples for thermal degradation kinetics were run at 3, 5, 7, 9 and 15 °C min<sup>-1</sup> heating rates under nitrogen atmosphere, and the TGA's integrated kinetics software (based on the Flynn-Ozawa-Wall method (Equation 2.6)) was used to calculate the activation energies:

$$\ln \beta = c - 1.052 \left( \frac{E_a}{RT} \right) \quad (2.6)$$

where  $\beta$  = heating rate in K min<sup>-1</sup>,  $c$  is a constant,  $E_a$  = activation energy in kJ mol<sup>-1</sup>,  $R$  = universal gas constant, and  $T$  = temperature in K. The plot of  $\ln \beta$  vs.  $1/T$ , obtained from the TGA curves recorded at several heating rates, should be a straight line. The activation energy was evaluated from its slope. The TGA was also connected to a Perkin-Elmer Spectrum 100 Fourier-transform infrared (FTIR) spectrometer to analyse the thermal degradation volatiles. The same temperature range and heating rate were used, and the volatiles were transferred to FTIR by a Perkin-Elmer TL 8000 balanced flow FT-IR EGA system at 200°C and a flow rate of 150 ml min<sup>-1</sup>. Spectra were collected at five different temperatures during the degradation process.

## 2.3. Results and discussion

### 2.3.1 Molar mass analysis by SEC

The SEC results in Table 2.1 show that PLA has a higher molar mass and dispersity than PHBV. These results will be used later in this paper when the morphologies of the blend nanocomposites are discussed.

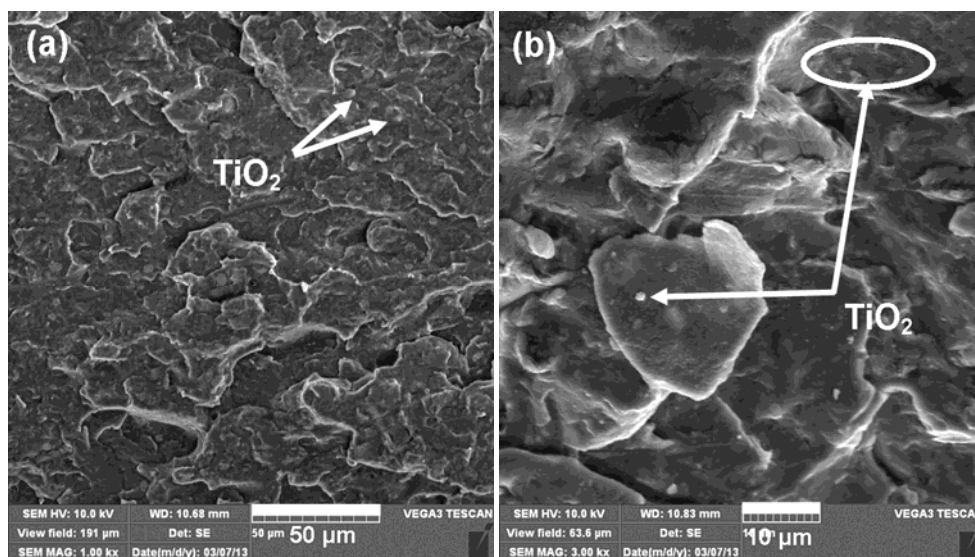
**Table 2.1 Summary of molar masses, melt flow index and surface properties of PLA, PHBV and titania**

	Contact angle / deg		Surface energy / mN m <sup>-1</sup>			Molar mass / g mol <sup>-1</sup>		D	MFI / (g/10 min)
	H <sub>2</sub> O	CH <sub>2</sub> I <sub>2</sub>	$\gamma$	$\gamma^d$	$\gamma^p$	M <sub>n</sub>	M <sub>w</sub>		
<b>PLA</b>	44.7 ± 1.0	35.4 ± 0.0	62.0	41.8	20.2	55047	142500	2.6	2.8
<b>PHBV</b>	54.7 ± 0.5	35.6 ± 0.7	56.3	41.7	14.6	36710	77537	2.1	8.1
<b>TiO<sub>2</sub></b>	19.7	10.1	80.7	46.4	34.3				

$\gamma$  = surface energy,  $\gamma^d$  = dispersive component of surface energy,  $\gamma^p$  = polar component of surface energy, M<sub>n</sub> = number average molar mass, M<sub>w</sub> = weight average molar mass, D = dispersity index, MFI = melt flow index

### 2.3.2 Morphology

Figure 2.1 shows the SEM micrographs of the 50/50 w/w PLA/PHBV blend nanocomposites with 5 wt% TiO<sub>2</sub>. In order to achieve good material properties the reinforcement or filler should be well dispersed and should have good interaction with the matrix. In this system no chemical interaction was expected, so only physical interactions were studied. The small particulate objects (shown with arrows and in the circle in Figure 2.1) are TiO<sub>2</sub> nanoparticles in the blend; they seem to be well dispersed and embedded in the polymer matrices.

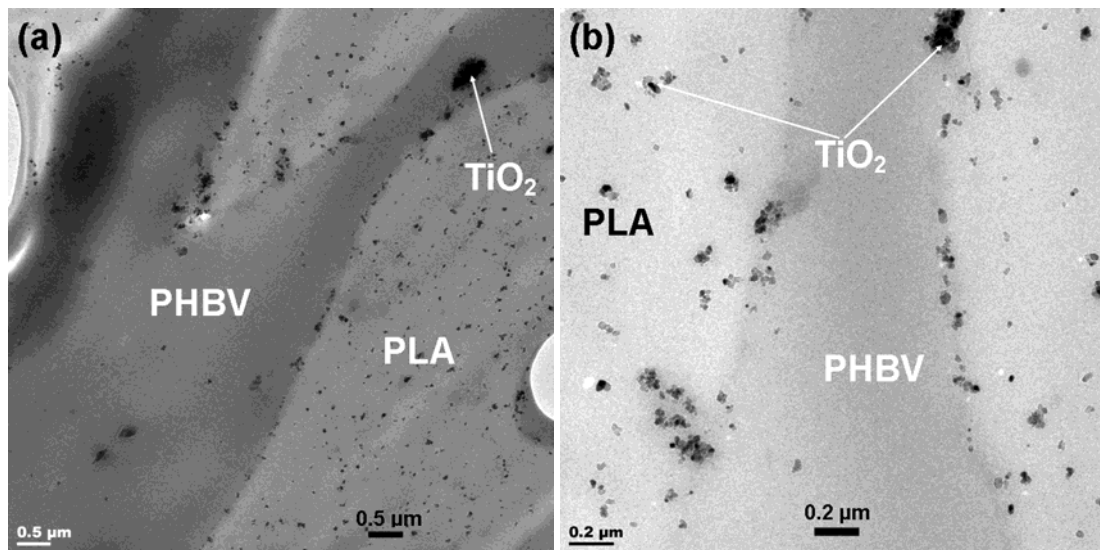


**Figure 2.1 SEM micrographs of 50/50 w/w PLA/PHBV with 5wt% TiO<sub>2</sub> at (a) 1000x and (b) 3000x magnifications**

Most polymer blends are thermodynamically immiscible, and consequently a multi-phase morphology is usually observed. The two polymers can be clearly distinguished in Figure 2, and a co-continuous morphology is observed. The darker phase in the TEM photos is PHBV, and the TiO<sub>2</sub> nanoparticles are clearly visible. They are well dispersed in the PLA phase and on the PLA-PHBV interface, with some agglomerates. A very small number of agglomerates are visible in the PHBV phase. One would expect this selective localization of the nanoparticles in the PLA phase, and on the interface between PLA and PHBV, to be the result of the difference in molar mass, viscosity and crystallinity of these two polymers. According to the SEC results in Table 2.1 the PLA has a higher molar mass and a much higher melt viscosity (lower melt flow index) than PHBV. The nanoparticles would be expected to diffuse into the lower viscosity polymer in order to balance the viscoelastic properties. In this case, however, the nanoparticles are dispersed in the PLA phase. The crystallinity difference between the polymers could have been the driving force for the nanoparticles to select a polymer, since the inorganic nanoparticles would tend to locate themselves in the amorphous phase of a polymer. The PLA with its lower crystallinity (33% compared to 59% for PHBV) will in this respect be better suited as matrix for the nanoparticles. Another factor that could have influenced the selective localization of the nanoparticles is the difference in the surface energy between the components in the nanocomposite. If there are no specific interactions, this should determine how strong the

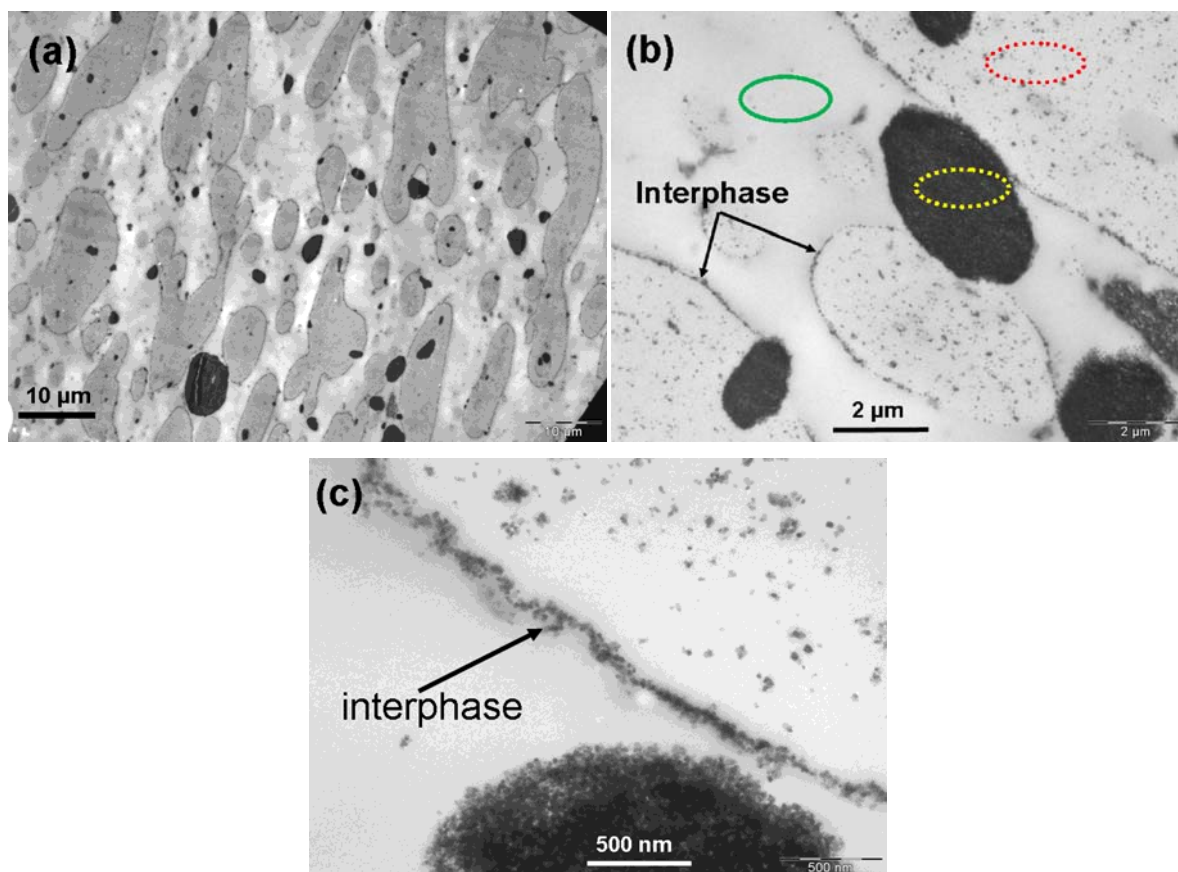


nanoparticles will interact with a specific polymer. In this case the PLA has a surface energy ( $62.0 \text{ mN m}^{-1}$ ) which is closer to that of  $\text{TiO}_2$  ( $80.7 \text{ mN m}^{-1}$ ) than PHBV ( $56.3 \text{ mN m}^{-1}$ ), and the polar characters of PLA and  $\text{TiO}_2$  ( $20.2$  and  $34.3 \text{ mN m}^{-1}$  respectively) are closer to each other than those of PHBV and  $\text{TiO}_2$  ( $14.6$  and  $34.3 \text{ mN m}^{-1}$ ) (see Table 2.1). These surface energy values were determined at room temperature, but they may be different from the values at the mixing temperature we used, and therefore we can only assume that the values in Table 2.1 are not too much different from what the values would have been if the surface energies were determined at  $170^\circ\text{C}$ .



**Figure 2.2 TEM micrographs of 50/50 w/w PLA/PHBV with 5 wt%  $\text{TiO}_2$  at (a)  $5700\times$  and (b)  $19000\times$  magnifications**

TEM-EDS analysis of the blend nanocomposite clearly shows that the filler is finely dispersed in the PLA phase, while some large agglomerates are visible in the PHBV phase (Figure 2.3). Figure 3a shows a continuous PLA phase with the PHBV phase dispersed in it, although the morphology is clearly close to co-continuity. The EDS elemental analysis (Figure 2.3b) shows that there is no dispersed titania in the PHBV phase (solid ellipse), but only large agglomerates (dashed ellipse) that are almost pure titania, while the PLA phase clearly contains titania which is visible as small, finely dispersed particles (dotted ellipse). These particles also form a well-defined border on the inter-phase between the two polymers (see arrows in Figures 2.3b and 2.3c).



**Figure 2.3 TEM-EDS micrographs of 50/50 w/wPLA/PHBV with 5 wt% of  $\text{TiO}_2$  to illustrate the localization of nanoparticles in the different phases of the blend, showing (a) the morphology, (b) positions for the elemental analyses, and (c) a detailed view of the filler particle distribution**

The wetting coefficient model [12,26-28] was applied to confirm the TEM and TEM-EDS results. The contact angles and surface energies of PLA, PHBV and  $\text{TiO}_2$  are presented in Table 2.1, and the interfacial tensions and wetting coefficient are summarised in Table 2.2. The wetting coefficient value of 5.09 indicates that the nanoparticles should be situated in PLA and maybe on the interface. There are two factors involved in determining the selective localisation of the  $\text{TiO}_2$  nanoparticles in a two-phase polymer blend, and those are thermodynamic and kinetic effects. Thermodynamically the particles interact more favourably with one of the polymers in order to decrease the system's free energy, and they will tend to locate in such a way to minimize the

interfacial tension between the polymers, and hence improve the interfacial adhesion between the two phases. This happens mostly when the particles are located at the interface between the two components [25]. At equilibrium the particles will likely disperse in the phase where the affinity between the polymer and the nanoparticles is high. The calculated interfacial tensions from the surface energy results indicate that the interfacial tension between PHBV and TiO<sub>2</sub> (4.27 mN m<sup>-1</sup>) is higher than that between PLA and TiO<sub>2</sub> (1.98 mN m<sup>-1</sup>). This explains why they preferably disperse in the PLA phase.

**Table 2.2 Interfacial tensions and wetting coefficient of the investigated materials**

Component couple	Interfacial tension / mN m <sup>-1</sup> and wetting coefficient
PLA/PHBV	0.45
PLA/TiO <sub>2</sub>	1.98
PHBV/TiO <sub>2</sub>	4.27
$\omega_\alpha$	5.09

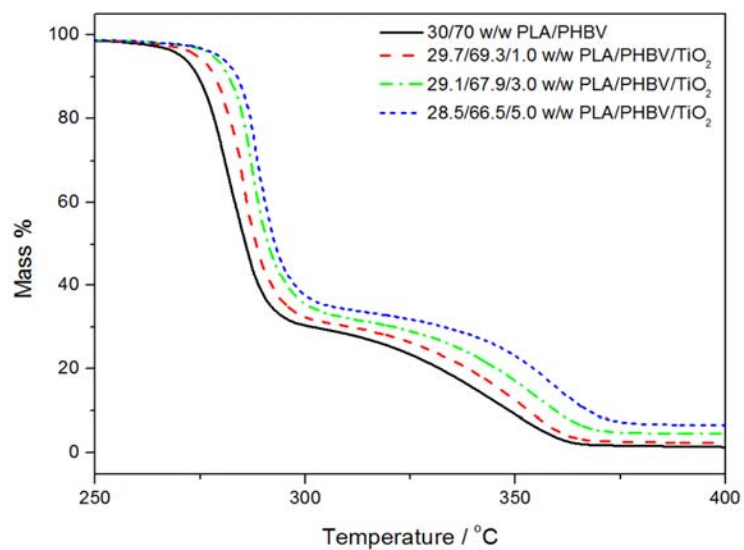
$\omega_\alpha$ = wetting coefficient

Thermodynamically, melt mixing of the two polymers with a significant difference in molar mass, viscosity and crystallinity, would give rise to the selective localization of the third component, which is TiO<sub>2</sub> nanoparticles in this case. PLA has a higher molar mass and viscosity than PHBV (Table 2.1). One would therefore expect the TiO<sub>2</sub> nanoparticles to more easily disperse in the PHBV phase, which is not the case as could be seen from the TEM and TEM-EDS results. However, differences in crystallinity could have played a role. Although both polymers are completely amorphous in the molten state where mixing takes place, it would be expected that during cooling when the polymers crystallize, the nanoparticles would be forced out of the more highly crystalline polymer because they would preferably tend to be in the amorphous phase of a polymer, unless the interaction between the nanoparticles and the polymer is strong enough that the nanoparticles can act as nucleating sites for the polymer. Because of the much higher crystallinity of PHBV and the much lower interfacial tension between PLA and TiO<sub>2</sub>, it could be expected with some certainty that the nanoparticles would locate in the PLA phase and even to some extent act as nucleation sites for the crystallization of PLA. This again can be attributed to enhanced shearing forces in the higher viscosity PLA melt, that disperse the solid

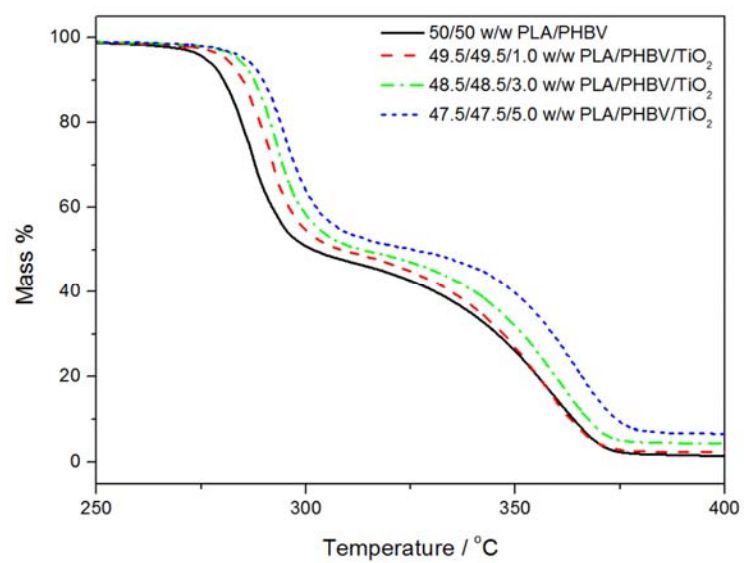
TiO<sub>2</sub> particles. The strong interfacial tension between PHBV and TiO<sub>2</sub> also explains the large nanoparticles agglomerates in the PHBV phase, because the nanoparticles clearly have a higher affinity for each other than for the PHBV chains, and the melt-mixing torque was probably not enough to break up these agglomerates and re-disperse the particles in the PLA phase and on the interface. The relatively high melt viscosity of PLA, and the not-so-low PLA-TiO<sub>2</sub> interfacial tension, to some extent explains why a large number of nanoparticles are located at the PLA/PHBV interface.

### 2.3.3 Thermogravimetric analysis (TGA)

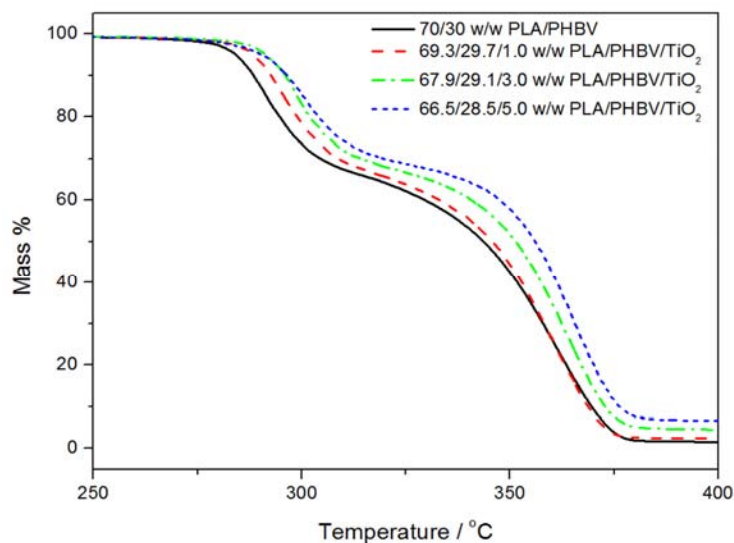
The good distribution of the filler in one of the polymers and on the interface should improve some properties in the PLA/PHBV blend nanocomposites, one of which is the thermal stability. Thermal degradation will reduce the usability of a polymer, especially in the case of recycling where the polymer undergoes several cycles of high and low temperatures. We used TGA and thermal degradation kinetics analyses to study the thermal degradation of the blends and their nanocomposites. Figures 2.4 to 2.6 show the effect of varying filler content on the mass loss curves of the blends at constant PLA/PHBV ratios. It is clear that the two polymers degrade separately, confirming their immiscibility. The temperature for 50% PHBV degradation was 280 °C, while that for 50% PLA degradation was 364 °C. This difference in thermal stability of PLA and PHBV is due to the structural difference between the two polymers. The PLA degradation proceeds according to a back-biting ester interchange reaction which takes place through a non-radical mechanism involving the –OH chain ends. The products vary with the reaction point in the backbone and can be lactide molecules, oligomeric rings, or acetaldehyde plus carbon monoxide. The thermal degradation of PHBV follows a random chain scission mechanism involving a  $\beta$ -hydrogen elimination process (six-membered ring ester decomposition process) to form substrate olefins and oligomers [10,37].



**Figure 2.4 TGA curves of 30/70 w/w PLA/PHBV with different amounts of  $\text{TiO}_2$**



**Figure 2.5 TGA curves of 50/50 w/w PLA/PHBV with different amounts of  $\text{TiO}_2$**

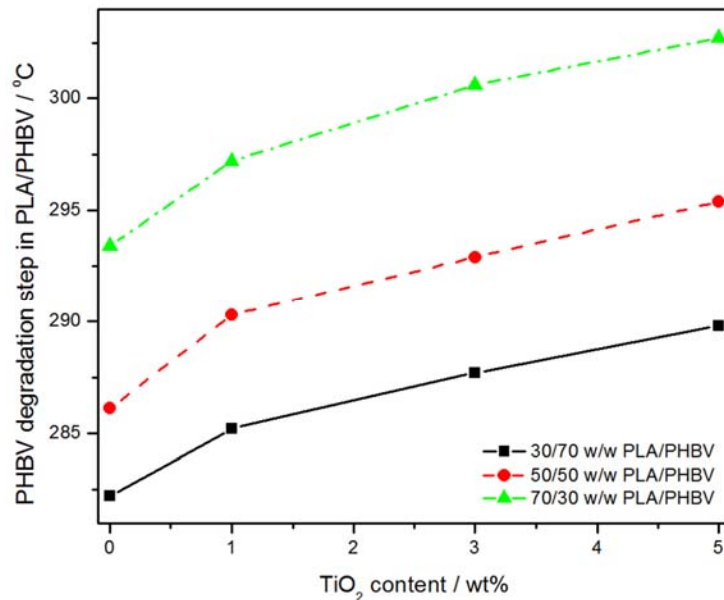


**Figure 2.6 TGA curves of 70/30 w/w PLA/PHBV with different amounts of TiO<sub>2</sub>**

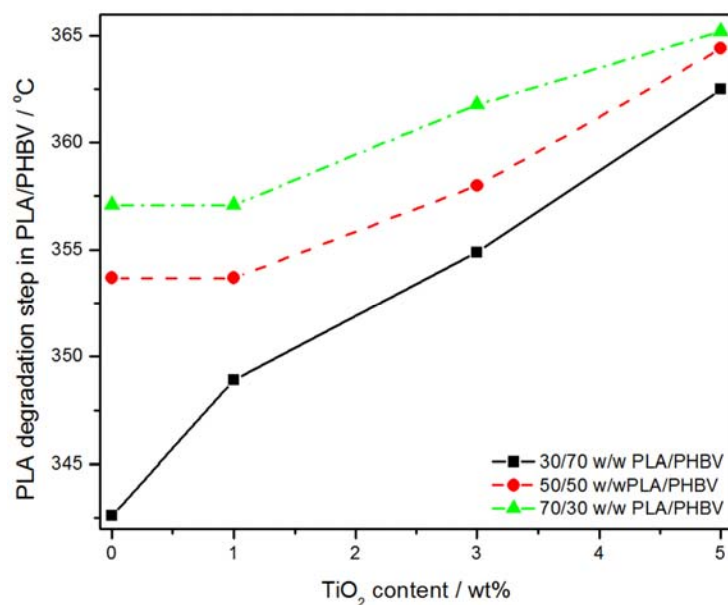
The thermal stability of PHBV observably improved (temperature at which 50% polymer degraded increased from about 280 to about 291°C) with an increase in PLA content in the blends, and further increased to 302°C in the presence of the nanoparticles; this is because PLA and TiO<sub>2</sub> also absorb heat energy and because they have higher thermal stabilities than PHBV, they insulate the PHBV so that it starts to degrade at higher temperatures. On the other hand, the temperature at 50% mass loss of PLA decreased from 364 to 349 °C with increasing PHBV content in the blend, but again increased to the same level as that of pure PLA with increasing nanoparticle content. The influence of the nanoparticles on the thermal stabilities of the polymers can be attributed to the shielding effect of the nanoparticles [37-38], and/or a retardation effect of the nanoparticles on the movement of free radicals and volatile degradation products [34]. This becomes clear when comparing the extent to which the thermal stability of the two polymers improved in the presence of TiO<sub>2</sub>. The increase in degradation temperature was more significant in the case of PLA, which can be explained by the fact that nanosized particles were well dispersed in PLA which resulted in stronger and more effective interaction compared to PHBV. The % residue at 400 °C is about 1.5% for all the blends, which indicates a low level of char formation by the polymers themselves. If this percentage is subtracted from the values observed for the blend nanocomposites, the differences are almost exactly equal to the amount of titania

originally mixed into the blends. This indicates a fair dispersion of the filler in the blends, as was observed from the morphological analysis.

Figures 2.7 and 2.8 show the temperatures at 50% degradation of each component in the blends as function of titania content in the nanocomposites. These figures represent both the effect of blending and filler loading on the thermal stability of each polymer in the blends. Figure 2.7 was plotted with the temperatures calculated from the thermal degradation step of PHBV, and it clearly shows that when the PLA content increases the thermal stability of PHBV also increases, and that the stability even further improves with an increase in filler content. The degradation of PLA, on the other hand, decreases with increasing PHBV content, but the filler has a much stronger stabilizing effect on the degradation of PLA (Figure 2.8). This is a typical example where blending averages the properties of the two polymers in the blend, and where a well-dispersed inorganic filler retards the degradation of the polymer matrix in which it is dispersed. As discussed earlier, there are more filler particles well dispersed in the PLA, and therefore the filler has a stronger stabilizing effect on PLA degradation than on PHBV degradation.



**Figure 2.7 Effect of blending and filler addition on the temperature at 50% mass loss of PHBV in the PLA/PHBV blends and nanocomposites**



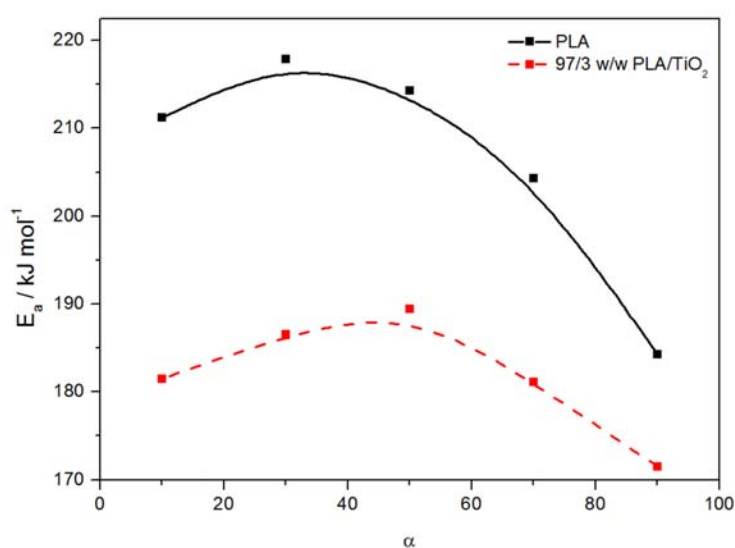
**Figure 2.8 Effect of blending and filler addition on the temperature at 50% mass loss of PLA in the PLA/PHBV blends and nanocomposites**

#### 2.3.4 Degradation kinetics

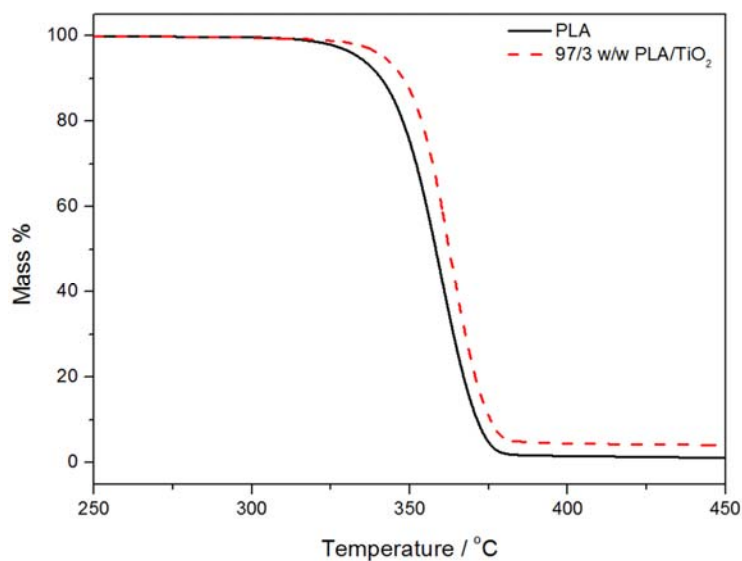
Degradation kinetics analyses may assist in explaining the degradation behaviour of the polymers in the different samples. Figures 2.9 to 2.16 show the degradation kinetics results for the individual polymers without and with filler, and of their blends without and with TiO<sub>2</sub>. The activation energy ( $E_a$ ) of degradation of PLA slightly increased with extent of degradation at low conversions, but decreased quite significantly as the degradation proceeded (Figure 2.9). This indicates an auto-acceleration effect as the degradation progresses. In the presence of TiO<sub>2</sub>, PLA has much lower activation energies of degradation, probably because of a catalytic effect of the nanoparticles on the PLA degradation [39,40]. It does, however, still show the initial increase followed by a decrease towards higher extents of degradation. This seems to be contrary to the fact that the presence of TiO<sub>2</sub> nanoparticles retarded the PLA degradation, as was indicated by the TGA results. However, it is quite possible that less energy is needed to initiate the degradation in the presence of titania nanoparticles, but that the nanoparticles may retard the diffusion of the volatile degradation products out of the polymer, so that the onset of mass loss is at a higher temperature for the PLA nanocomposite [39,40]. As can be seen in Figure 2.10, the mass loss rate (slope of the mass loss step) is steeper for the nanocomposite, which means that



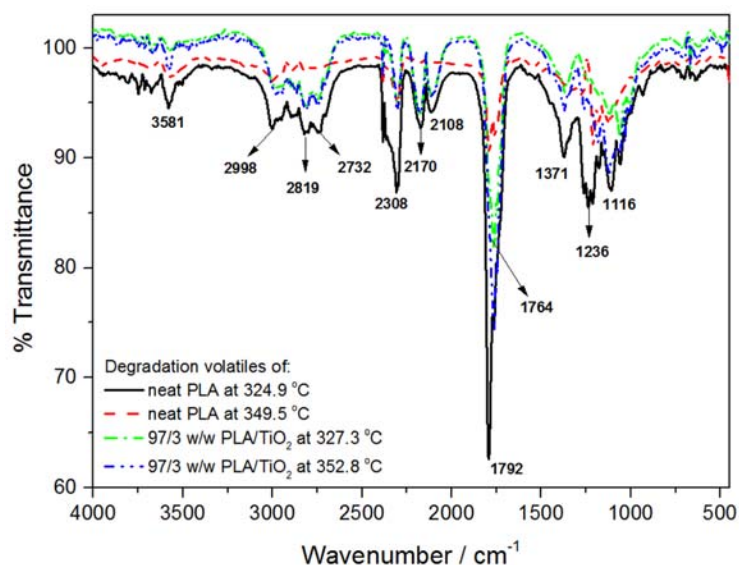
the evolution of the volatile degradation products was faster after the onset of mass loss. Comparison of the FTIR spectra in Figure 2.11 clearly shows much lower intensities for the CO<sub>2</sub> peaks between 2300 and 2400 cm<sup>-1</sup>, the –C=O vibration at about 1785 cm<sup>-1</sup>, and the –C-O-C at about 1120 cm<sup>-1</sup> between the spectra at comparable temperatures for the PLA in the nanocomposite compared to those for neat PLA. The carbonyl peak for the nanocomposite is also at a lower wavenumber (1762 cm<sup>-1</sup>) than that for neat PLA (1789 cm<sup>-1</sup>). Both these observations are strong indications that the degradation interacted with the nanoparticles, and that their volatilization has been retarded as a result of this.



**Figure 2.9 Activation energy vs. extent of degradation for PLA and PLA in the 97/3 w/w PLA/TiO<sub>2</sub> nanocomposite**



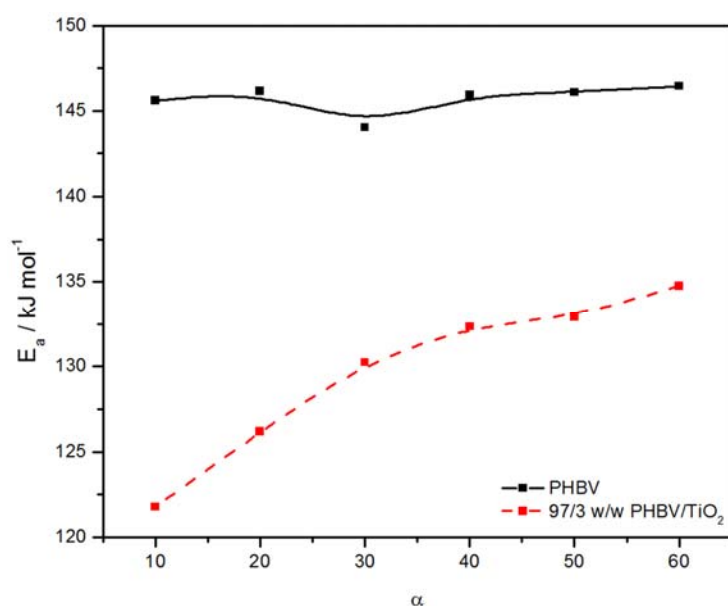
**Figure 2.10** TGA curves of neat PLA and PLA in the 97/3 w/w PLA/TiO<sub>2</sub> nanocomposite



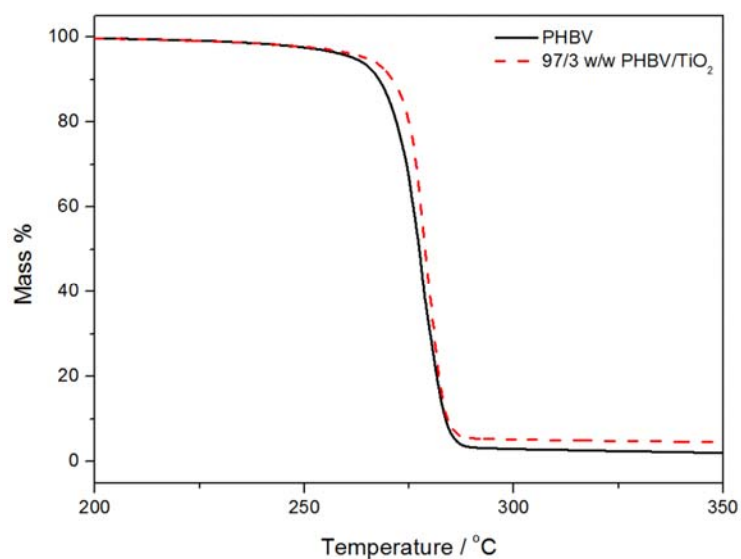
**Figure 2.11** FTIR spectra of the degradation products of neat PLA and PLA in 97/3 w/w PLA/TiO<sub>2</sub>

The activation energy of degradation of PHBV remained unchanged around 146 kJ mol<sup>-1</sup> throughout the degradation process (Figure 2.12). This could mean that the degradation mechanism of pure PHBV did not change throughout the degradation process, which probably has a single rate-determining step because of the independence of  $E_a$  on the extent of degradation [39]. In the presence of TiO<sub>2</sub> the  $E_a$  started at 120 kJ mol<sup>-1</sup> and increased almost linearly with

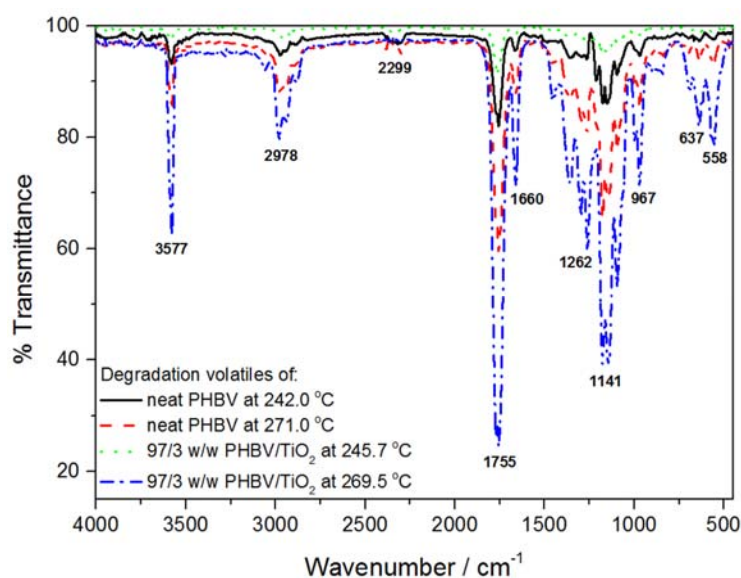
increasing degradation to  $135 \text{ kJ mol}^{-1}$ . The decrease in activation energy of PHBV in the presence of  $\text{TiO}_2$  nanoparticles is initially about  $25 \text{ kJ mol}^{-1}$ , which is attributed to the lowering of the thermal stability at the initial stages of degradation. This indicates that the  $\text{TiO}_2$  nanoparticles probably catalysed the degradation of PHBV [41,42], but that the effectiveness of this catalytic effect decreased with increasing extent of degradation, probably because the nanoparticles were much more agglomerated in PHBV than in PLA. It also seems as if the volatilization of the degradation products was initially retarded through interaction with the nanoparticles, because the onset of degradation for PHBV in the nanocomposite is clearly higher than that of neat PHBV, while the volatilization is clearly faster as can be seen from the slopes of the mass loss curves in Figure 2.13. The PHBV degradation products clearly did not interact so strongly with the nanoparticles as in the case of PLA, which is also clear from the FTIR spectra in Figure 2.14 that are almost identical for neat PHBV and PHBV in the nanocomposite at comparable temperatures. From these spectra it is also clear that the presence of the nanoparticles did not change the PHBV degradation mechanism.



**Figure 2.12** Activation energy vs. extent of degradation for PHBV and PHBV in the 97/3 w/w PHBV/ $\text{TiO}_2$  nanocomposite



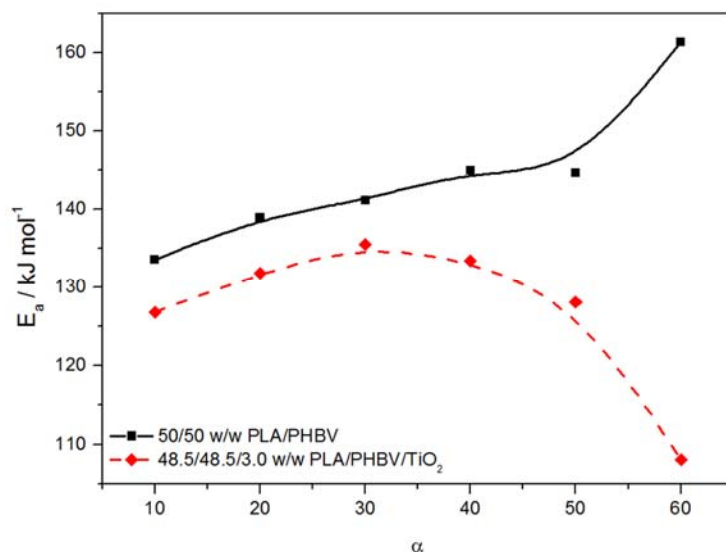
**Figure 2.13** TGA curves of neat PHBV and PHBV in the 97/3 w/w PHBV/TiO<sub>2</sub> nanocomposite



**Figure 2.14** FTIR spectra of the degradation products of neat PHBV and PHBV in 97/3 w/w PHBV/TiO<sub>2</sub>

Figure 2.15 illustrates how  $E_a$  changed with extent of degradation for the 50/50 PLA/PHBV blend and the 47.5/47.5/5.0 w/w PLA/PHBV/TiO<sub>2</sub> blend nanocomposite. The first part of the graph, up to about 47% mass loss, represents the activation energy for PHBV degradation. The

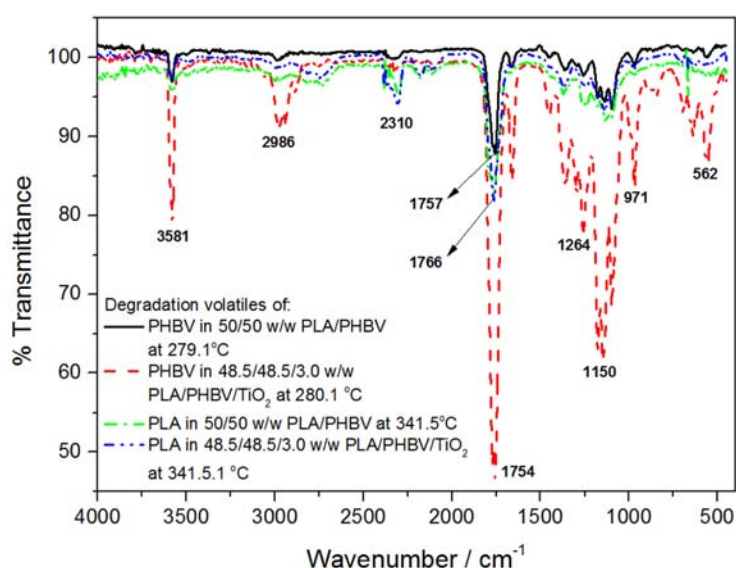
rest of the graph represents the activation energy for PLA degradation. The  $E_a$  of the PHBV in the blend is slightly lower than that of the pure PHBV (Figure 2.12). However, the decrease in  $E_a$  of the PHBV in the PLA/PHBV blend nanocomposite is much less significant than that of PHBV in the PHBV nanocomposite. The reason is probably that all the nanoparticles were in contact with PHBV in the PHBV nanocomposite, even if agglomerated, while most of the nanoparticles were in the PLA phase or on the interface in the blend nanocomposites. In the presence of the nanoparticles there is a very obvious decrease in the activation energy for PLA degradation, and the activation decreases with increasing extent of degradation, contrary to the increase observed for PLA in the blend. There is, however, not a clear-cut explanation for this observation. On the one hand the nanoparticles can retard the evaporation of the volatile degradation products, while on the other hand they may catalyse the degradation reaction. In this case it seems as if the catalysis effect is more dominant, and therefore the activation energy decreased with extent of degradation.



**Figure 2.15** Activation energy vs. extent of degradation for a 50/50 w/w PLA/PHBV blend and its nanocomposite with 3 wt% TiO<sub>2</sub>

The spectra of the degradation volatiles in Figure 2.16 were taken at approximately 280 °C (which falls within the PHBV degradation step) and 341 °C (which falls within the PLA degradation step). The peaks at 1660 cm<sup>-1</sup> (C=C stretching), 1755 cm<sup>-1</sup> (C=O stretching), 3581 and 968 cm<sup>-1</sup> (O-H vibrations), and the bands in the range of 1500-800 cm<sup>-1</sup> (CH<sub>3</sub>, CH bending

vibrations and the heavily overlapped C-O-C and C-C stretching vibrations) in the 280 °C spectra (for the blend and the blend nanocomposite) indicate the presence of ester groups, carboxylic acids, and linear oligomers with crotonate end groups [10,42,44-46], that are the expected products from the degradation of PHBV, in the volatiles. Except for differences in the peak intensities, there is no difference between the spectra for PLA/PHBV and PLA/PHBV/TiO<sub>2</sub>. This confirms that the degradation mechanism was not influenced by the presence of the nanoparticles. We did not present the FTIR curves of the volatiles at different temperatures during the degradation process, but these results show that the peaks in the PLA/PHBV spectrum reached their maximum intensity at a 10 °C lower temperature, which supports our previous observation that the TiO<sub>2</sub> nanoparticles probably interacted with the degradation volatiles and retarded their diffusion out of the sample.



**Figure 2.16 FTIR spectra of the degradation products of PHBV and PLA in 50/50 w/w PLA/PHBV and its nanocomposite with 3 wt% TiO<sub>2</sub>**

The spectra obtained at a higher temperature of 342 °C show peaks at 3580 cm<sup>-1</sup> (O-H vibrations), 2997 and 2747 cm<sup>-1</sup> (CH<sub>2</sub> stretching), 1766 cm<sup>-1</sup> (C=O stretching), 1136 cm<sup>-1</sup> (C-O-C stretching), and 2310 cm<sup>-1</sup> (CO<sub>2</sub> vibrations), that are characteristic of lactide molecules, oligomeric rings, and acetaldehyde plus carbon monoxide, that are the previously determined degradation products of PLA [10,37,43,46]. In this case there are also no differences between the

spectra of PLA/PHBV and PLA/PHBV/TiO<sub>2</sub>, confirming that the presence of the nanoparticles did not change the degradation mechanism. In this case, however, the maximum peak intensities were observed at a lower temperature for PLA/PHBV/TiO<sub>2</sub>, which is in line with the catalytic effect of the nanoparticles on the degradation of PLA, as mentioned in our degradation kinetics discussion.

## **2.4. Conclusions**

The purpose of this work was to investigate the influence of blending and the presence of small amounts of titania nanoparticles on the thermal degradation behaviour of PLA and PHBV. The 50/50 w/w blend showed a co-continuous morphology, while the nanoparticles were selectively localised in the PLA phase and at the interface between PLA and PHBV. This was shown to be the result of differences in surface energies and interfacial tensions between the PLA, PHBV and TiO<sub>2</sub>, as well as differences in the degree of crystallinity of PLA and PHBV. Blending of PLA with PHBV improved the thermal stability of PHBV, since PLA is more thermally stable, and the presence of the nanoparticles increased the mass loss temperatures of both polymers. This was, however, not necessarily an indication of better thermal stability, because all indications are that the well dispersed and small nanoparticles in PLA catalysed the degradation process, but also inhibited the diffusion of the degradation volatiles out of the sample. The same catalysis effect was not obvious in the case of PHBV, but the retardation of degradation product volatilization could also be seen in this case. It was further found that the presence of titania nanoparticles did not change the degradation mechanisms of the polymers.

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## Chapter 3

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### **Morphology and thermal degradation studies of melt-mixed PLA/PCL biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler**

*This chapter has been submitted as a publication:*

*J.P. Mofokeng, A.S. Luyt. Morphology and thermal degradation studies of melt-mixed PLA/PCL biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler. Polymer Testing.*

#### **Abstract**

The morphology and thermal stability of melt-mixed PLA/PCL blend nanocomposites with small amounts of TiO<sub>2</sub> nanoparticles were investigated. The nanoparticles were well dispersed in both polymers with a small number of agglomerates. The thermal stability and degradation behaviour of the polymers, their blends and nanocomposites were studied using thermogravimetric analysis (TGA) and TGA-Fourier-transform infrared (FTIR) spectroscopy. Neat PCL showed better thermal stability than PLA, but the degradation kinetics revealed that PLA had a higher activation energy of degradation than PCL, indicating its degradation rate more strongly depends on temperature, probably because of a more complex degradation mechanism based on chain scission and re-formation. Blending of PLA and PCL reduced the thermal stabilities of both polymers, but the presence of TiO<sub>2</sub> nanoparticles improved their thermal stabilities. The nanoparticles also influenced the volatilization of the degradation products from the blend, acted as degradation catalyst and/or retarded the escape of volatile degradation products from the polymers or blends.

**Keywords:** poly(lactic acid); poly( $\epsilon$ -caprolactone); titania; blends; nanocomposites; thermal degradation

### 3.1. Introduction

The use of inorganic nanofillers to reinforce and compatibilize immiscible polymer blends has been reported in a number of papers [1-9]. In the past the compatibilizers used were graft and block copolymers or chemicals that have an affinity for the polymers in the blend, or that formed crosslinks or chemical bonds between the two components in the blend. However, with the addition of compatibilizers some important properties of one or both components in the blend are sacrificed, and a filler is therefore needed to maintain these properties [1-5]. Nanoparticles can act as a reinforcing filler and compatibilizer at the same time. They have large surface areas, and when they are homogeneously dispersed, they have the capability of reducing the interfacial tension between the components in a blend, especially if they are located at the interface. This will produce a material with a balanced morphology and other important properties from both polymers in a blend, and without deteriorating or compromising these properties [5-9].

In the past decades inorganic nanofillers have been used mainly in petroleum-based synthetic immiscible polymer blends. Their environmental unfriendliness motivated the use of biodegradable polymers, especially for packaging and short shelf-life product applications. Nanometre sized inorganic compounds such as titanium dioxide ( $\text{TiO}_2$ ), zinc oxide ( $\text{ZnO}$ ), silica ( $\text{SiO}_2$ ), aluminium dioxide ( $\text{Al}_2\text{O}_3$ ), and silicon nitride ( $\text{Si}_3\text{N}_4$ ) were tried as fillers in fabrics and polymers to improve the tribological properties.  $\text{TiO}_2$  has received most of the attention because of its good thermal stability, accessibility, and catalytic properties. It is generally used for various applications such as photo-electrochemical activity, solar energy conversion, photocatalysis, UV detection, ultrasonic sensing, and as a promising material in applications such as water or wastewater treatment. Its environmental compatibility, non-toxicity, and low price are some of its practical advantages [10-17].

Biodegradable polymers, and polymers derived from renewable resources, currently attract a lot of attention due to their environmental friendliness, since they combine biodegradability, compostability, and compatibility. They can be recycled or disposed off without harming the environment, and by using different waste forms they can be biodegraded to be used as fertilizers for crops. The use of these biodegradable polymers will not only save the environment, but will also solve the problem of possible fossil fuel shortages [18]. One such a polymer is poly(lactic acid) (PLA), which is biodegradable, bio-absorbable, and renewable thermoplastic polyester, and

which is derived mainly from corn starch and which has been extensively investigated over the past decades. It is a useful material in substituting the petroleum-based polymers used in packaging, due to its good mechanical strength, processability, and energy saving recycling in which it is degraded by addition into a suitable compost where degradation will take place at ambient temperatures. Although PLA is so attractive, it has some limitations that limit its widespread use. These include poor toughness, slow degradation, hydrophobicity, lack of reactive side-chain groups, and low thermal stability [19-22]. Thermal stability is an important property, because it impacts on the time and temperature associated with the processing, service lifetime, and storage of the materials. Several factors like absorbed moisture, molecular weight, residual monomers, and metal catalysts affect the thermal stability of PLA, which can be improved by blending with other thermally stable polymers or by filling it with thermally stable inorganic nanofillers [23].

Polymers can be blended to obtain materials with specific properties that are needed for certain applications. PLA can be blended with other flexible polymers that will act as plasticizers in order to improve its toughness and reduce its brittleness. One such a polymer is polycaprolactone (PCL), which is a biocompatible and biodegradable aliphatic polyester like PLA. It has good toughness, and it displays rubbery properties. PCL has low glass transition and melting temperatures (-60 and 60 °C, respectively). It is also more thermally stable than PLA, which opens the possibility that the presence of PCL in PLA will not only improve its toughness, but also its thermal stability [20,22,24-27].

Blends of PLA and PCL have been reported to be immiscible, with poor mechanical properties because of their incompatibility [22,28,29]. Inorganic nanoparticles have lately been used to compatibilize this kind of blend, and to balance and tailor it to the desired properties needed for specific applications, mostly the thermal stability [1,2]. The thermal stability and morphology of individual PLA and PCL filled with the inorganic/organic micro- and nanofillers have been studied [13,30-33], and it was reported that the fillers deteriorate the thermal stability of the polymers by catalysing the thermal degradation. Their morphologies of the materials are controlled by whether the filler is functionalised or not. Severe aggregation of the nanofiller has been reported to decrease in thermal stability of the polymers, mostly for non-functionalised nanofillers. Nakayama *et al.* [13] prepared PLA/TiO<sub>2</sub> nanocomposites, and Courgneau *et al.* [31] PLA/cellulose fibre composites. Both groups found a decrease in the thermal stability of the



filled PLA, and they attributed it to a catalytic effect of the filler on the polymer degradation. Chrissafis *et al.* [33] studied the thermal degradation of PCL with organo-modified montmorillonite (MMT) and nanosilica in a nitrogen atmosphere. They reported an acceleration of PCL degradation in the presence of the fillers. However, unmodified MMT and multi-walled carbon nanotubes inhibited the thermal degradation of PCL. They also studied the degradation kinetics of PCL and found that neat PCL degrades by two consecutive mechanisms. The first step is a polymer chain cleavage via cis-elimination, and the second step is an unzipping depolymerization from the hydroxyl end of the polymer chain. They found that the nanoparticles did not affect the degradation mechanism of PCL. From this information it is clear that the presence of nanofillers did not really improve the thermal stability of PLA and PCL, and therefore we decided in this paper the influence of these two polymers on each other's thermal stability in a blend, and the influence of titania nanoparticles on their respective thermal stabilities in the same blend.

PLA/PCL blends were intensively studied over the past decade [9,20,22,25-27,34-36], and most of these studies reported a phase separated structure with discrete PLA domains in a continuous PCL phase, and in which the morphologies were stabilised by nanofillers. The nanofiller either selectively localised in one of the two phases in the blend, on the interface, or in both the interface and one of the phases. This selective localisation of the filler is determined by the large difference in the affinity, which is closely related to the surface properties of the different components, between the nanofiller and the two matrix components in the blend [37,38]. Wu *et al.* [9,34] introduced multi-walled carbon nanotubes (MWCNTs) into a 30/70 w/w PLA/PCL blend, and found that the MWCNTs were selectively dispersed in the lower viscosity PCL phase and on the interface. According to them this not only prevented the coalescence of the discrete PLA phase, but also enhanced the interfacial adhesion [39]. In this case the MWCNTs acted as nano-reinforcement and compatibilizer at the same time, adjusting the morphology and improving the final properties of the PLA/PCL blend. They also prepared 70/30 and 30/70 PLA/PCL blends with organoclay and CNTs as fillers [35], and reported that the clay was dispersed in PLA and on the interface, whereas the CNTs were dispersed in PCL and on the interface. By using surface energy results and interfacial tensions, they calculated the wetting coefficient that proved the selective localization of the nanofillers, and attributed their observations to the difference in surface properties of the components in these blend nanocomposites. The

thermal degradation of PLA and PCL in PLA/PCL blends were investigated through basic TGA analyses [36,40]. Both the presence of PCL and the presence of clay nanoparticles were found to improve the thermal stability of PLA, but only up to 5 wt% nanoparticle content because of their agglomeration at higher contents.

The aim of the work reported in this paper was to investigate the influence of PLA/PCL blending, and the presence of TiO<sub>2</sub> nanofiller in the individual polymers and the blends, on the thermal stability and degradation behaviour of PLA and PCL. The blend and nanocomposite morphologies were investigated and explained, and these morphologies were used to explain the observed degradation behaviour and kinetics, that were followed through thermogravimetric analysis (TGA) and TGA-Fourier-transform infrared (FTIR) analyses.

## **3.2. Experimental**

### *3.2.1 Materials*

The polylactic acid (PLA) used in the study was a commercial grade (PLA 2002D), obtained from Natureworks, LLC (USA). It has a D-isomer content of 4%, density = 1.24 g cm<sup>-3</sup>, glass transition temperature of ~53 °C, and a melting temperature of ~153 °C. The Capa<sup>TM</sup> 6500 polycaprolactone (PCL) was purchased from Southern Chemicals in Johannesburg, South Africa. It has a density of 1.1 g cm<sup>-3</sup>, a glass transition temperature of -61 °C, and a melting temperature ~60 °C. Anatase titanium(IV)oxide (TiO<sub>2</sub>) with particle sizes < 25 nm and 99.7% purity was supplied by Sigma-Aldrich in South Africa.

### *3.2.2 Blend and nanocomposite preparation*

The samples were prepared *via* melt-mixing using a Brabender Plastograph. PLA was dried in an oven at 80 °C for four hours prior to mixing; PCL and TiO<sub>2</sub> were used as received. 30/70, 50/50, and 70/30 w/w PLA/PCL blends and their nanocomposites with 1, 3, and 5 wt% of TiO<sub>2</sub> were mixed at 170 °C for ten minutes. The samples were compression moulded into 2 mm thick sheets at the same temperature for 5 minutes using a hydraulic press at a pressure of 50 bar, after which they were removed and left to cool under ambient conditions.

### 3.2.3 Characterization

Size exclusion chromatography (SEC) analyses performed on a PL Olexis column (Polymer Laboratories). Chloroform was used as the mobile phase at a flow rate of  $1.00 \text{ mL min}^{-1}$ . The samples were prepared at a concentration of  $2 \text{ mg mL}^{-1}$ . The column was calibrated with polystyrene (PS) standards from Polymer Laboratories (Church Stretton, Shropshire, UK). The chromatograph comprised of a Waters1515 isocratic pump, a Waters inline degasser AF, and a Waters 717 Plus auto sampler with a  $100 \text{ }\mu\text{L}$  sample loop. The system was connected to an evaporative light scattering detector (PL-ELS 1000). Nitrogen was used as carrier gas in the ELSD, at a flow rate of 1.5 SLM. The evaporator and nebulizer temperatures were set at  $100$  and  $40$   $^{\circ}\text{C}$ , respectively.

The melt flow index of the two polymers, used to prepare the blends and nanocomposites, was determined using a CEAST Melt Flow Junior. Ten samples each of both polymers were analysed at  $180$   $^{\circ}\text{C}$ . The amount of PLA which flowed through the die over a period of 10 minutes, and the amount of PCL which flowed through the die over a period of 5 minutes, under  $2.16 \text{ kg}$  weight were determined. The determined amount of PCL was multiplied by 2 to determine a comparable MFI.

A Tescan VEGA3 scanning electron microscope (SEM) was used to study the surface morphologies of the PLA/PCL blends and nanocomposites. The liquid nitrogen fractured samples were sputter coated with gold for 30 seconds to eliminate sample charging, and analyses were performed at three different magnifications.

The morphologies of the nanocomposites were characterised by transmission electron microscopy (TEM). Images were obtained using a  $200 \text{ kV}$  FEI Tecnai20 TEM fitted with Gatan Tridiem. The 50/50 w/w PLA/PCL blend with  $5 \text{ wt}\%$   $\text{TiO}_2$  nanoparticles was trimmed and sectioned to fit the ultra-microtome, since they were hard enough to cut without cooling. Uranyl acetate was used as a staining substance for staining only PCL, to distinguish the different phases in the blend nanocomposites. The samples were sectioned at  $150 \text{ nm}$  using a Leica UC7 (Vienna, Austria) ultramicrotome, collected on copper grids, and examined with a Philips (FEI) (Eindhoven, The Netherlands) CM100 transmission electron microscope at  $60 \text{ keV}$ .

The contact angle measurements of the samples were conducted at room temperature on a surface energy evaluation system, based on the sessile drop method. At least 5 replicates were

analysed for each sample to ensure reproducibility of the results. Distilled water (H<sub>2</sub>O) and diiodomethane (CH<sub>2</sub>I<sub>2</sub>) were used as polar and non-polar solvents, respectively. The literature values of their surface energies are: (H<sub>2</sub>O:  $\gamma^p = 50.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 22.1 \text{ mJ m}^{-2}$ ; CH<sub>2</sub>I<sub>2</sub>:  $\gamma^p = 6.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 44.1 \text{ mJ m}^{-2}$ ). The interfacial tension between the components in a blend was calculated with the Owens-Wendt method using the geometric mean equation (Equation 3.1) [35,37,41].

$$\gamma_{12} = \gamma_1 + \gamma_2 - \sqrt{\gamma_1^d \cdot \gamma_2^d + \gamma_1^p \cdot \gamma_2^p} \quad (3.1)$$

where  $\gamma_{12}$  = interfacial tension between components 1 and 2 in the blend,  $\gamma_1$  and  $\gamma_2$  are the total surface energies of components 1 and 2,  $\gamma_1^d$  and  $\gamma_2^d$  are the dispersive surface energies of components 1 and 2, and  $\gamma_1^p$  and  $\gamma_2^p$  are the polar surface energies of the components in the nanocomposites.

A Perkin-Elmer STA6000 thermogravimetric analyser (TGA) was used to analyse the thermal degradation behaviour of the samples. The analyses were done from 30 to 600 °C at a heating rate of 10 °C min<sup>-1</sup> under nitrogen flow (20 ml min<sup>-1</sup>). The sample masses were ~24 mg. The samples for thermal degradation kinetics were run at 3, 5, 7, 9, and 15 °C min<sup>-1</sup> heating rates under nitrogen atmosphere, and the TGA's integrated kinetics software (based on the Flynn-Ozawa-Wall method (Equation 3.2)) was used to calculate the activation energies:

$$\ln \beta = c - 1.052 \left( \frac{E_a}{RT} \right) \quad (3.2)$$

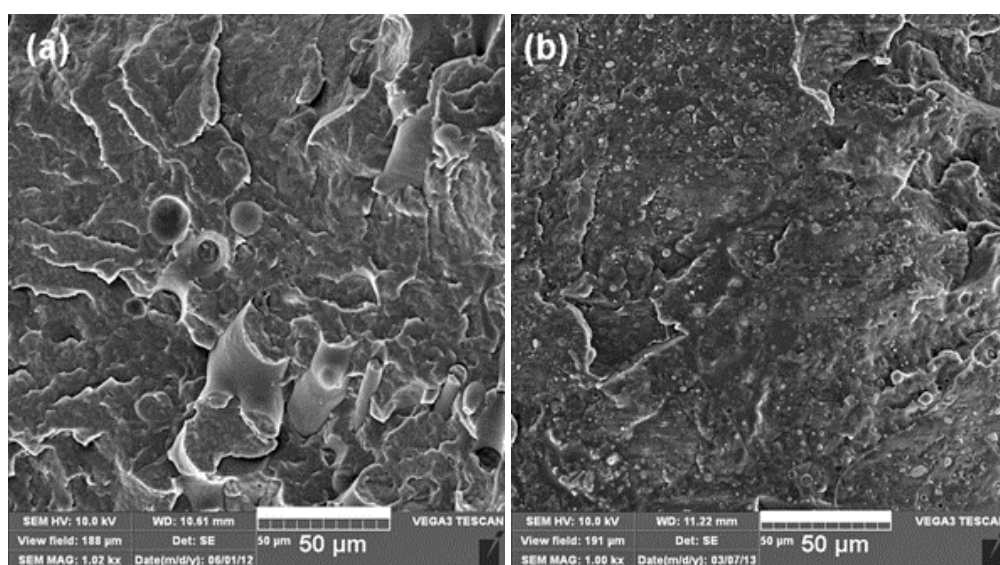
where  $\beta$  = heating rate in K min<sup>-1</sup>,  $c$  is a constant,  $E_a$  = activation energy in kJ mol<sup>-1</sup>,  $R$  = universal gas constant, and  $T$  = temperature in K. The plot of  $\ln \beta$  vs.  $1/T$ , obtained from the TGA curves recorded at different heating rates, should be a straight line. The activation energy was evaluated from its slope. The TGA was also connected to a Perkin-Elmer Spectrum 100 Fourier transform infrared (FTIR) spectrometer to analyse the thermal degradation volatiles. The same temperature range and heating rate were used, and the volatiles were transferred to FTIR by a

Perkin-Elmer TL 8000 balanced flow FT-IR EGA system at 200 °C and a flow rate of 150 ml min<sup>-1</sup>. Spectra were collected at five different temperatures during the degradation process.

### 3.3. Results and discussion

#### 3.3.1 Morphology

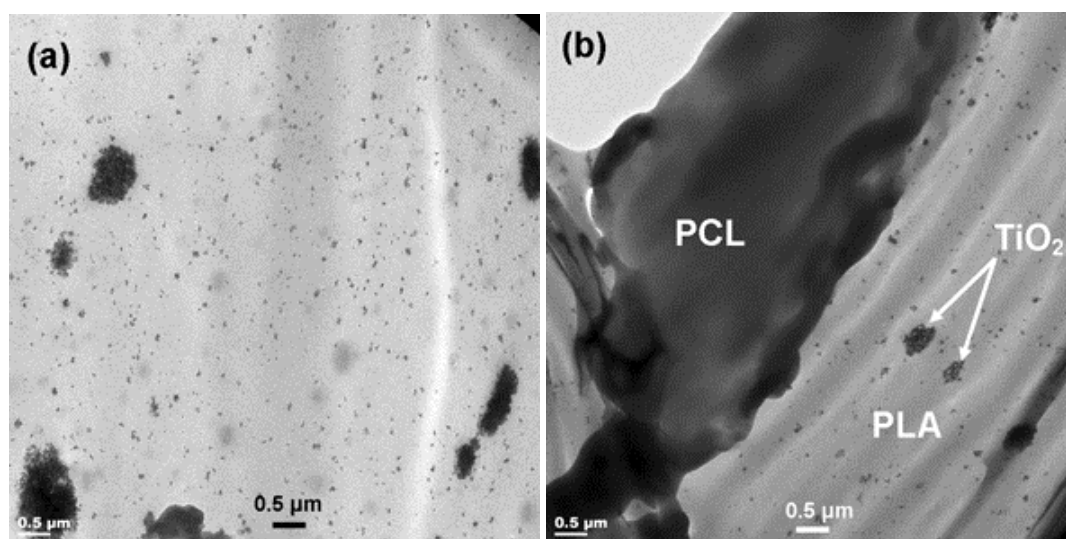
Figure 3.1 shows the SEM pictures of the 50/50 w/w PLA/PCL blend and its nanocomposite with 5 wt% TiO<sub>2</sub>. Neither of the two pictures show separate polymer phases, but the picture of the blend nanocomposite clearly shows the TiO<sub>2</sub> nanoparticles fairly well dispersed in the polymer blend. It was, however, not possible to observe in this picture whether the nanoparticles were dispersed in one or both polymers. The presence of TiO<sub>2</sub> nanoparticles also gave rise to a much smoother surface structure (Figure 3.1(b)).



**Figure 3.1 SEM pictures of the (a) 50/50 w/w PLA/PCL blend, and (b) 50/50 w/w PLA/PCL nanocomposite with 5 wt% TiO<sub>2</sub>, both at 1000× magnification**

PLA and PCL have been shown before to be immiscible with a clearly phase-separated morphology [9]. The TEM picture of a blend nanocomposite (Figure 3.2(a)) shows that the nanoparticles are homogeneously dispersed across the whole area of the photo, but a few large agglomerates are visible. We can therefore assume that the nanoparticles equally well disperse in

both PLA and PCL. This indicates that the interaction between the nanoparticles and both polymers is fairly good. Although there seems to be a good dispersion of the nanofiller in both polymers, the different phases in the immiscible blend nanocomposite could not be seen. In order to identify the phase morphology of the blend, PCL was stained with uranyl acetate (Figure 3.2(b)). The dark phase represents the stained PCL, while the lighter phase represents the unstained PLA, and the interface between the two polymers can now be clearly identified. This picture clearly shows a co-continuous morphology, but because of the dark staining it is not possible to see the nanoparticles in both phases in order to confirm our assumption above. Normally the nanoparticles will disperse in the polymer which interacts most strongly with them, and on the interface between the polymers. In most previously investigated PLA/PCL blends the nanoparticles were selectively localised in the PCL phase or on the interphase [9,34,38], but in our case there are clear indications that the nanoparticles were equally well dispersed in both polymers.



**Figure 3.2 TEM pictures of 50/50 w/w PLA/PCL with 5 wt% TiO<sub>2</sub>: (a) unstained, and (b) uranyl acetate stained, both at 5700× magnification**

The fact that the titania nanoparticles dispersed equilly well in both polymers cannot be attributed to a single factor, but to a balance between the strength of the interfacial interaction and to differences in the viscosities of the two polymers. The surface properties in Tables 3.1 and 3.2 indicate that the interfacial tension between PCL and the TiO<sub>2</sub> nanoparticles is quite high (6.9

mN m<sup>-1</sup>), probably because of the difference between the polar components of their surface free energies. When there is a large difference between the polar components of the surface free energy, the two phases will probably not be compatible and therefore the nanoparticles will preferably disperse in the phase which has a more comparable polar component, or the phase with a lower viscosity [9,32,34,35,38]. In this case, although there is more tension between PCL and the nanoparticles, the PCL is much less viscous (higher melt flow index in Table 3.3), and therefore the nanoparticles disperse in both PLA (with which it has a fairly good interfacial interaction) and PCL (which has a much lower viscosity than PLA). These surface energy values were determined at room temperature, but they may be different from the values at the mixing temperature we used, and therefore we can only assume that the values in Table 3.1 are not too much different from what the values would have been if the surface energies were determined at 170 °C.

**Table 3.1 Contact angles of PLA, PCL and TiO<sub>2</sub>. The TiO<sub>2</sub> contact angle was taken from the literature [37]**

Contact angle / deg			Surface energy / mN m <sup>-1</sup>		
	H <sub>2</sub> O	CH <sub>2</sub> I <sub>2</sub>	$\gamma$	$\gamma^d$	$\gamma^p$
<b>PLA</b>	44.7 ± 1.0	35.4 ± 0.0	62.0	41.8	20.2
<b>PCL</b>	60.2 ± 0.8	27.0 ± 0.5	55.8	45.4	10.4
<b>TiO<sub>2</sub></b>	19.7	10.1	80.7	46.4	34.3

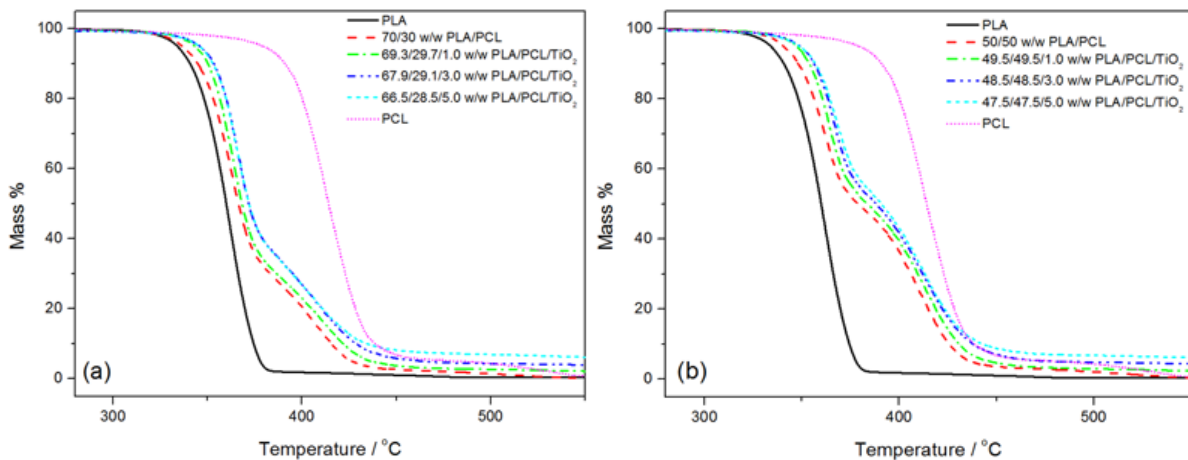
$\gamma$  = surface free energy,  $\gamma^d$  = dispersive component of surface free energy,  $\gamma^p$  = polar component of surface free energy

**Table 3.2 Interfacial tension values calculated using the geometric-mean equation (Equation 3.1)**

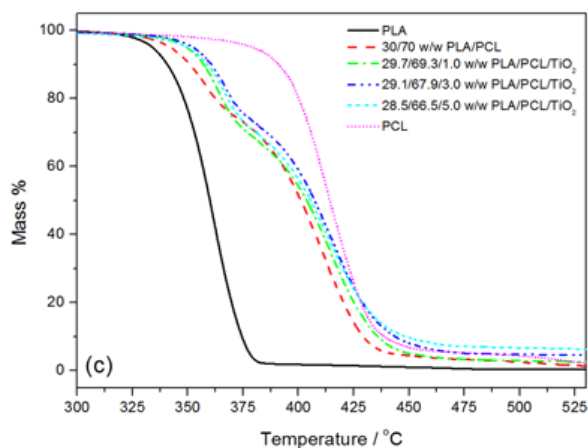
Component pair	Interfacial tension / mN m <sup>-1</sup>
PLA/PCL	1.7
PLA/TiO <sub>2</sub>	2.0
PCL/TiO <sub>2</sub>	6.9

### 3.3.2 Thermogravimetric analysis (TGA)

The good dispersion of the nanofiller in the two polymers of a blend is not common in immiscible polymer blends, although it may be preferable for obtaining a certain combination of properties in the final product. We were interested in the thermal stability, because thermal degradation decreases the usability of the materials. Figure 3.3 and Table 3.4 present the TGA results of all the investigated samples. The neat PLA shows one degradation step around 365 °C, while the neat PCL shows two degradation steps around 415 and 520 °C. PCL clearly decomposes through a two-step degradation mechanism. The first step is a polymer chain cleavage *via* cis-elimination, and the second step is an unzipping depolymerization from the hydroxyl end of the polymer chain [42,43]. PLA degradation occurs according to a back-biting ester interchange reaction which takes place through a non-radical mechanism involving the –OH chain ends. The PLA/PCL blends show two clearly resolved degradation steps, and the extent of mass loss corresponds with the respective amounts of polymer in the blends, confirming their immiscibility.







**Figure 3.3 TGA curves of (a) 70/30, (b) 50/50, and (c) 30/70 w/w of PLA/PCL with 1, 3 and 5 wt% of TiO<sub>2</sub>**

**Table 3.3 Molar masses, dispersity index and melt flow index of PLA and PCL**

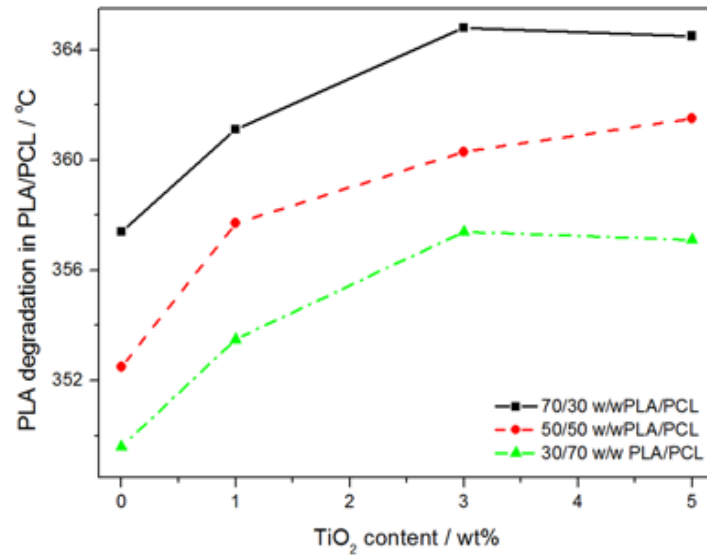
Sample	M <sub>p</sub>	M <sub>n</sub>	M <sub>w</sub>	M <sub>z</sub>	D	MFI / (g/10 min)
PLA	71960	55047	142500	352730	2.6	2.8
PCL	25828	19005	36135	55440	1.9	14.5

M<sub>p</sub> = peak average molar mass, M<sub>n</sub> = number average molar mass, M<sub>w</sub> = weight average molar mass, M<sub>z</sub> = viscosity average molar mass, D = dispersity index, MFI = melt flow index

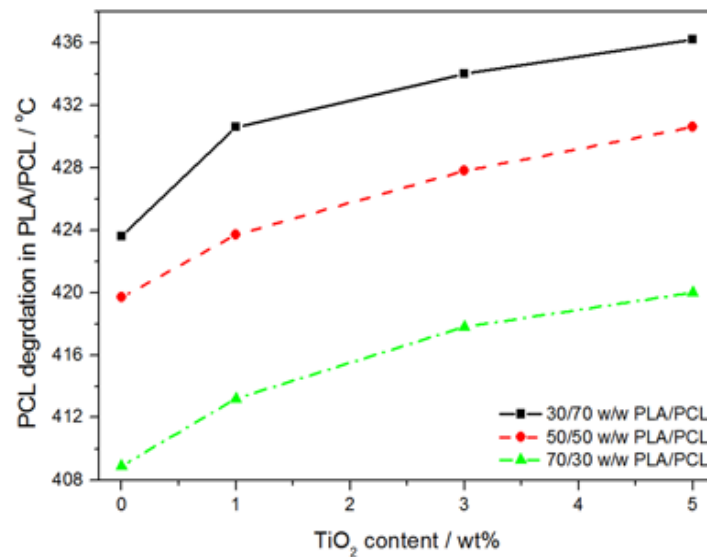
**Table 3.4 Derivative TGA peak temperatures of all the investigated samples**

Sample	PLA degradation step / °C	PCL degradation step / °C
Neat PLA	364.4	-
70/30 w/w PLA/PCL	364.0	409.8
50/50 w/w PLA/PCL	362.8	411.6
30/70 w/w PLA/PCL	356.8	412.2
Neat PCL	-	413.9 and 521.6
69.3/29.7/1.0 w/w PLA/PCL/TiO <sub>2</sub>	365.7	412.0
49.5/49.5/1.0 w/w PLA/PCL/TiO <sub>2</sub>	365.3	415.4
29.7/69.3/1.0 w/w PLA/PCL/TiO <sub>2</sub>	365.6	414.5
67.9/29.1/3.0 w/w PLA/PCL/TiO <sub>2</sub>	368.2	411.2
48.5/48.5/3.0 w/w PLA/PCL/TiO <sub>2</sub>	367.0	413.1
29.1/67.9/3.0 w/w PLA/PCL/TiO <sub>2</sub>	366.2	415.2
66.5/28.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	368.2	408.8
47.5/47.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	370.5	414.3
28.5/66.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	367.2	415.5

Figures 3.4 and 3.5 illustrate the effect of blending and TiO<sub>2</sub> nanoparticles loading on the thermal stability of the polymers in a blend. The temperatures were taken at 50% mass loss of each polymer in the blends as function of titania content in the nanocomposites. It is clear that the thermal stability of both PLA and PCL decreases (from 357 to 350 °C for PLA, and from 424 to 409 °C for PCL) with an increase in the amount of the other polymer in the blend. As already mentioned, the reason for the decrease in the thermal stability of PCL is due to the presence of the less thermally stable PLA. One would expect the thermal stability of PLA to increase in the presence of PCL, because PCL is more thermally stable than PLA. The decrease in PLA's thermal stability can therefore not be due to the presence of PCL, but is probably the result of the incompatibility of the two polymers [25]. This incompatibility restricts the balance between their properties, which may result in a poorer performance of the final product. In the presence of the TiO<sub>2</sub> nanoparticles the thermal stabilities, as determined from the mass loss temperatures, of both polymers in the respective blends increased (Figures 3.4 and 3.5). In the case of PLA the degradation temperature only increased up to 3 wt% nanoparticle content, with little if any further increase for the samples with 5 wt% nanoparticles. Whatever the reason for this apparent increase in thermal stability, the effect is obviously more prominent at lower nanoparticle contents when the extent of agglomeration is lower. PCL also shows some levelling off of degradation temperature with increasing nanoparticle content, but the degradation temperature increased more significantly in this case. The increased mass loss temperatures may be due to any one or a combination of the following factors: (i) The TiO<sub>2</sub> nanoparticles probably absorb more thermal energy than any of the polymers, at least initially; (ii) Interaction between the titania nanoparticles and the volatile degradation products probably retards their diffusion out of the sample, which will increase the onset of mass loss to higher temperatures [4,15].



**Figure 3.4** Effect of blending and filler addition on the temperature at 50% mass loss of PLA in the PLA/PCL blends and nanocomposites



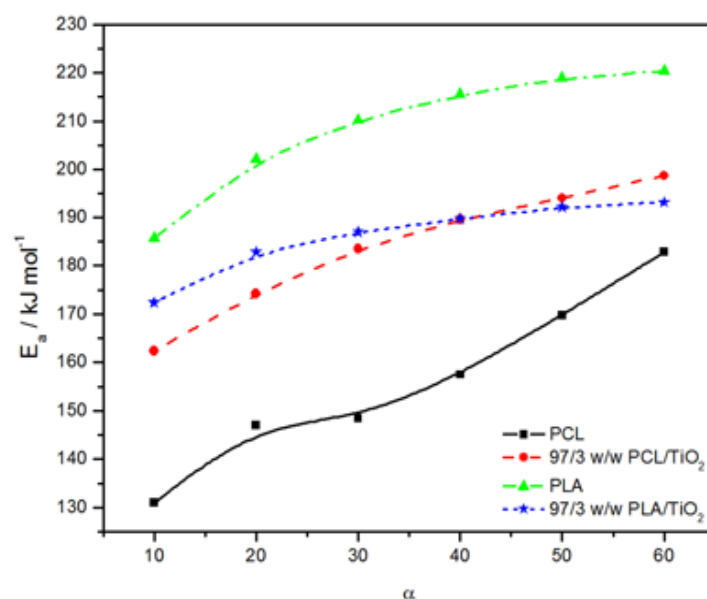
**Figure 3.5** Effect of blending and filler addition on the temperature at 50% mass loss of PCL in the PLA/PCL blends and nanocomposites

### 3.3.3 Degradation kinetics

The activation energy ( $E_a$ ) is the minimum energy required to initiate the thermal degradation process, and it is related to the temperature dependence of the rate of degradation. Figure 3.6

shows how the activation energy as function of extent of mass loss ( $\alpha$ ) of PLA and PCL compare to those of their respective nanocomposites.  $E_a$  of neat PCL increased almost linearly with extent of mass loss from 131 to 183 kJ mol<sup>-1</sup>. Such an increase in  $E_a$  is said to be typical for the degradation of polymers [44]. The activation energies of PCL are significantly higher in the presence of the nanoparticles (Figure 3.6), which could signify an improvement in the thermal stability of PCL. However, it is quite possible that it is not the thermal stability which was improved, but the nanoparticles interacting with the degradation volatiles, delaying their diffusion out of the molten polymer blend [45,46].

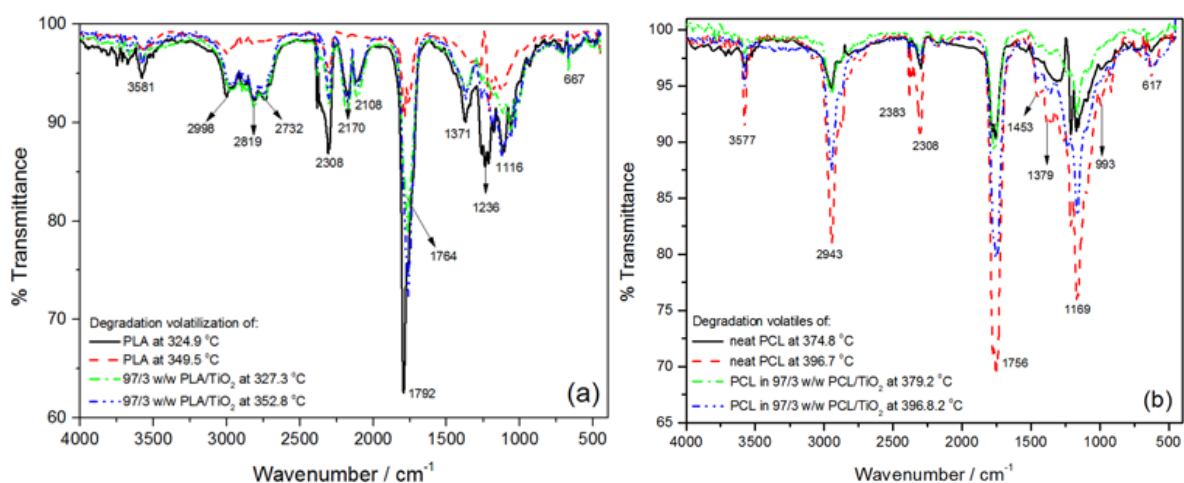
The activation energies of PLA are much higher than those of PCL, starting at 185 kJ mol<sup>-1</sup> and increasing almost linearly to 220 kJ mol<sup>-1</sup> with increasing extent of mass loss. This indicates that the degradation rates of PLA have a stronger dependence on temperature than those of PCL. This is probably because the thermal degradation of PLA follows a complex route with at least six possible mechanisms [30,47]. Backbiting is one of these mechanisms, and it involves a transesterification reaction which will give rise to simultaneous bond breaking and bond formation, where the lactic acid will come back and attach itself onto the backbone again. This process will continue until all the lactide monomer molecules have been released and the thermal degradation is complete. Such a degradation mechanism will typically be more temperature dependent than a straightforward chain scission or unzipping mechanism. The presence of TiO<sub>2</sub> in PLA reduced the activation energy of degradation of PLA (Figure 3.6). This indicates that the TiO<sub>2</sub> nanoparticles must have had a catalytic effect on the degradation of PLA. However, a conclusion like this cannot be made with absolute certainty, because ‘degradation’ in this case is monitored in terms of mass loss when the volatile degradation products evaporate from the degrading sample, and the diffusion of the volatile degradation products out of the sample and their evaporation may be retarded through interaction between these degradation products and the dispersed nanoparticles.



**Figure 3.6 Activation energy vs. extent of degradation for PCL, PLA, 97/3 w/w PCL/TiO<sub>2</sub> and 97/3 w/w PLA/TiO<sub>2</sub>**

It is clear from Figure 3.7(a) that the intensities of CO<sub>2</sub> between 2300 and 2400 cm<sup>-1</sup>, the –C=O vibration at about 1792 cm<sup>-1</sup>, and the –C-O-C bands in the spectrum at about 325 °C are high. At about 350 °C the same bands have significantly lower intensities, while other peaks (2998, 2819, 2732, 2170, 2108 and 1371 cm<sup>-1</sup>) disappeared completely. In the presence of TiO<sub>2</sub> nanoparticles, at about same temperatures, the carbonyl band shifted from 1792 to 1764 cm<sup>-1</sup> and the intensity was lower than that of neat PLA, while at the higher temperatures the intensities were higher. Both these observations are a strong indication that the PLA degradation products interacted with the nanoparticles, which retarded their diffusion out of the molten polymer.

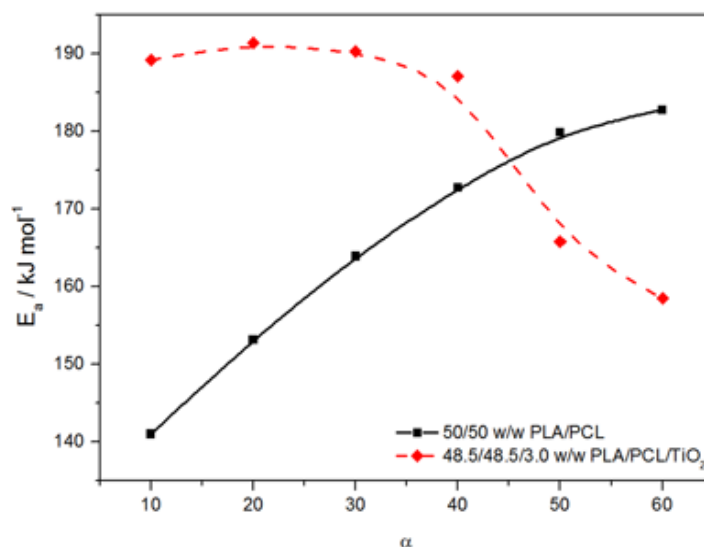
The FTIR spectra in Figure 3.7(b) show that the intensities of the hydroxyl (-OH) band around 3577 cm<sup>-1</sup>, the bands between 2400 to 2300 cm<sup>-1</sup> for carbon dioxide (CO<sub>2</sub>), carbonyl band (C=O) at 1756 cm<sup>-1</sup>, and the heavily overlapped C-O-C, C-O, CH, CH<sub>2</sub> bands between 1400-1100 cm<sup>-1</sup> are lower at the higher temperature for the TiO<sub>2</sub> containing PCL, contrary to the higher intensities observed for neat PCL at the higher temperature. This is in line with the higher activation energies for the nanocomposites observed in the degradation kinetics investigation (Figure 3.6), and confirms that the interaction between the volatile degradation products and the nanoparticles (which retards their diffusion out of the sample) dominates any catalytic effect that the nanoparticles may have had on the PCL degradation process.



**Figure 3.7 FTIR spectra of the degradation products of (a) neat PLA and 97/3 w/w PLA/TiO<sub>2</sub>, (b) neat PCL and 97/3 w/w PCL/TiO<sub>2</sub>**

Figure 3.8 shows the activation energy versus the extent of mass loss ( $\alpha$ ) for the 50/50 w/w PLA/PCL blend and its nanocomposite with 3 wt% TiO<sub>2</sub>. The first half of each graph is related to the PLA degradation, and the second half to that of PCL. The activation energy of degradation of the blend increased from about 141 kJ mol<sup>-1</sup> to about 183 kJ mol<sup>-1</sup>. The degradation activation energy for the PLA phase in the blend is therefore significantly lower than that of unblended PLA, which is in line with its reduced thermal stability in the presence of PCL, while the degradation activation energy of PCL is slightly higher than that of unblended PCL. The only reason that can be suggested at this point in time is that the co-continuous morphology of the blend, and the relatively weak interaction between the two components, in some way changed the energy requirements for the initiation and propagation of the degradation of each of the components. In the presence of the nanoparticles the activation energy is higher for the PLA in the blend, but lower for the PCL in the blend. As already discussed above, several factors may contribute to changes in the degradation kinetics of the different components in a blend and a nanocomposite. Firstly there is the catalysing effect of the nanoparticles, which we have already indicated may be more prevalent in the case of PLA. Secondly there is the interaction between the nanoparticles and the volatile degradation products, which may retard the diffusion of these products out of the sample. In this case different products may interact differently with the nanoparticles, and the adsorption of one or more of the volatile substances onto the nanoparticles

may affect the extent of influence of the nanoparticles on the other products. The evaporation of PLA degradation products may also accelerate the release of PCL degradation products.

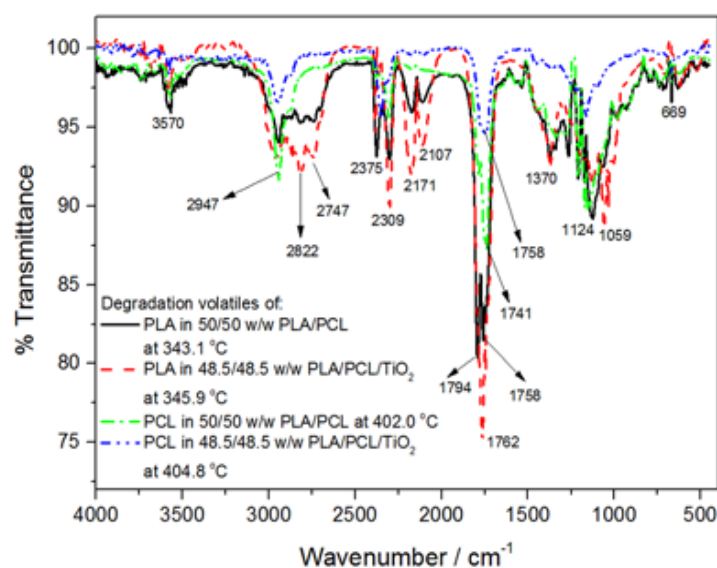


**Figure 3.8 Activation energy vs. extent of degradation curves for 50/50 w/w PLA/PCL without and with 3 wt% TiO<sub>2</sub>**

The spectra of the degradation volatiles of the blend and blend nanocomposite (Figure 3.9) were obtained at around 344 °C (during the degradation step of PLA) and 396 °C (during the degradation step of PCL). The peaks indicated in the figure are characteristic of lactide molecules, oligomeric rings, and acetaldehydes plus carbon monoxide, that are the previously determined degradation products of PLA [45,46,48-50]. The carbonyl band split into two peaks (1794 and 1758 cm<sup>-1</sup>) that correspond to the wavenumbers of the carbonyl bands observed in the spectra of the degradation products from neat PLA and neat PCL. Similarly the carbon dioxide band appears at two different wavenumbers (2375 and 2309 cm<sup>-1</sup>). The only explanation we have for this observation is that the PCL started degrading while the degradation of PLA was still in progress, and therefore the spectra show separate carbonyl and carbon dioxide bands related to degradation products from each of the two polymers. The already discussed TGA results showed a decrease in the mass loss temperatures of both polymers when blended. It is possible that the PCL degradation products could have been drawn along during the evaporation of the PLA degradation products. This is confirmed by the fact that neat PLA shows only well-defined CO<sub>2</sub> band around 2308 cm<sup>-1</sup>, while neat PCL shows two CO<sub>2</sub> bands at 2383 and 2308 cm<sup>-1</sup> (Figure

3.7(b)). Hoidy *et al.* [51], who also investigated the thermal degradation of PLA/PCL blends, confirmed that PCL started decomposing before the decomposition of PLA finished.

When decomposing the PLA/PCL blend in the presence of the nanoparticles, a single carbonyl band at  $1762\text{ cm}^{-1}$  and a single  $\text{CO}_2$  band at  $2309\text{ cm}^{-1}$  were observed in the spectrum of the PLA degradation volatiles, contrary with the observation for the blend in the absence of nanoparticles. The positions of these bands are identical to those observed for the degradation products of neat PLA. Similarly, in the spectrum taken in the PCL degradation range, only single peaks were observed in the same positions as those from the degradation volatiles of neat PCL (Figure 3.7(b)). This confirms that in the presence of the nanoparticles the degradation products from the two polymers did not evaporate simultaneously as in the case of the blend. There are two possible reasons: (i) the catalysis effect of the nanoparticles in the case of PLA may have resulted in the degradation products of PLA evaporating before PCL really started degrading; (ii) adsorption of degradation products onto the nanoparticles may have effectively separated the volatilization of the respective polymers' degradation products.



**Figure 3.9 FTIR spectra of the degradation products PLA and PCL in 50/50 w/w PLA/PCL and its nanocomposites with 3 wt%**

The spectra obtained at  $403\text{ °C}$  show peaks that are characteristic of 5-hexanoic acid/ $\epsilon$ -caprolactone, carbon dioxide, water, and methyl pentanoate [40,41,52-54] that are the typical thermal decomposition products for PCL. Although it is not obvious from the presented spectra,



the spectra we took of the blend at different temperatures during the PCL degradation step show the highest peak intensities at 381 °C, while for the nanocomposite the highest peak intensities were observed in the 375 °C spectrum. There is not much difference between these two temperatures taking into account the experimental setup for the determination of these spectra, which means that the titania nanoparticles had little effect on the degradation of PCL in the blend. This is contrary to some of our previous observations, but since spectra could not be taken at a larger number of temperatures with smaller temperature intervals, it is probably better not to use this data as conclusive evidence.

### **3.4. Conclusions**

The purpose in this work was to investigate the effect of blending and the presence of low contents of titania nanoparticles on the thermal degradation behaviour of PLA and PCL. The nanoparticles were evenly dispersed in the PLA/PCL blends. This was attributed to (i) affinity because of small differences between the relative polarities of the nanoparticles and PLA, and (ii) the lower viscosity of PCL which caused it to easily accommodate the nanoparticles during melt-mixing. Neat PLA had a lower thermal stability than PCL, but a higher activation energy of degradation. This was attributed to the more complex degradation mechanism(s) of PLA. Blending seemingly decreased the thermal stability of both polymers, but an explanation for this observation is not immediately obvious. It is possible that, since ‘degradation’ in this case was monitored through TGA analysis, the escape of the volatile degradation products of one polymer could have been influenced by presence of the other polymer. The presence of the TiO<sub>2</sub> nanoparticles improved the thermal stabilities of both polymers in the blends, and influenced the volatilization of the degradation products. This influence was attributed to a combination of the catalytic effect of the nanoparticles and their interaction with the volatile degradation products.

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## Chapter 4

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### **Morphology and thermal degradation studies of melt-mixed PHBV/PCL biodegradable polymer blend nanocomposites with TiO<sub>2</sub> as filler**

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#### **Abstract**

The morphology, thermal stability and thermal degradation kinetics of melt mixed PHBV/PCL blends filled with small amounts of TiO<sub>2</sub> nanoparticles were investigated. The nanoparticles were mostly well dispersed in both phases of the PHBV/PCL blend, which showed a co-continuous morphology at a 50/50 w/w ratio, but some large agglomerates were also observed. The equal dispersion of the TiO<sub>2</sub> nanoparticles in both polymers was attributed to the polymers having the same surface properties, polarities and viscosities. The thermal stability of PHBV was improved when blended with the more thermally stable PCL, but the PCL was less thermally stable. The introduction of only 1 wt% of TiO<sub>2</sub> nanoparticles seems to have observably improved the thermal stabilities both polymers in the blend, but it is quite possible that the nanoparticles only retarded the evolution of the degradation products through their interaction with these products. Further improvement in thermal stability at higher nanoparticle contents was insignificant, probably because of the nanoparticle agglomeration which reduced their effectiveness. Changes in the activation energies of degradation, determined through the Flynn-Wall-Ozawa model from thermogravimetric analysis (TGA) mass loss data, and differences between the Fourier-transform infrared (FTIR) spectra of the degradation volatiles obtained during the degradation process, to a large extent support the other observations.

**Keywords:** poly(hydroxybutyrate-co-valerate)); poly( $\epsilon$ -caprolactone); titania; blends; nanocomposites; thermal degradation

#### 4.1. Introduction

Poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) is a biodegradable, biocompostable polyester along with poly(lactic acid) (PLA) and polyglycolide (PGA). This polymer is used in biomedical applications, but has not been widely used in the packaging industry. This is because it has a few shortcomings, of which low thermal stability and brittleness are the most disturbing. The brittleness is caused by its high degree of crystallinity [1], and this property limits its use in most packaging applications. To overcome these shortcomings, PHBV can be blended with other rubbery biodegradable polymers to improve its toughness without compromising its biodegradability [2]. The usual objective for preparing a blend of two or more polymers is not to significantly change the properties of the components, but to capitalize on the maximum possible performance of the blend. In this case the choice of polymer to blend with PHBV should only be to overcome the brittleness and improve the thermal stability. One such a polymer is poly( $\epsilon$ -caprolactone) (PCL) [3].

PCL belongs to the category of biocompatible, biodegradable and nontoxic polyesters with excellent mechanical strength. It is a synthetic semicrystalline polyester, also considered as an interesting blend component due to its good ductility, thermal resistance and moderate degree of crystallinity [4-6]. It has a low glass transition temperature of about -60 °C, existing always in a rubbery state at room temperature, and a melting temperature of 60 °C. It has a very good toughness and thermal stability. The only limiting factor for using this polymer individually for many applications is its low melting temperature [4,7]. To overcome this it may be blended with another biopolymer which has a higher melting temperature. A blend of PHBV and PCL should produce a balanced final product with the toughness and thermal stability of PHBV being improved by the presence of PCL, and the disadvantage of PCL's low melting temperature being improved. Although the melting temperature of PCL is significantly lower than that of PHBV, PCL does not degrade at lower temperatures and it can therefore be processed at temperatures well above its melting temperature [8,9].

Blends of PHBV and PCL have not been extensively studied, although there are some papers [10-15], mostly on biomedical applications and on the thermal properties of the blends. Chun *et al.* [15] focused on the effect of PCL on the melting behaviour of PHBV, and reported that PCL did not change the shape and position of the PHBV melting peak, whereas the PHBV

crystallization temperature was 8–12 °C lower in the PHBV/PCL blends. This shows that there is still a possibility to utilise this kind of material in the packaging industry, mostly for food packaging. No previous study reported on the thermal degradation of PHBV/PCL blends or nanocomposites, while a few only mentioned the thermal degradation of these blends used in medical applications [16-18]. These reports mostly concentrated on the thermal stability of PHBV in the blend, and found an improvement in its thermal stability in the presence of PCL. Liu *et al.* [19], however, worked with block co-polymers of PHBV and PCL, and they found that the presence of PCL in the co-polymer improved the thermal stability of PHBV, while the thermal stability of PCL was compromised. They further reported a reduction in the activation energy of the PHBV block, and an increase in that of the PCL block.

The use of inorganic micro or nanoparticles may improve the thermal stabilities of both polymers in the blend, since most previous studies showed this to be the case for various polymers [19-22]. TiO<sub>2</sub> received a lot of attention as nanofiller in polymers because of its good thermal stability, accessibility, and catalytic properties. TiO<sub>2</sub> is an inorganic material obtained from a variety of naturally occurring ores that contain ilmenite, rutile, anatase, and leucosene, and it is generally used for various applications such as photo electrochemical activity, solar energy conversion, photocatalysis, UV detection, ultrasonic sensing, and as a promising material in applications such as water or wastewater treatment. Its environmental compatibility, non-toxicity and low price are some practical advantages of TiO<sub>2</sub> [23-28]. Its presence in biodegradable polymer composites may contribute in accelerating the photo-degradation of these polymers.

In the present work the effect of blending of PHBV with PCL, and the addition of TiO<sub>2</sub> nanoparticles as inorganic nanofiller, on the thermal stability and degradation behaviour of PHBV/PCL/TiO<sub>2</sub> nanocomposites were studied. The structure and properties of the blends and nanocomposites were also studied and related to the thermal degradation behaviour of the samples.

## 4.2. Experimental

### 4.2.1 Materials

The PHBV biopolymer used was purchased from Goodfellow, Huntington, UK. It has a 12% hydroxyvalerate content, a density of  $1.25 \text{ g cm}^{-3}$ , a melting temperature of  $\sim 150^\circ\text{C}$ , and a degree of crystallinity of  $\sim 59\%$ . PCL Capa<sup>TM</sup> 6500 was purchased from Southern Chemicals, Johannesburg, South Africa. It has a density of  $1.1 \text{ g cm}^{-3}$ , a glass transition temperature of approximately  $-61^\circ\text{C}$ , a melting temperature of  $\sim 60^\circ\text{C}$ , and a degree of crystallinity of  $\sim 35\%$ . Anatase titanium(IV)oxide ( $\text{TiO}_2$ ) with particle sizes  $< 25 \text{ nm}$  and 99.7% purity was supplied by Sigma-Aldrich in South Africa.

### 4.2.2 Preparation of blends and nanocomposites

The samples were prepared *via* melt-mixing using a Brabender Plastograph. PHBV was dried in an oven at  $80^\circ\text{C}$  for four hours prior to mixing, and PCL and  $\text{TiO}_2$  were used as received. 30/70, 50/50 and 70/30 w/w PHBV/PCL blends and their nanocomposites with 1, 3 and 5 wt% of  $\text{TiO}_2$  were mixed at  $170^\circ\text{C}$  for ten minutes. The samples were compression moulded into 2 mm thick sheets at the same temperature for 5 minutes using a hydraulic press at a pressure of 50 bar, after which they were removed and left to cool under ambient conditions.

### 4.2.3 Characterization

Size exclusion chromatography (SEC) measurements were performed on a PL Olexis column (Polymer Laboratories). Chloroform was used as mobile phase at a flow rate of  $1.00 \text{ mL min}^{-1}$ . The samples were prepared at a concentration of  $2 \text{ mg mL}^{-1}$ . The column was calibrated with polystyrene (PS) standards from Polymer Laboratories (Church Stretton, Shropshire, UK). The chromatograph comprised of a Waters 1515 isocratic pump, a Waters inline degasser AF, and a Waters 717 Plus auto sampler with a  $100 \mu\text{L}$  sample loop. The system was connected to an evaporative light scattering detector (PL-ELS 1000). Nitrogen was used as carrier gas in the

ELSD, at a flow rate of 1.5 SLM. The evaporator and nebulizer temperatures were set at 100 and 40 °C, respectively.

The melt flow index of the two polymers used in the blend was determined using a CEAST Melt Flow Junior. Ten samples each of both polymers were analysed at 180 °C. The amount of PHBV which flowed through the die over a period of 10 minutes, and the amount of PCL which flowed through the die over a period of 5 minutes, under 2.16 kg weight were determined. The determined amount of PCL was multiplied by 2 to determine a comparable MFI.

A Tescan VEGA3 scanning electron microscope (SEM) was used to study the surface dispersion of the TiO<sub>2</sub> nanoparticles in the PHBV/PCL blends. The liquid nitrogen fractured samples were sputter coated with gold for 30 seconds to eliminate sample charging, and analyses were performed at three different magnifications.

The morphologies of the nanocomposites were characterised by transmission electron microscopy (TEM). Images were obtained using a 200 kV FEI Tecnai 20 TEM fitted with Gatan Tridiem. The 50/50 w/w PHBV/PCL blend with 5 wt% TiO<sub>2</sub> nanoparticles was trimmed and sectioned to fit the ultra-microtome, since they were hard enough to cut without cooling. 100-150 nm thin sections were collected on copper grids and viewed. Uranyl acetate was used to stain PCL in the blend to identify the different phases.

The contact angles of the samples were determined at room temperature on a surface energy evaluation system, based on the sessile drop method. At least 10 replicates of each sample were analysed to ensure reproducibility of the results. Distilled water (H<sub>2</sub>O), and diiodomethane (CH<sub>2</sub>I<sub>2</sub>) were used as polar and non-polar solvents, respectively. The literature values of their surface energies are: (H<sub>2</sub>O:  $\gamma^p = 50.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 22.1 \text{ mJ m}^{-2}$ ; CH<sub>2</sub>I<sub>2</sub>:  $\gamma^p = 6.7 \text{ mJ m}^{-2}$  and  $\gamma^d = 44.1 \text{ mJ m}^{-2}$ ). The contact angles, total surface energies, as well as their dispersive and polar components are reported. The interfacial tension between the components in a blend was calculated with the Owens-Wendt method using the geometric equation (Equation 4.1) [29-31].

$$\gamma_{12} = \gamma_1 + \gamma_2 - 2\sqrt{\gamma_1^d \cdot \gamma_2^d + \gamma_1^p \cdot \gamma_2^p} \quad (4.1)$$

where  $\gamma_{12}$  = interfacial tension between components 1 and 2 in the blend,  $\gamma_1$  and  $\gamma_2$  are the total surface energies of components 1 and 2,  $\gamma_1^d$  and  $\gamma_2^d$  are the dispersive surface energies of

components 1 and 2, and  $\gamma_1^p$  and  $\gamma_2^p$  are the polar surface energies of the components in the nanocomposites.

Differential scanning calorimetry (DSC) was used to determine the degrees of crystallinity of the polymers. The analyses were performed under nitrogen atmosphere at 10 °C min<sup>-1</sup>. The melting enthalpies of PHBV and PCL were determined from the curves, and Equation 2 was used to calculate the degrees of crystallinity, where  $\Delta H_m$  is the measured melting enthalpy and  $\Delta H_m^o$  is the enthalpy of melting of the 100% crystalline polymers, with values of 139 J g<sup>-1</sup> for PCL [6,32] and 109 J g<sup>-1</sup> for PHBV [33,34].

$$X_c (\%) = \left( \frac{\Delta H_m}{\Delta H_m^o} \right) \times 100\% \quad (4.2)$$

A Perkin-Elmer STA6000 thermogravimetric analyser (TGA) was used to analyse the thermal degradation behaviour of the samples. The analyses were done from 30 to 600 °C at a heating rate of 10 °C min<sup>-1</sup> under nitrogen atmosphere. The sample masses were ~24 mg. The samples for thermal degradation kinetics were run at 3, 5, 7, 9 and 15 °C min<sup>-1</sup> heating rates under nitrogen atmosphere, and the TGA's integrated kinetics software (based on the Flynn-Ozawa-Wall method (Equation 4.3)) was used to calculate the activation energies:

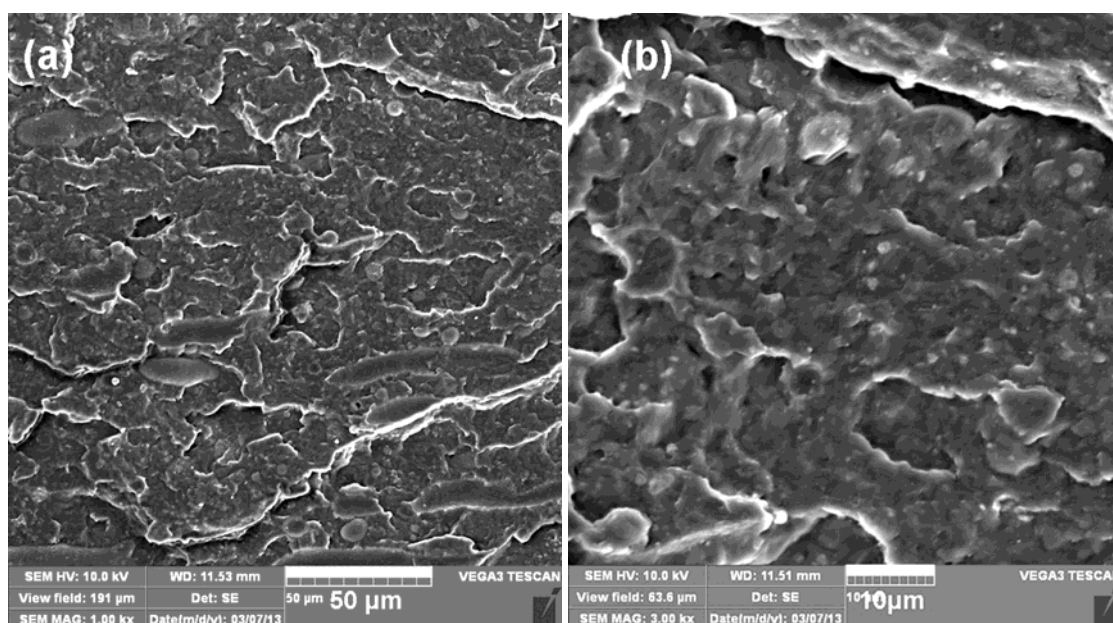
$$\ln \beta = c - 1.052 \left( \frac{E_a}{RT} \right) \quad (4.3)$$

where  $\beta$  is the heating rate in K min<sup>-1</sup>,  $c$  is a constant,  $E_a$  is the activation energy in kJ mol<sup>-1</sup>,  $R$  is the universal gas constant, and  $T$  is the temperature in K. The plot of  $\ln \beta$  vs.  $1/T$ , obtained from the TGA curves recorded at several heating rates, should be a straight line. The activation energy was evaluated from its slope. The TGA was also connected to a Perkin-Elmer Spectrum 100 Fourier-transform infrared (FTIR) spectrometer to analyse the thermal degradation volatiles. The same temperature range and heating rate were used, and the volatiles were transferred to FTIR through a Perkin-Elmer TL 8000 balanced flow FT-IR EGA system at 200 °C and a flow rate of 150 ml min<sup>-1</sup>. Spectra were collected at five different temperatures during the degradation process.

### 4.3. Results and discussion

#### 4.3.1 Morphology investigation

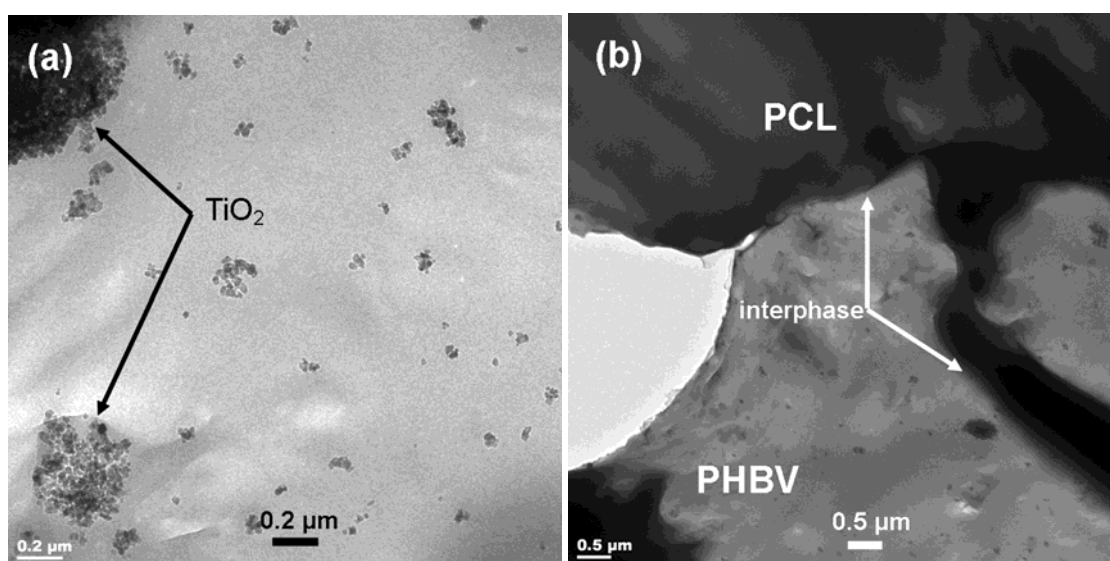
Scanning electron microscopy (SEM) was initially used to observe the dispersion of the  $\text{TiO}_2$  nanoparticles in the immiscible PHBV/PCL blend. Figure 1 illustrates the 50/50 w/w PHBV/PCL blend with 5 wt%  $\text{TiO}_2$  nanoparticles, at two different magnifications. It was not easy to observe any nanoparticles in Figure 4.1(a), but close inspection does show some white dots that may indicate dispersed nanoparticles. Although slightly out of focus, Figure 4.1(b) more clearly shows the well dispersed nanoparticles.



**Figure 4.1 SEM micrographs of 50/50 w/w PHBV/PCL ratios with 5 wt%  $\text{TiO}_2$ : (a) 1000x and (b) 3000x magnification**

The TEM analysis of the same sample confirms the good dispersion of the  $\text{TiO}_2$  nanoparticles (Figure 4.2), but a mixture of small, well dispersed particles and agglomerates are visible in these pictures. Although we did not include all the pictures in this paper, pictures taken at different locations in the same sample showed similar nanoparticle dispersions. Even though the nanoparticles seem to be well dispersed, the different polymer phases cannot be distinguished in Figure 4.2(a), and therefore staining of one phase was required in order to distinguish the

phases in the blend. In this work uranyl acetate was used to selectively stain the PCL. The dark phase in Figure 4.2(b) is therefore the stained PCL phase, and the lighter phase the PHBV phase. Although the two polymers in the blend and their interface are clearly visible, it is not possible to see whether there are nanoparticles in the stained PCL phase, but we assume that they are there, based on our observation from Figure 4.2(a). Figure 4.2(b) further confirms the co-continuous morphology of the blend.



**Figure 4.2 TEM pictures of (a) unstained and (b) uranyl acetate stained 50/50 w/w PHBV/PCL with 5 wt%  $\text{TiO}_2$  both at 19000 $\times$  magnification**

One would expect that melt mixing of two polymers with a significant difference in molar mass, viscosity and crystallinity with an inorganic filler would give rise to the selective localization of the filler. The results in Table 4.1 show that PHBV has a much higher molar mass and degree of crystallinity, but a much lower melt flow index (much higher viscosity) than PCL. The surface energies of PHBV and PCL are very similar, and so are their dispersive and polar parts (Table 4.2). There is also very little difference between the PHBV/ $\text{TiO}_2$  and PCL/ $\text{TiO}_2$  interfacial tensions (Table 4.3), which further confirms that there was really no reason why the nanoparticles should selectively locate in any one of the two polymers. These surface energy values were determined at room temperature, but they may be different from the values at the mixing temperature we used, and therefore we can only assume that the values in Table 4.2 are not too much different from what the values would have been if the surface energies were



determined at 170 °C. The differences in molar mass, degree of crystallinity and viscosity should have been enough reason for the nanoparticles to selectively locate in the PCL phase [35-37], but in this case the similar surface energies and interfacial tensions probably played a much bigger role in determining the localization and dispersion of the TiO<sub>2</sub> nanoparticles.

**Table 4.1 Summary of molar masses, degrees of crystallinity, and melt flow index values of PHBV and PCL**

Sample	M <sub>n</sub>	M <sub>w</sub>	M <sub>z</sub>	D	DC / %	MFI / (g/10 min)
PHBV	36710	77537	150310	2.1	69	8.1
PCL	19005	36135	55440	1.9	48	14.5

M<sub>n</sub> = number average molar mass, M<sub>w</sub> = weight average molar mass, M<sub>z</sub> = viscosity average molar mass, D = dispersity index, DC = degree of crystallinity, MFI = melt flow index

**Table 4.2 Contact angles of PLA, PCL and TiO<sub>2</sub>. The TiO<sub>2</sub> contact angle was found in the literature [29]**

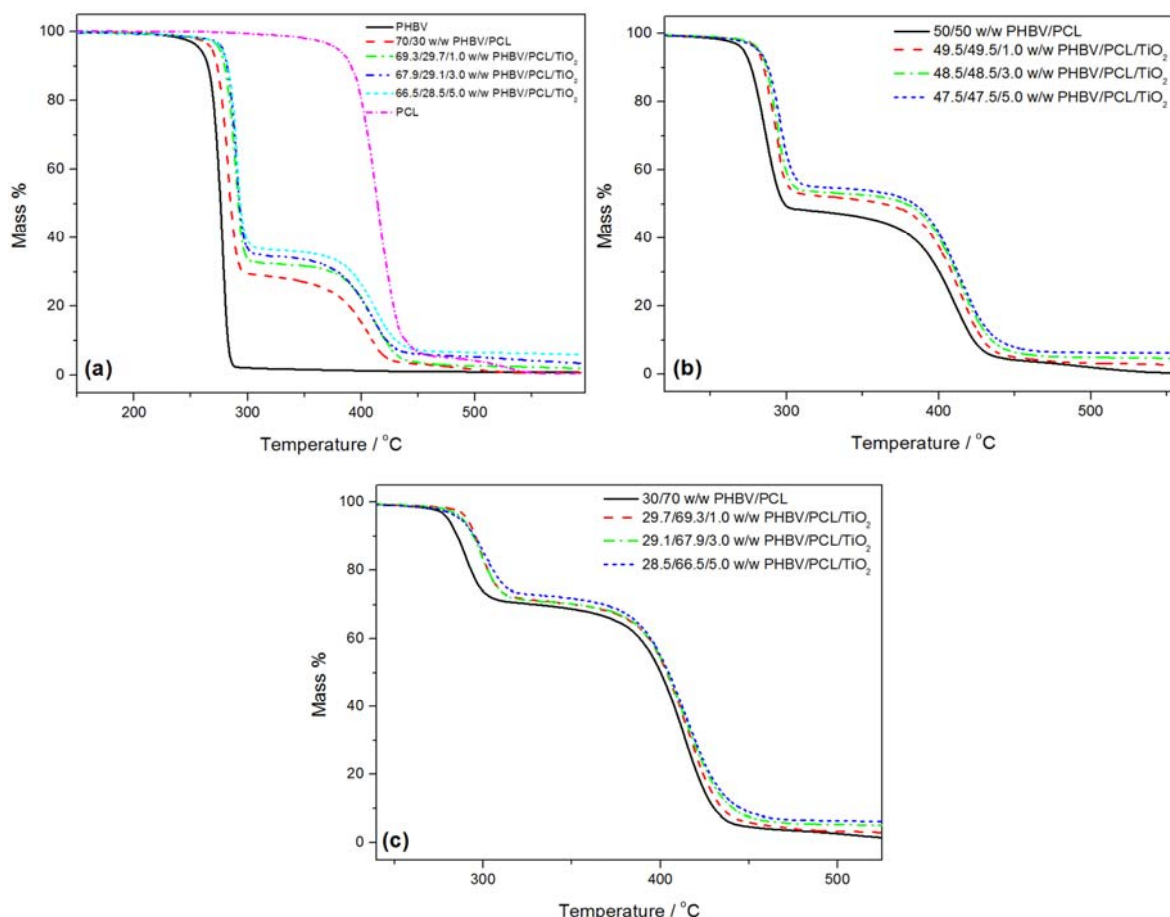
	Contact angle / deg		Surface energy / mN m <sup>-1</sup>		
	H <sub>2</sub> O	CH <sub>2</sub> I <sub>2</sub>	γ	γ <sup>d</sup>	γ <sup>p</sup>
<b>PHBV</b>	54.7 ± 1.0	35.6 ± 0.0	56.3	41.7	14.6
<b>PCL</b>	60.2 ± 0.8	27.0 ± 0.5	55.8	45.4	10.4
<b>TiO<sub>2</sub></b>	19.7	10.1	80.7	46.4	34.3

γ = surface energy, γ<sup>d</sup> = dispersive component of surface energy, γ<sup>p</sup> = polar component of surface energy

#### 4.3.2 Thermogravimetric analysis (TGA)

Figure 4.3 shows the TGA curves of all the investigated samples. PHBV degrades around 280 °C and PCL around 415 °C. The two polymers degrade separately, in the blend, confirming their immiscibility. The first mass loss step represents the degradation of PHBV, and the second step that of PCL. Blending PHBV with PCL seems to improve the thermal stability of PHBV (Figure 4.3 and Table 4.4), since PCL is more thermally stable, but PHBV seems to decrease the thermal stability of PCL. It is known that the thermal degradation of PHBV follows a random chain

scission mechanism involving a  $\beta$ -hydrogen elimination process (six-membered ring ester decomposition process) to form substrate olefins and oligomers [34,38], while PCL decomposes through a two-step degradation mechanism, the first step being a polymer chain cleavage *via* cis-elimination and the second step an unzipping depolymerization from the hydroxyl end of the polymer chain [39,40].



**Figure 4.3** TGA curves of (a) 70/30 w/w, (b) 50/50 w/w, and (c) 30/70 w/w PHBV/PCL with 1, 3 and 5 wt% of TiO<sub>2</sub>

**Table 4.3 Interfacial tensions calculated using the geometric-mean equation (Equation 2)**

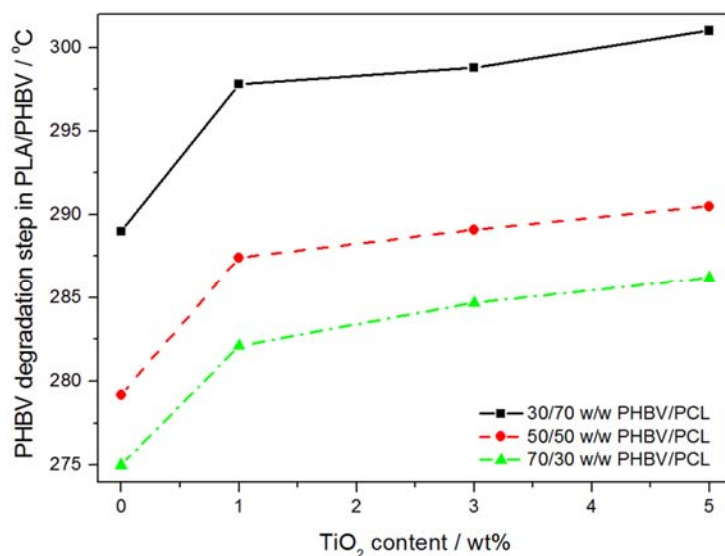
Component couple	Interfacial tension / mN m <sup>-1</sup>
PHBV/PCL	0.43
PHBV/TiO <sub>2</sub>	4.27
PCL/TiO <sub>2</sub>	6.93

**Table 4.4 Derivative TGA peak temperatures of all the investigated samples**

Sample	PHBV degradation step / °C	PCL degradation step / °C
Neat PHBV	280.1	-
70/30 w/w PHBV/PCL	283.4	409.0
50/50 w/w PHBV/PCL	287.7	410.9
30/70 w/w PHBV/PCL	291.1	415.4
Neat PCL	-	414.1 and 529.4
69.3/29.7/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	292.5	413.1
49.5/49.5/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	294.6	418.3
29.7/69.3/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	299.3	418.0
67.9/29.1/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	291.5	407.3
48.5/48.5/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	294.0	416.0
29.1/67.9/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	298.8	415.3
66.5/28.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	289.8	413.4
47.5/47.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	295.8	413.4
28.5/66.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	304.0	415.6

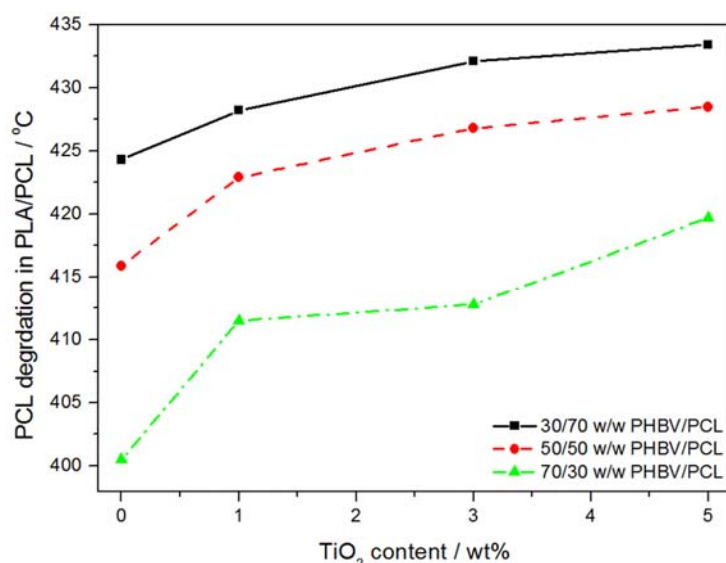
Figures 4.4 and 4.5 show the temperatures at 50% degradation of each component in the blends as function of titania content in the nanocomposites. These figures illustrate the effect of blending and filler loading on the thermal stability of PHBV (Figure 4.4) and that of PCL (Figure 4.5). The temperatures at which PHBV mass loss occurs increased by about 14 °C for the blend containing 70% PCL. This is expected since PCL is more thermally stable than PHBV and probably absorbs quite an amount of energy during heating. The introduction of 1 wt% TiO<sub>2</sub> nanoparticles improved the thermal stability even further (Figure 4.4). However, larger filler contents had little or no effect on the thermal stability of PHBV. At low TiO<sub>2</sub> contents the filler is

well dispersed with little agglomeration, and these large surface area particles probably interact fairly strongly with the PHBV degradation products, retarding their escape from the molten blend. The insignificant change as the filler loading increased is probably related to increased levels of agglomeration so that the effective surface area, and the probable interaction with volatile degradation products, did not increase significantly.



**Figure 4.4 Effect of blending and filler addition on the temperature at 50% mass loss of PHBV in the PHBV/PCL blends and nanocomposites**

The degradation of PCL, on the other hand, decreased when blended with low thermally stable PHBV (Figure 4.5). As in the case of PHBV, the presence of TiO<sub>2</sub> nanoparticles increased the mass loss temperatures of PCL, which may also be related to the interaction of the nanoparticles with the PCL degradation volatiles, and the retardation of their volatilization.

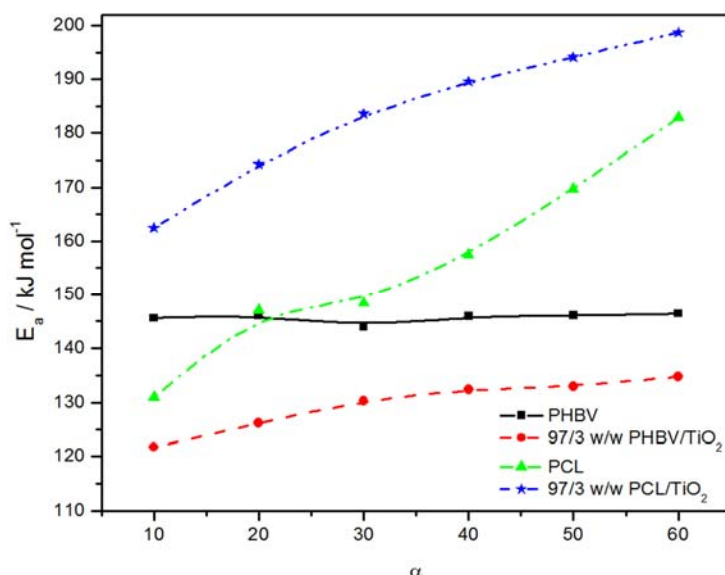


**Figure 4.5 Effect of blending and filler addition on the temperature at 50% mass loss of PCL in the PHBV/PCL blends and nanocomposites**

#### 4.3.3 Degradation kinetics

The activation energy ( $E_a$ ) is the minimum energy needed to initiate thermal degradation. Figure 4.6 illustrates the relationship between activation energy and the extent of mass loss ( $\alpha$ ) for neat PHBV, neat PCL and their nanocomposites with 3 wt%  $\text{TiO}_2$  nanoparticles content. The degradation activation energy of PHBV remained unchanged around  $146 \text{ kJ mol}^{-1}$  throughout the degradation process. This could mean that the degradation mechanism of pure PHBV did not change throughout the degradation process, which probably has a single rate-determining step, because of the independence of  $E_a$  on the extent of degradation [41]. In the presence of  $\text{TiO}_2$  the  $E_a$  started at  $120 \text{ kJ mol}^{-1}$  ( $26 \text{ kJ mol}^{-1}$  lower than neat PHBV) and increased almost linearly with increasing degradation to  $135 \text{ kJ mol}^{-1}$ . This lower activation energy indicates that the rate of degradation in the presence of the nanoparticles was less dependent on temperature, which confirms that the nanoparticles probably acted as catalysts for the initiation of degradation, which is something that other authors also mentioned in related studies [41,42]. This effect was also seen in the FTIR spectra of the PHBV degradation volatiles (Figure 4.7(a)), which showed peaks for hydroxyl groups ( $3577$  and  $967 \text{ cm}^{-1}$ ), hydrocarbons ( $2978$ , and  $2887 \text{ cm}^{-1}$ ), carbon dioxide ( $\text{CO}_2$ ,  $2300 \text{ cm}^{-1}$ ), carbonyls ( $1755 \text{ cm}^{-1}$ ), alkenes ( $1660 \text{ cm}^{-1}$ ), and C-O-C ( $1141 \text{ cm}^{-1}$ ). PHBV is a co-polymer made up of 3-hydroxybutanoic acid (hydroxybutyrate) and 3-hydroxypentanoic acid

(hydroxyvalerate) that are connected by an ester bond. During the thermal degradation of PHBV the ester linkage is cleaved forming the individual monomers, together with other products like carbon dioxide and water due to ester breakup. Both monomers contain carbonyls, hydrocarbons, hydroxyl groups and oxygen linkages that are well described by the spectra in Figure 4.7(a). From the spectra we took of the volatiles at different temperatures during the degradation of PHBV, the spectrum at 265 °C had the most intense peaks, and in the case of PHBV/TiO<sub>2</sub> the most intense peaks were observed at 270 °C. This shows that, although the nanoparticles may have catalysed the degradation reaction, they also retarded the volatilization of the degradation products, which increased the temperature at which these volatiles were released from the sample.



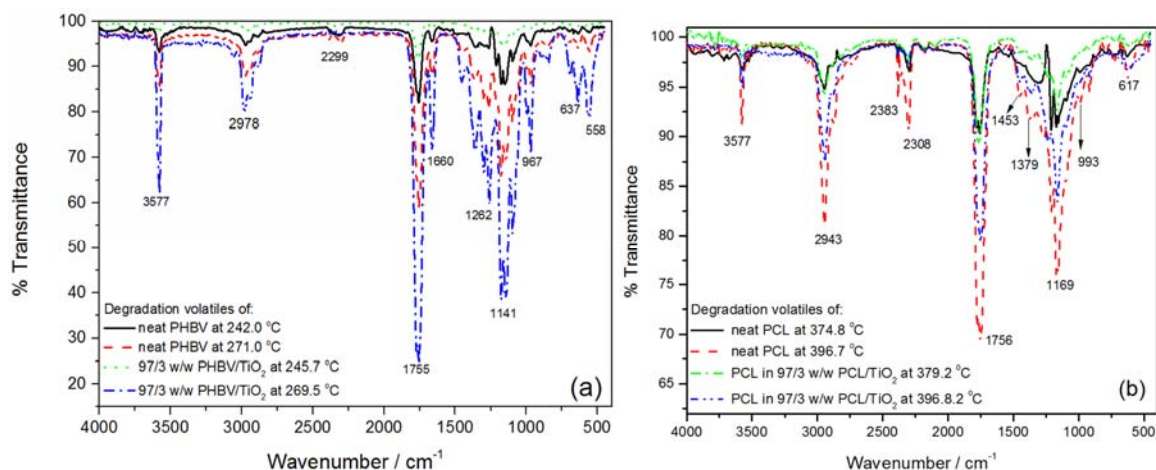
**Figure 4.6** Activation energy vs. conversion of PHBV, PCL, 97/3 w/w PHBV/TiO<sub>2</sub> and 97/3 w/w PCL/TiO<sub>2</sub>

The  $E_a$  of neat PCL increased with the extent of mass loss from 131 to 183 kJ mol<sup>-1</sup>. Such an increase in  $E_a$  is said to be typical for the degradation of polymers [43]. This dependence on the extent of mass loss may be an indication that more than one mechanism was involved in the degradation of PCL [39,40]. The TGA results also showed that PCL underwent two degradation steps. The activation energy of PCL was higher for the sample containing TiO<sub>2</sub> nanoparticles, and increased from 160 kJ mol<sup>-1</sup> to 200 kJ mol<sup>-1</sup> with increasing extent of mass loss. This seems to

indicate an improvement in the thermal stability of PCL, because the degradation rate seems to become more temperature-dependent. However, it is quite possible that the nanoparticles retarded the diffusion of the volatile degradation products from the sample through their interaction with these volatiles, and therefore the temperature had to be increased more to obtain the same extent of mass loss.

The first step in the degradation of PCL is the elimination of  $\beta$ -hydrogens from which volatile products like water and carbon dioxide are formed, and this is followed by the main chain degradation which evolves carboxylic acids (5-hexenoic acid with its di- and trimers), and  $\epsilon$ -caprolactone monomers at higher temperatures. These volatile products are characterized by the presence of carbonyl band ( $1756\text{ cm}^{-1}$ ) which indicates the presence of 5-hexenoic acid and  $\epsilon$ -caprolactone amongst the volatiles. Other bands in the FTIR spectra in Figure 4.7(b) are those of the hydroxyl group band ( $3577\text{ cm}^{-1}$ ), hydrocarbons ( $2943\text{ cm}^{-1}$ ),  $\text{CO}_2$  ( $2400\text{--}2300\text{ cm}^{-1}$ ), C-O ( $2175$  and  $2112\text{ cm}^{-1}$ ), C=O ( $1756\text{ cm}^{-1}$ ) and C-O-C ( $1250\text{--}1050\text{ cm}^{-1}$ ), indicating the presence of *inter alia* water and carbon dioxide amongst the degradation volatiles. Vogel *et al.* [44] studied the degradation of PCL and reported that if the bands around  $1778$  and  $2350\text{ cm}^{-1}$  (C=O and  $\text{CO}_2$ ) are detected in FTIR spectrum of the PCL degradation volatiles, it means that 5-hexenoic acid formed before carbon dioxide. However, if the  $1736$  and  $1778\text{ cm}^{-1}$  are observed in the spectrum, 5-hexenoic acid was evolved before  $\epsilon$ -caprolactone.

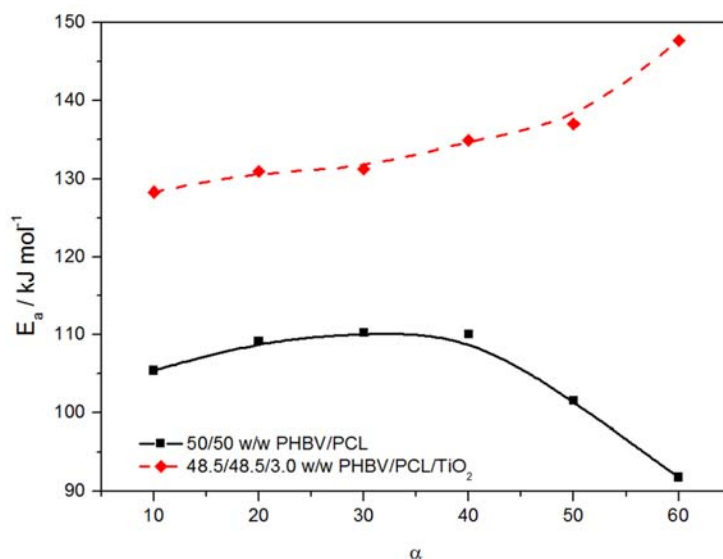
The spectra we took of the degradation volatiles of neat PCL at different temperatures during the degradation process show the highest peak intensities at  $386\text{ }^\circ\text{C}$ , while for the nanocomposite the highest peak intensities were observed in the  $397\text{ }^\circ\text{C}$  spectrum. For the spectra obtained at these temperatures, the peak intensities for the nanocomposites were much lower than those for neat PCL. This observation, together with the higher degradation temperature and activation energies, confirm the retardation effect of the  $\text{TiO}_2$  nanoparticles on the volatilization of the degradation products in the nanocomposite, which could be due to a strong interaction between the nanoparticles and the degradation volatiles.



**Figure 4.7 FTIR spectra of the degradation products of (a) neat PHBV and 97/3 w/w PLA/TiO<sub>2</sub>, and (b) neat PCL and 97/3 w/w PCL/TiO<sub>2</sub>**

Figure 4.8 shows the activation energy versus extent of mass loss for 50/50 w/w PHBV/PCL blend and its nanocomposite with 3 wt% TiO<sub>2</sub> nanoparticles. The first half represents the degradation activation energy of PHBV, as it degrades first, and the second half represents the degradation activation energy of PCL. The activation energy of PHBV changed very little over its mass loss range, similar to what was observed for the neat polymer (Figure 4.6), but it was significantly lower than that of the neat polymer. The activation energy for the degradation of PCL in the blend was also lower than that of the neat polymer, and decreased with increasing extent of mass loss. A possible reason for this is that the co-continuous morphology of the blend, and the weak interaction between the two components, in some way changed the energy requirements for the initiation and propagation of the degradation for both components. The decrease in activation energy for PCL may also have been the result of the evaporation of PHBV degradation products that accelerated the release of the PCL degradation products.





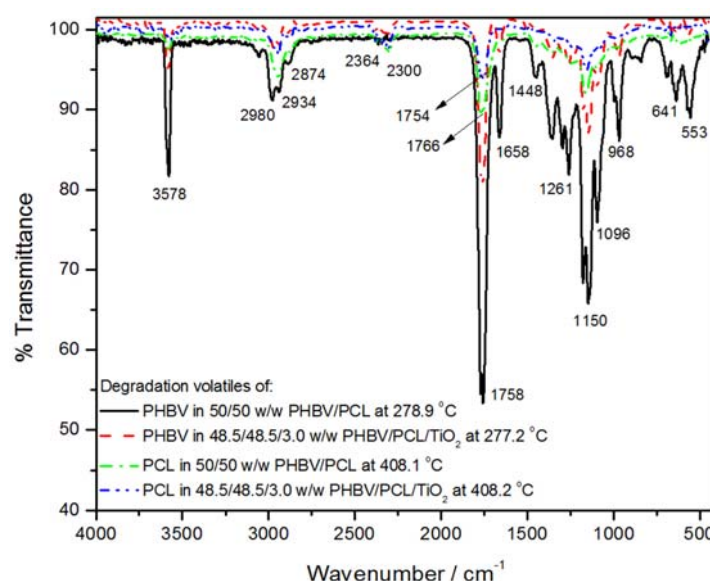
**Figure 4.8 Activation energy vs. conversion of 50/50 w/w PHBV/PCL with 3 wt% TiO<sub>2</sub>**

Gaseous degradation products formed during the thermal or biodegradation of polyesters contain water and carbon dioxide in addition to other volatiles, where CO<sub>2</sub> is formed by the decarboxylation of carboxylic acid end groups. Water comes from the condensation reactions of hydroxyl and /or carboxylic acid functional groups formed as the temperature increases [45-47]. It has been reported that the presence of moisture in biodegradable polymers causes hydrolysis of the carboxylic acids, which results in the early thermal degradation of polymers [48]. Water is one of the degradation products of PHBV, as indicated by the presence of the 967 and 3577 cm<sup>-1</sup> (O-H vibration) bands in Figure 4.7(a). Since PHBV degrades first when heating a PHBV/PCL blend, the released moisture may accelerate the degradation of PCL, which could have contributed to the decrease in activation energy of the PCL phase in the neat blend.

In the presence of TiO<sub>2</sub> nanoparticles the activation energy was significantly higher than that in the blend, and observably increased during the PCL degradation phase (Figure 4.8). The higher activation energy for the degradation of the PHBV phase indicates that the influence of blending, as discussed earlier, has been offset by the retardation of volatilization through interaction with the nanoparticles. This is probably also the reason why the PCL phase shows an increase in activation energy compared to the decrease observed for the neat blend.

The FTIR spectra of the degradation volatiles in Figure 4.9 were obtained at approximately 278 °C (which falls within the PHBV degradation step) and 408 °C (which falls within the PCL

degradation step). The 278 °C spectra show the same peaks in the same positions as those for pure PHBV, confirming that blending with PCL did not change the degradation mechanism of PHBV, and that PHBV almost completely degraded before the onset of PCL degradation. Even though it is not clear in Figure 4.9, the spectra we took of the degradation volatiles of the blend at different temperatures during the PHBV degradation step show the most intense peaks at 271 °C for PHBV in the neat blend, and this temperature is higher than the 265 °C where the most intense peaks were observed for pure PHBV (Figure 4.7(a)). This confirms that blending with PCL improved the thermal stability of PHBV, probably by absorbing some of the heat so that higher temperatures are needed to initiate the PHBV degradation process. For the degradation of PHBV in the blend nanocomposite the most intense peaks were observed in the 277°C spectrum, confirming the additive effect of blending with PCL (which probably isolated the PHBV to some extent) and the retardation of degradation product evolution through interaction with the titania nanoparticles.



**Figure 4.9 FTIR spectra of the degradation products of PHBV and PCL in 50/50 w/w PHBV/PCL and its nanocomposites with 3 wt%**

The spectra obtained at 408 °C for PCL in the blend and the blend nanocomposite show identical bands to those for neat PCL and its nanocomposite (Figure 4.7(b)), confirming that there was no change in the PCL degradation mechanism and that the PHBV degradation products were

completely evolved before the onset of PCL degradation. The spectra taken at different temperatures for the degradation of PCL in the blend and the blend nanocomposite show the maximum intensities around 374 °C and 378 °C, respectively. The peak intensities in the 378 °C spectrum for the blend nanocomposite are much lower and the carbonyl band shifted from 1754 to 1766  $\text{cm}^{-1}$ . The shift of degradation process to higher temperatures, the reduction in peak intensities for the nanocomposite, the shift of the carbonyl band, and the increased activation energy of degradation for PCL in the nanocomposite all indicate that the nanoparticles retarded the volatilization of the PCL degradation products. It therefore seems as if the volatile degradation products from both polymers interacted with the  $\text{TiO}_2$  nanoparticles, and that the PCL in the blend to some extent protected the PHBV from degradation. However, PCL degraded more readily in the presence of PHBV, even in the presence of titania nanoparticles, although the fact that the evolution of the volatile degradation products was retarded through interaction with the nanoparticles to some extent masked this lower degradation stability.

#### **4.4. Conclusions**

The purpose of this work was to investigate the effect of blending and the presence of small amounts of titania nanoparticles on the morphology, thermal stability and degradation kinetics of PHBV/PCL blends. 50/50 w/w PHBV/PCL showed a co-continuous morphology, and the nanoparticles were well dispersed in both polymers, but some large agglomerates were also visible. The equal dispersion of the nanoparticles in both polymers in the blends was attributed to the polymers having almost the same surface energies, polar characters, viscosities, and a low interfacial tension between the polymers, although their molar masses and degrees of crystallinity were different. The thermal stability of the PHBV improved in the presence of the more thermally stable PCL, but PCL became less thermally stable. The presence of  $\text{TiO}_2$  nanoparticles improved the thermal stabilities of both polymers, as was observed from the TGA curves, the kinetically determined activation energies of degradation, and the FTIR analysis of the degradation volatiles. We are, however, of the opinion that the degradation process as such was not really influenced by the presence of the nanoparticles, but because the degradation was followed through mass loss in a TGA, it is quite possible that interaction between the well

dispersed nanoparticles and the degradation volatiles caused a delay in the evolution of these volatiles.

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## Chapter 5

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### **Dynamic mechanical properties of PLA/PHBV, PLA/PCL, PHBV/PCL blends and their nanocomposites with TiO<sub>2</sub> as nanofiller**

*This chapter has been submitted as a publication:*

*J.P. Mofokeng, A.S. Luyt. Dynamic mechanical properties of PLA/PHBV, PLA/PCL, PHBV/PCL blends and their nanocomposites with TiO<sub>2</sub> as nanofiller. Thermochimica Acta.*

#### **Abstract**

The effect of blending and the addition of small amounts of TiO<sub>2</sub> nanoparticles on the dynamic mechanical properties of PLA/PHBV, PLA/PCL and PHBV/PCL blends is reported in this paper. The storage modulus of the PLA/PHBV blends was higher than those of both PLA and PHBV in the temperature region below the glass transition of PHBV, but the PLA/PCL and PHBV/PCL blends did not show a similar feature. The E' values between the glass transitions of PLA and PHBV depended on the blend compositions and morphologies. The presence of titania nanoparticles had little effect on the E' values of all the investigated blends. The cold crystallization transition of PLA shifted to lower temperatures in the PLA/PHBV blends, and shifts in the T<sub>gs</sub> of the two polymers indicated partial miscibility at the polymer-polymer interfaces. This partial miscibility reduced the chain mobilities of these polymers, which could be seen in a reduction in the damping during their respective glass transitions. Blending and nanoparticle addition had little influence on the glass transition temperatures of PLA and PCL, but the glass transitions of PHBV and PCL in the PHBV/PCL blends were respectively at higher and lower temperatures than those of the neat polymers, which is a somewhat abnormal observation. The PCL glass transition peaks became broader as a result of blending, which was attributed to the incompatibility of the polymers in the blends, because blending had no influence on the PCL crystallinity.

**Keywords:** poly(hydroxybutyrate-co-hydroxyvalerate); poly(lactic acid); poly( $\epsilon$ -caprolactone); blends; nanocomposites; dynamic mechanical properties.

## 5.1. Introduction

The biodegradable polymers poly(hydroxybutyrate-co-hydroxyvalerate)(PHBV), poly(lactic acid) (PLA), and poly( $\epsilon$ -caprolactone) (PCL), have been used in many applications because of their biodegradability, biocompatibility and environmental friendliness. They are prime candidates for use in a variety of disposable materials that are used in food and medical packaging and other consumer items, since disposal is becoming difficult as a result of diminishing landfill space. However, these polymers have some drawbacks that limit their use as individual polymers. PLA, a three carbon membered thermoplastic with a hydroxyl and carbonyl at the end, has a very slow biodegradation rate, and it is brittle despite its low degree of crystallinity [1-5]. PHBV, a nine carbon membered carbon thermoplastic polymer, has been mainly used for medical applications because it is non-toxic and compatible with the human body, but it suffers from low thermal stability and brittleness due to its high degree of crystallinity. This is a limiting factor for its use in the packaging industry, because it will have to be prepared using solvents to avoid thermal degradation when processing through melt mixing [3,5-7]. PCL, a six carbon membered thermoplastic with hydroxyl terminated end groups, is a rubbery polymer with good ductility because of its low glass transition temperature of  $-61\text{ }^{\circ}\text{C}$ , and a good thermal stability with a moderate degree of crystallinity [3,8-10]. Its low melting temperature ( $60\text{ }^{\circ}\text{C}$ ) is its main drawback. To overcome all these disadvantages, these polymers can be blended to compensate for each other's weak properties.

Blending of two or more polymers is a practical and economical way to develop new materials with unique properties such as thermal, mechanical, and dynamic mechanical properties. Dynamic mechanical analysis (DMA) gives information on the mechanical and rheological properties of materials, and is used to determine properties like glass transition temperatures, stress relaxation, dynamic fragility parameters, miscibility of polymer blends, interfacial compatibility of individual composite components, and filler effectiveness [11-18].

In recent years a new concept of compatibilisation by using inorganic nanoparticles has been introduced. Unfortunately there are relatively few studies dealing with immiscible polymer

blends whose interfaces are stabilized by solid particles [19,20]. Nanometre sized inorganic compounds such as titanium dioxide ( $\text{TiO}_2$ ), zinc oxide ( $\text{ZnO}$ ), silica ( $\text{SiO}_2$ ), aluminium dioxide ( $\text{Al}_2\text{O}_3$ ), and silicon nitride ( $\text{Si}_3\text{N}_4$ ) were tried as fillers in fabrics and polymers to improve the tribological properties.  $\text{TiO}_2$  has received most of the attention because of its good thermal stability, accessibility, and catalytic properties. It is generally used for various applications including photo electrochemical activity, solar energy conversion, photocatalysis, UV detection, ultrasonic sensing, and as a promising material in applications such as water or wastewater treatment. Environmental compatibility, non-toxicity and low price are some practical advantages of  $\text{TiO}_2$  [22-25].

PLA/PCL blends and their nanocomposites were fairly intensively studied during the past decade [9,19-30], and most of these studies reported on the dynamic mechanical properties of these materials [27,28,31,33,36,38]. Cabedo *et al.* [27] and Jain *et al.* [28] studied the dynamic mechanical properties of PLA/PCL blends in the presence of respectively clay and micro-talc. They reported a decrease in the storage modulus ( $E'$ ) of PLA as a result of a plasticization effect of PCL on PLA. The storage modulus, however, increased in the presence of fillers, but was still lower than that of neat PLA. This was attributed to the inherent stiffness of talc and clay, which introduced a rigid interface in the blend. Wu *et al.* [31] reported an improvement in the miscibility between PLA and PCL when multiwalled carbon nanotubes were added, which was attributed to the emulsification that occurred at the interface in the presence of the amphiphilic carboxylic MWCNTs, leading to a thermodynamic stabilization of the interface.

PLA/PHBV blends and their blend nanocomposites were also studied, but to a lesser extend [2,38-41]. Ma *et al.* [2] reported that the storage modulus of PLA was higher than that of PHBV in the glassy state, and blending of the polymers and an increase in the PHBV content reduced the storage modulus of PLA. Ferreira *et al.* [39] found that the glass transition temperatures of both polymers shifted to higher temperatures in the presence of the other polymer, which they attributed to a restriction in the amorphous chain mobilities. Only one paper was found where the introduction of a particulate filler into the blend was studied [40]. This paper reported that the neat blend had a low glassy storage modulus, but that the presence of clay nanoparticles increased the storage modulus even in the rubbery region of PLA.

Very little information is available on the mechanical properties of PHBV/PCL blends [7,35], and none on the introduction of particulate fillers into these blends. A study of the

dynamic mechanical properties of irradiated samples of these blends showed that the glass transition temperature of PCL increased and the intensity of the  $\tan \delta$  peaks decreased in the presence of PHBV. This was attributed to the reduced mobility of the amorphous chains, which may have been caused by chain scission that led to increased crystallinity.

In previous studies [42-44] we prepared and investigated the morphologies, as well as the degradation behaviour and kinetics, of PLA/PHBV, PLA/PCL and PHBV/PCL blends containing  $\text{TiO}_2$  nanoparticles. Generally we observed well dispersed nanoparticles in both polymer phases of the PLA/PCL and PHBV/PCL blends, although some agglomerates were also observed. In the PLA/PHBV system the nanoparticles were well dispersed in the PLA phase and concentrated on the interface between the two polymers, with a few agglomerates in the PHBV phase. For all three blends the TEM pictures showed immiscibility of the polymers, with co-continuous morphologies for the 50/50 w/w blends. This paper reports on the dynamic mechanical properties of the same blends and blend nanocomposites.

## **5.2. Experimental**

### *5.2.1 Materials*

The polylactic acid (PLA) used in this study is a commercial grade (PLA 2002D), obtained from Natureworks, LLC (USA). It has a D-isomer content of 4%, a density of  $1.24 \text{ g cm}^{-3}$ , a glass transition temperature of  $\sim 53^\circ\text{C}$ , a melting temperature of  $\sim 153^\circ\text{C}$ , and a degree of crystallinity of  $\sim 33\%$ . The poly(hydroxybutyrate-co-hydroxyvalerate) (PHBV) biopolymer was purchased from Goodfellow, Huntingdon, UK, and it has a 12% PHV content, a density of  $1.25 \text{ g cm}^{-3}$ , a melting temperature of  $\sim 150^\circ\text{C}$ , and a degree of crystallinity of 59%. The Capa<sup>TM</sup> 6500 polycaprolactone (PCL) was purchased from Southern Chemicals in Johannesburg, South Africa. It has a density of  $1.1 \text{ g cm}^{-3}$ , a glass transition temperature of  $-61^\circ\text{C}$ , a melting temperature of  $\sim 60^\circ\text{C}$ , and a degree of crystallinity of 35%. The 99.7% pure anatase titanium(IV)oxide ( $\text{TiO}_2$ ), with a nanopowder with particle sizes  $< 25 \text{ nm}$ , was supplied by Sigma-Aldrich in South Africa.

### 5.2.2 Sample preparation

The samples were prepared *via* melt-mixing using a Brabender Plastograph. PLA and PHBV were dried in an oven at 80 °C for four hours prior to mixing, and TiO<sub>2</sub> was used as received. 30/70, 50/50 and 70/30 w/w PLA/PHBV, PLA/PCL and PHBV/PCL blends and their nanocomposites with 1, 3 and 5 wt% of TiO<sub>2</sub> were prepared through mixing at 170 °C for ten minutes at the speed of 50 rpm. The samples were compression moulded into 2 mm thick sheets at the same temperature for 5 minutes using a hydraulic press at a pressure of 50 bars, after which they were removed and left to cool under ambient conditions.

### 5.2.3 Characterization

The dynamic mechanical properties of the samples were tested using a Perkin Elmer Diamond DMA dynamic mechanical analyser. The testing was performed in bending mode at a frequency of 1 Hz and a heating rate of 3 °C min<sup>-1</sup> under nitrogen atmosphere. The samples were heated from -80 °C, and the end temperatures were 140°C for the PLA/PHBV samples, and 58°C for the PLA/PCL and PHBV/PCL samples because of the low melting temperature of PCL.

Differential scanning calorimetry (DSC) was used to determine the polymers' degree of crystallinity. The analyses were performed under nitrogen atmosphere, from 0 to 170 °C at a rate of 10°C min<sup>-1</sup>. The melting enthalpies of the three polymers were determined, and Equation 5.1 was used to calculate their crystallinities. Equation 5.2 was used to calculate the crystallinity of PCL in the blends.

$$X_c(\%) = \left( \frac{\Delta H_m}{\Delta H_m^o} \right) \times 100\% \quad (5.1)$$

$$X_c(\%) = \left( \frac{\Delta H_m(PCL)}{W\Delta H_m^o(PCL)} \right) \times 100\% \quad (5.2)$$

where  $\Delta H_m$  is the enthalpy of melting,  $\Delta H_m^0$  is the enthalpy of melting of the 100% crystalline polymer, and  $W$  is the weight fraction of PCL in the blends. Values of  $93.7 \text{ J g}^{-1}$  [40],  $139 \text{ J g}^{-1}$  [7,9] and  $109 \text{ J g}^{-1}$  [39,40] were respectively used for PLA, PCL and PHBV.

A Tescan VEGA3 scanning electron microscope (SEM) was used to study the surface dispersion of the  $\text{TiO}_2$  nanoparticles in the blends. The liquid nitrogen fractured samples were sputter coated with gold for 30 seconds to eliminate sample charging, and the analyses were performed at  $1000\times$  magnification.

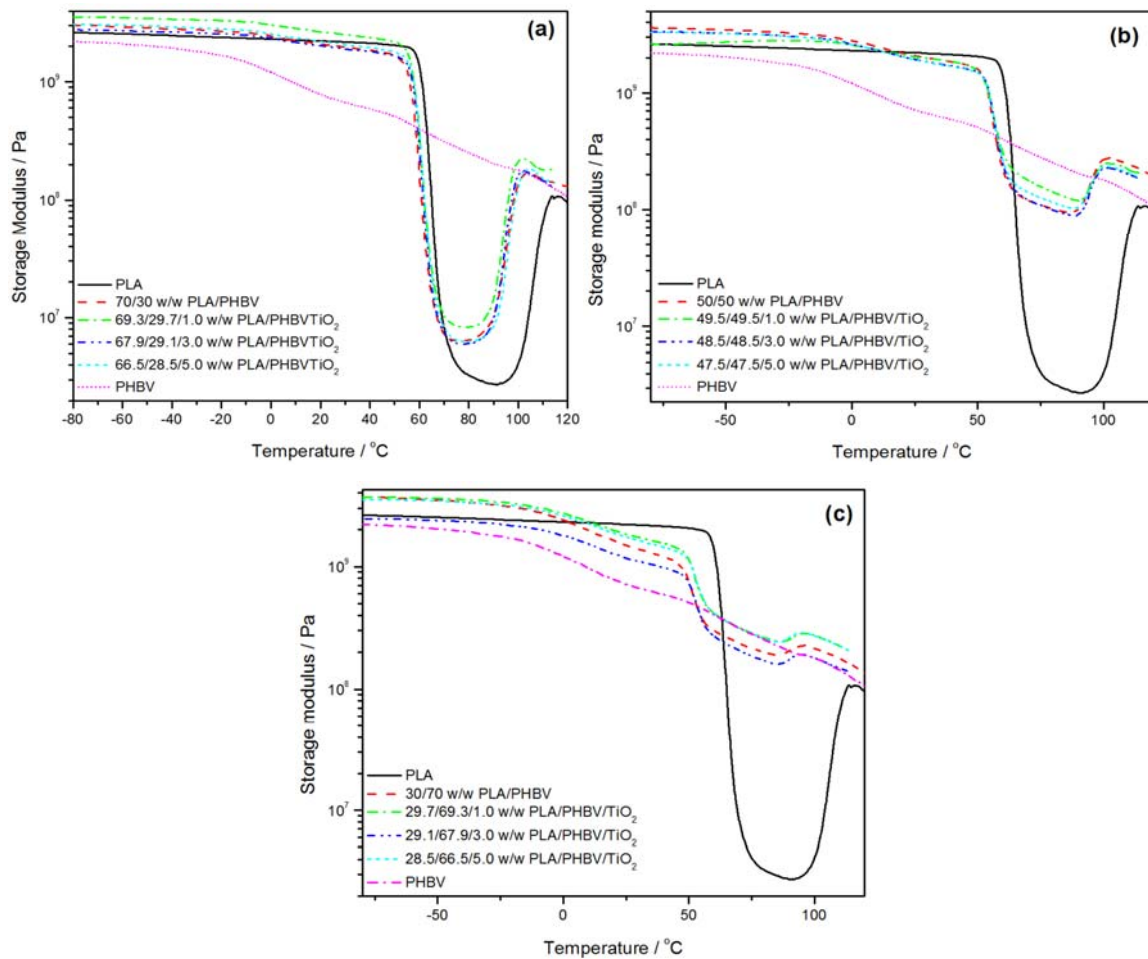
### 5.3. Results and discussion

#### 5.3.1 DMA analysis of PLA/PHBV blends and their nanocomposites with 1, 3, and 5 wt% $\text{TiO}_2$ nanoparticles.

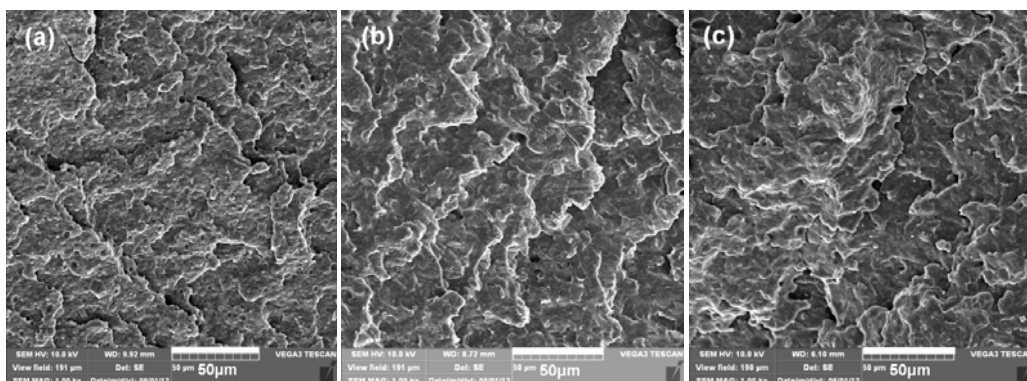
The storage moduli for all the blends and nanocomposites, below the glass transitions of PLA and PHBV, are higher than those of both the neat polymers (Figure 5.1). There is not much change in these values for the nanocomposites, and there is also no trend with respect to the amount of polymer in the blend and the amount of filler in the nanocomposites. Between the two polymers' glass transitions there is a very clear influence of the amount of each polymer on the storage modulus, which shows that the phase morphology played a role in determining the stiffness of the respective samples. It is, however, difficult to distinguish between the two polymers in the blend on the scanning electron microscopy (SEM) photos in Figure 5.2, but our previously reported transmission electron microscopy (TEM) photos [42] clearly showed a co-continuous morphology for the 50/50 w/w blend, and we can therefore assume that the 30/70 and 70/30 w/w PLA/PHBV blends respectively had PLA and PHBV dispersed as spheres in a matrix of the other component. Again the presence and amount of nanoparticles had a small influence, and no trend was observed. The reason for this is that the nanoparticle contents were relatively low, and they were dispersed in the stiffer PLA phase and on the interface [42], so their influence on the modulus of the composites was insignificant. The glass transitions of respectively PHBV and PCL are clearly visible around 3 and  $65^\circ\text{C}$ , and the modulus of PHBV is lower than that of PLA below the  $T_g$  of PLA. However, between the glass transition and cold crystallization (starting at about  $100^\circ\text{C}$ ) of PLA, PHBV has a significantly higher modulus, but the two polymers have



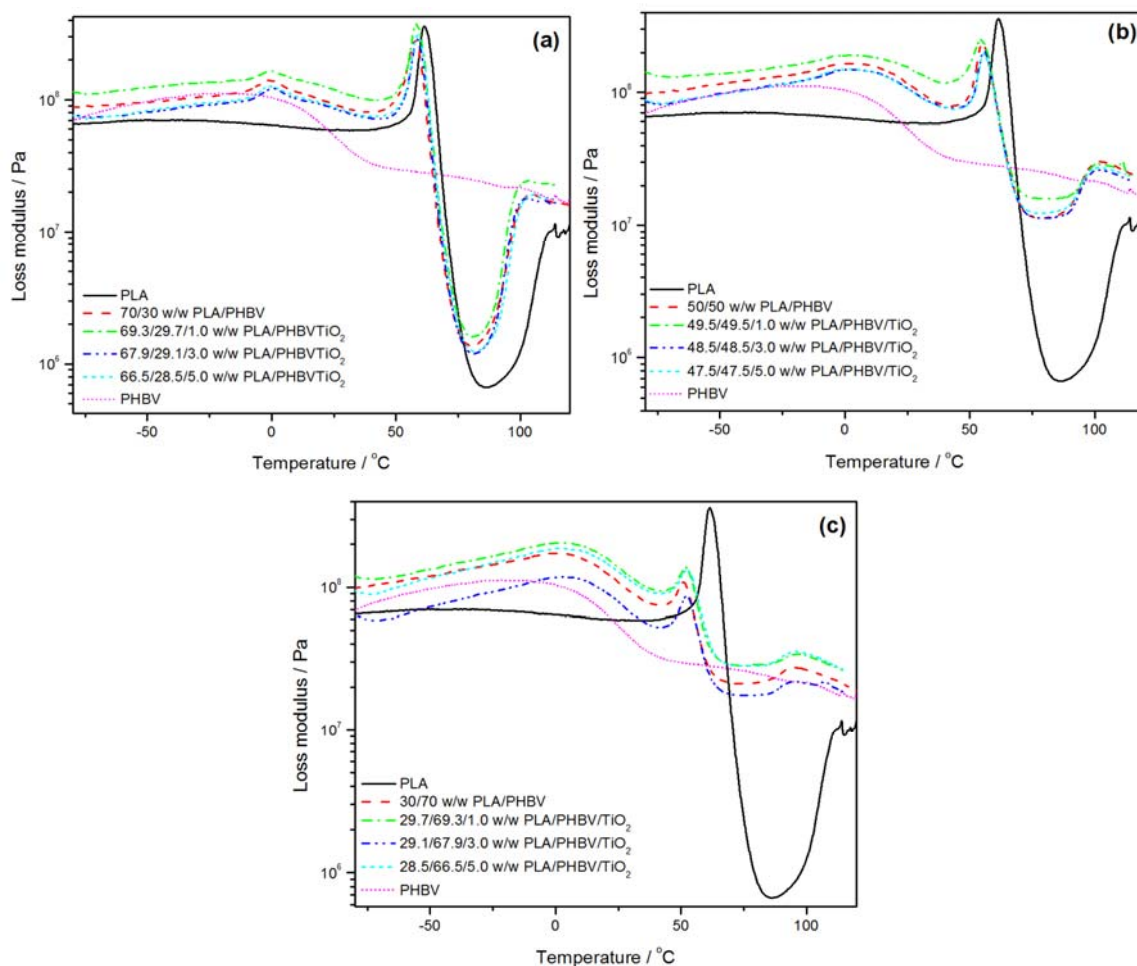
about the same modulus after PLA's cold crystallization, independent of the composition of the blends. The cold crystallization is the result of the recrystallization of the metastable chains formed during cooling from the melt. These chains become mobile after PLA's glass transition and re-crystallize to form more perfect crystals. The cold crystallization started at lower temperatures for the blends, that further decreased with increasing PHBV content in the blends. This was probably caused by the creation of more free volume in the presence of the more mobile PHBV chains, which made it easier for the PLA chains to come together and crystallize during the heating process.



**Figure 5.1 E' curves of PLA and PHBV, and the PLA/PHBV blends and PLA/PHBV/TiO<sub>2</sub> nanocomposites: (a) 70/30, (b) 50/50, and (c) 30/70 PLA/PHBV with 1, 3, and 5 wt% TiO<sub>2</sub>**



**Figure 5.2** SEM pictures of PLA/PHBV blends at (a) 70/30, (b) 50/50, and 30/70 w/w ratios



**Figure 5.3**  $E''$  curves of PLA and PHBV, and the PLA/PHBV blends and PLA/PHBV/TiO<sub>2</sub> nanocomposites: (a) 70/30, (b) 50/50, and 30/70 PLA/PHBV with 1, 3, and 5 wt% TiO<sub>2</sub>

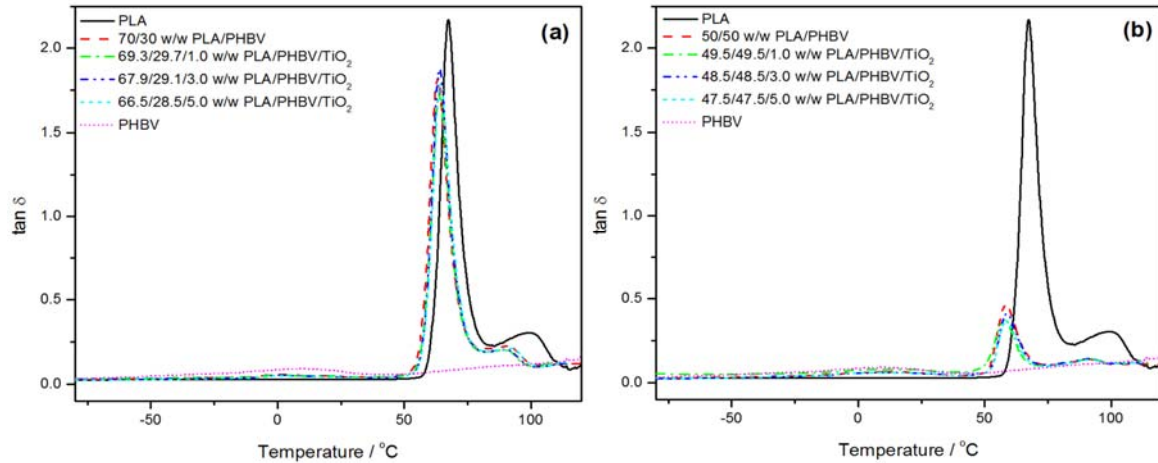
The glass transition temperatures of both polymers changed when blended with each other. The  $T_g$  of PHBV increased from about -18 to about 1 °C, while that of PLA decreased from about 62 to about 55 °C for 50/50 w/w PLA/PHBV (Figure 5.3). The values in Table 5.1 show that the extent of these changes depended on the PLA:PHBV ratios in the blends. This is an indication of partial miscibility of the two polymers, at least in the interfacial areas. This partial miscibility at the interface is clear from the SEM photos in Figure 5.2, because no obvious separation between the two polymer phases can be observed from these photos. The presence of nanoparticles had very little influence on the extent of these changes, indicating that the nanoparticles did not influence the extent of miscibility at the interfaces, despite the fact that a large number of the nanoparticles were actually concentrated in the interface between the two polymers [42]. The glass transition peaks for PHBV in Figure 5.3 is very broad, indicating that the polymer is very crystalline which restricts the mobility of the chains or chain segments in the amorphous phase [12].

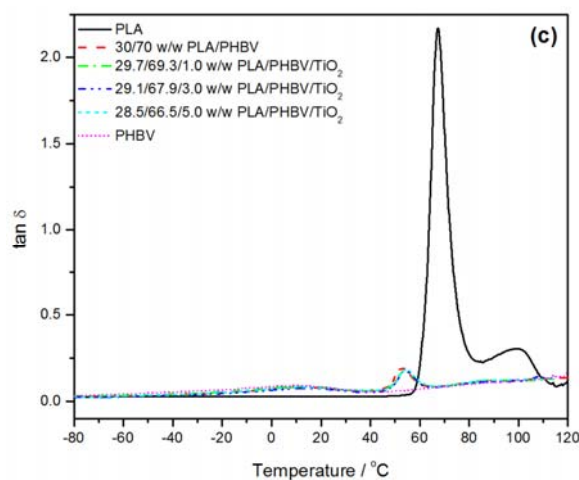
The glass transition peak in the  $\tan \delta$  curve of neat PLA is very intense, with an obvious cold crystallization peak around 100 °C, which clearly indicates that in the unblended and unfilled PLA there is a large extent of molecular motion on going from the glassy to the rubbery phase. The intensity did not reduce much for the 70/30 w/w blend and its nanocomposites, probably because PLA still formed the continuous phase and the dispersed PHBV only slightly influenced the molecular motion in the glass transition region. However, there was a significant reduction in the intensity of this peak for the 50/50 w/w and 30/70 w/w PLA/PHBV samples. The reason for this is probably the existence of a co-continuous morphology for the 50/50 w/w PLA/PHBV, and a sea-island morphology for the 30/70 w/w PLA/PHBV blend in which PLA spheres would have been dispersed in the PHBV continuous phase. This, as well as the miscibility of the two phases at the interface, could cause significant immobilization of the PLA chains in the glass transition region. The shifts in  $T_g$  values (Table 5.2) are in line with the already discussed loss modulus results.

**Table 5.1** Glass transition temperatures, obtained from the DMA loss modulus and  $\tan \delta$  curves, of all investigated samples in the PLA/PHBV system

Sample	PLA $T_g$ / °C from $E''$ $\delta$ curve	PHBV $T_g$ / °C from $E''$ curve	PLA $T_g$ / °C from $\tan \delta$ curve	PHBV $T_g$ / °C from $\tan \delta$ curve
Neat PLA	61.8	-	67.5	-
70/30 w/w PLA/PHBV	57.6	-1.0	63.2	0.1
50/50 w/w PLA/PHBV	55.2	3.1	58.3	13.0
30/70 w/w PLA/PHBV	50.8	1.2	52.9	12.8
Neat PHBV	-	-17.6	-	10.9
69.3/29.3/1.0 w/w PLA/PHBV/TiO <sub>2</sub>	58.0	-1.2	64.0	0.4
49.5/49.5/1.0 w/w PLA/PHBV/TiO <sub>2</sub>	54.6	3.2	57.8	13.8
29.3/69.7/1.0 w/w PLA/PHBV/TiO <sub>2</sub>	51.6	3.0	54.0	14.4
67.9/29.1/3.0 w/w PLA/PHBV/TiO <sub>2</sub>	58.6	0.9	64.0	1.5
48.5/48.5/3.0 w/w PLA/PHBV/TiO <sub>2</sub>	55.7	4.4	58.4	12.6
29.1/67.9/3.0 w/w PLA/PHBV/TiO <sub>2</sub>	52.4	3.7	54.3	13.6
66.5/28.5/5.0 w/w PLA/PHBV/TiO <sub>2</sub>	58.7	-0.4	64.3	0.7
47.5/47.5/5.0 w/w PLA/PHBV/TiO <sub>2</sub>	55.5	4.2	58.5	12.6
28.5/66.5/5.0 w/w PLA/PHBV/TiO <sub>2</sub>	52.5	2.0	54.8	14.7

$T_g$  = glass transition,  $E''$  = loss modulus





**Figure 5.4 The  $\tan \delta$  curves of neat PLA, neat PHBV, PLA /PHBV blends and PLA/PHBV/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and 30/70 PLA/PHBV with 1,3, and 5 wt% TiO<sub>2</sub>**

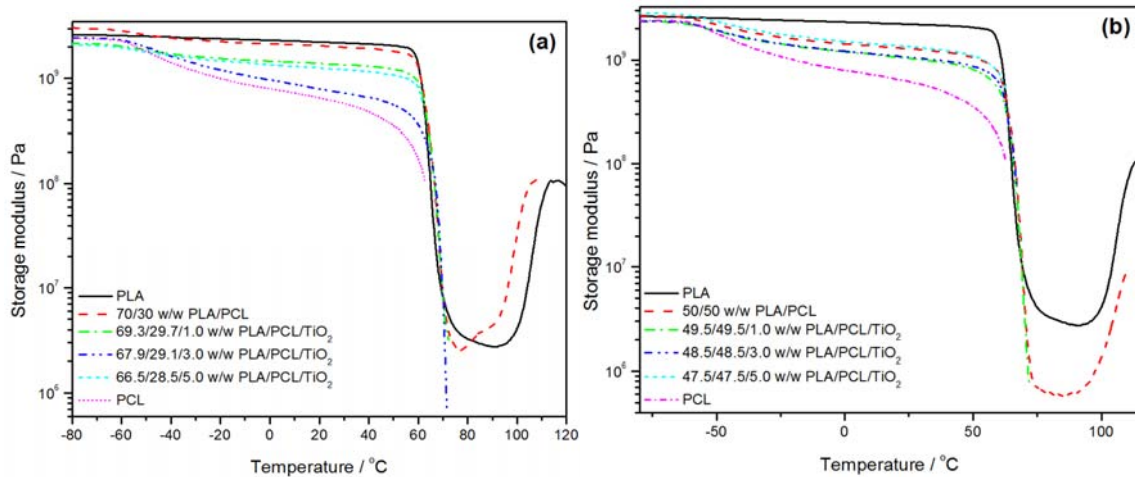
### 5.3.2 DMA analysis of PLA/PCL blends and their nanocomposites with 1, 3, and 5 wt% TiO<sub>2</sub> nanoparticles.

The storage moduli around -80 °C (which is below the  $T_g$ s of PLA and PCL) of all the PLA/PCL blends and nanocomposites are closely the same or lower than the storage moduli of the neat polymers (Figure 5.5). The storage modulus at 20 °C, which is between the glass transition temperatures of the two polymers in the blend, shows a decrease with an increase in the content of the lower modulus PCL in the blend (Table 5.2). This is normal for immiscible and incompatible polymer blends, which is clearly the case for the PLA/PCL blend (Figure 5.6), where certain properties of the blends are averaged over those of the individual polymers that make up the blend. The storage modulus values of the nanocomposites in Table 5.2 do not show any trend, and it is therefore not possible to describe or explain the influence of the nanoparticles on the viscoelastic properties of the blends at temperatures between the glass transitions of PCL and PLA. This is probably because the nanoparticles were dispersed in both polymer phases, but also formed agglomerates in an inconsistent way [43].

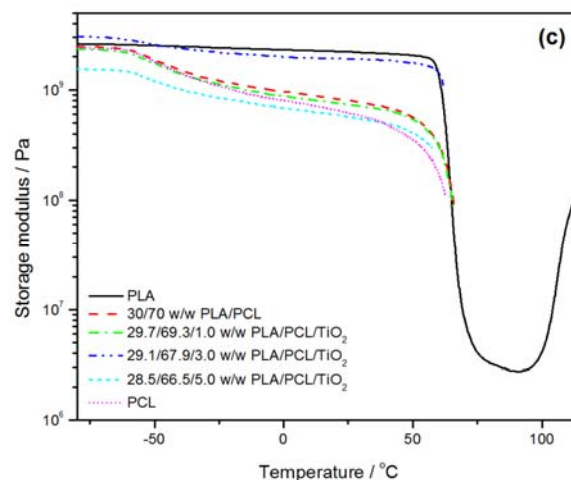
**Table 5.2 Data obtained from the DMA storage modulus, loss modulus and  $\tan \delta$  curves for the different PLA/PCL samples**

Sample	$E'$ at 20 °C / Pa	$\tan \delta$ at peak maximum for PLA	$\tan \delta$ at peak maximum for PCL
PLA	$2.2 \times 10^9$	2.2	-
70/30 w/w PLA/PCL	$2.0 \times 10^9$	2.4	0.05
50/50 w/w PLA/PCL	$1.3 \times 10^9$	2.7	0.06
30/70 w/w PLA/PCL	$8.2 \times 10^8$	-	0.08
PCL	$6.5 \times 10^8$	-	0.11
69.3/29.3/1.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.4 \times 10^9$	2.6	0.05
49.5/49.5/1.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.1 \times 10^9$	-	0.06
29.3/69.7/1.0 w/w PLA/PCL/TiO <sub>2</sub>	$7.6 \times 10^8$	-	0.08
67.9/29.1/3.0 w/w PLA/PCL/TiO <sub>2</sub>	$7.8 \times 10^8$	-	0.04
48.5/48.5/3.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.1 \times 10^9$	-	0.06
29.1/67.9/3.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.9 \times 10^9$	-	0.05
66.5/28.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.4 \times 10^9$	-	0.08
47.5/47.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	$1.4 \times 10^9$	-	0.06
28.5/66.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	$5.9 \times 10^8$	-	0.10

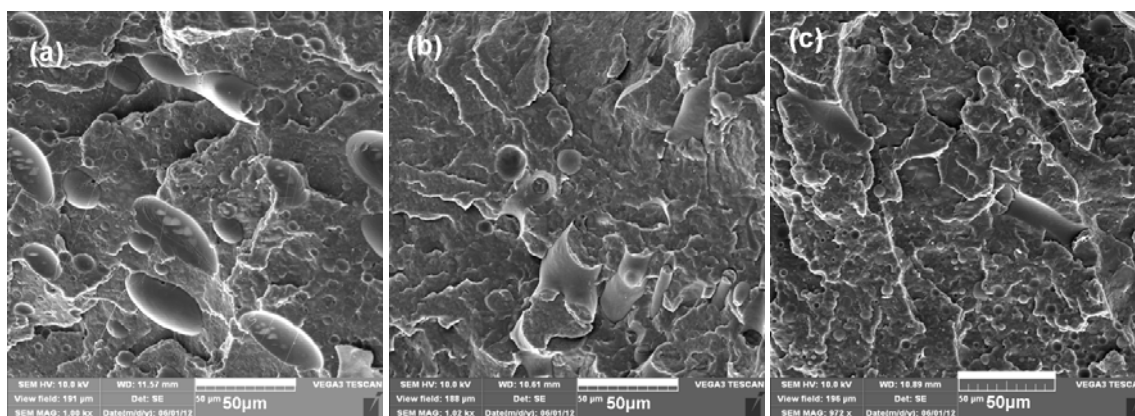
PW<sub>HH</sub> is peak width at half height of PCL glass transition peak in loss modulus curves





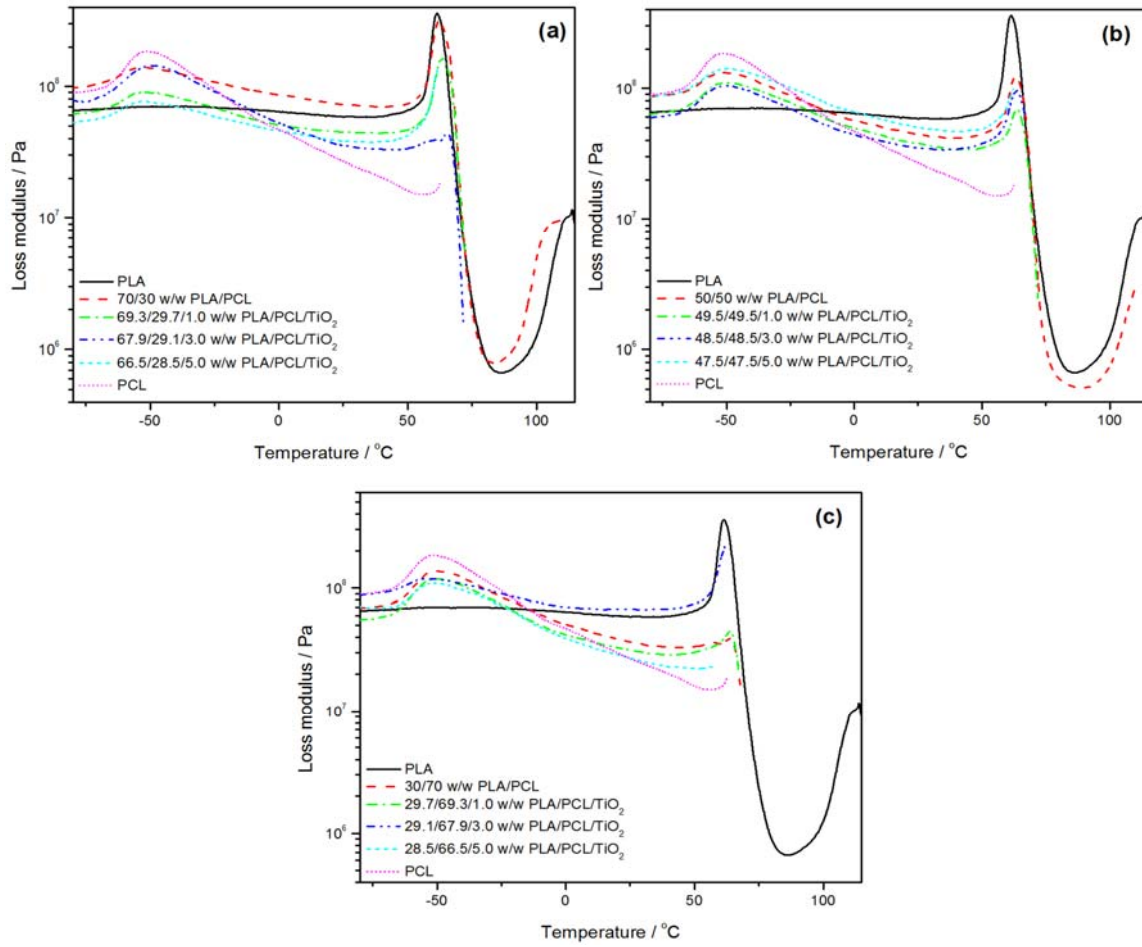


**Figure 5.5** The  $E'$  curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and 30/70 PLA/PCL with 1,3, and 5 wt% TiO<sub>2</sub>



**Figure 5.6** SEM pictures of PLA/PCL blends at (a) 70/30, (b) 50/50, and 30/70 w/w ratios

The loss modulus results (Figure 5.7 and Table 5.3) show that the glass transition temperatures of PLA and PCL changed very little as a result of blending. This confirms the complete immiscibility of the two polymers. The presence and content of TiO<sub>2</sub> nanoparticles in the blends also had little influence on the glass transition temperatures, despite the fact that they were well dispersed in both polymers [43]. This indicates that the interaction between the respective polymers and the nanoparticles were not strong enough for the nanoparticles to have any influence on the polymer chain mobility. The  $T_g$  of PLA in the 30/70 w/w PLA/PCL blend could not be determined because of PCL melting at about the same temperature.



**Figure 5.7 The  $E''$  curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and 30/70 PLA/PCL with 1,3, and 5 wt% TiO<sub>2</sub>**

The  $\tan \delta$  curves (Figure 5.8) show three transitions: the first is the glass transition of PCL, the second the glass transition of PLA, and the third the cold crystallization of PLA. The loss tangent represents the damping characteristics of the material and is characteristic of the amount of mechanical energy dissipated as heat. In a  $\tan \delta$  curve, a peak is observed when the loss modulus increases with respect to the storage modulus as the temperature is increased. The  $\tan \delta$  value at the peak maximum of polymers and their blends and nanocomposites is influenced by molecular weight, crosslink density, filler content, morphology, type and distribution of fillers, filler-matrix interaction and void content. Generally, larger peak areas or increased intensities of the damping peaks, or shifts to higher temperatures, indicate better damping ability and are related to changes in the polymer chain mobility [12,45]. The intensities of the  $\beta$ -relaxation peaks

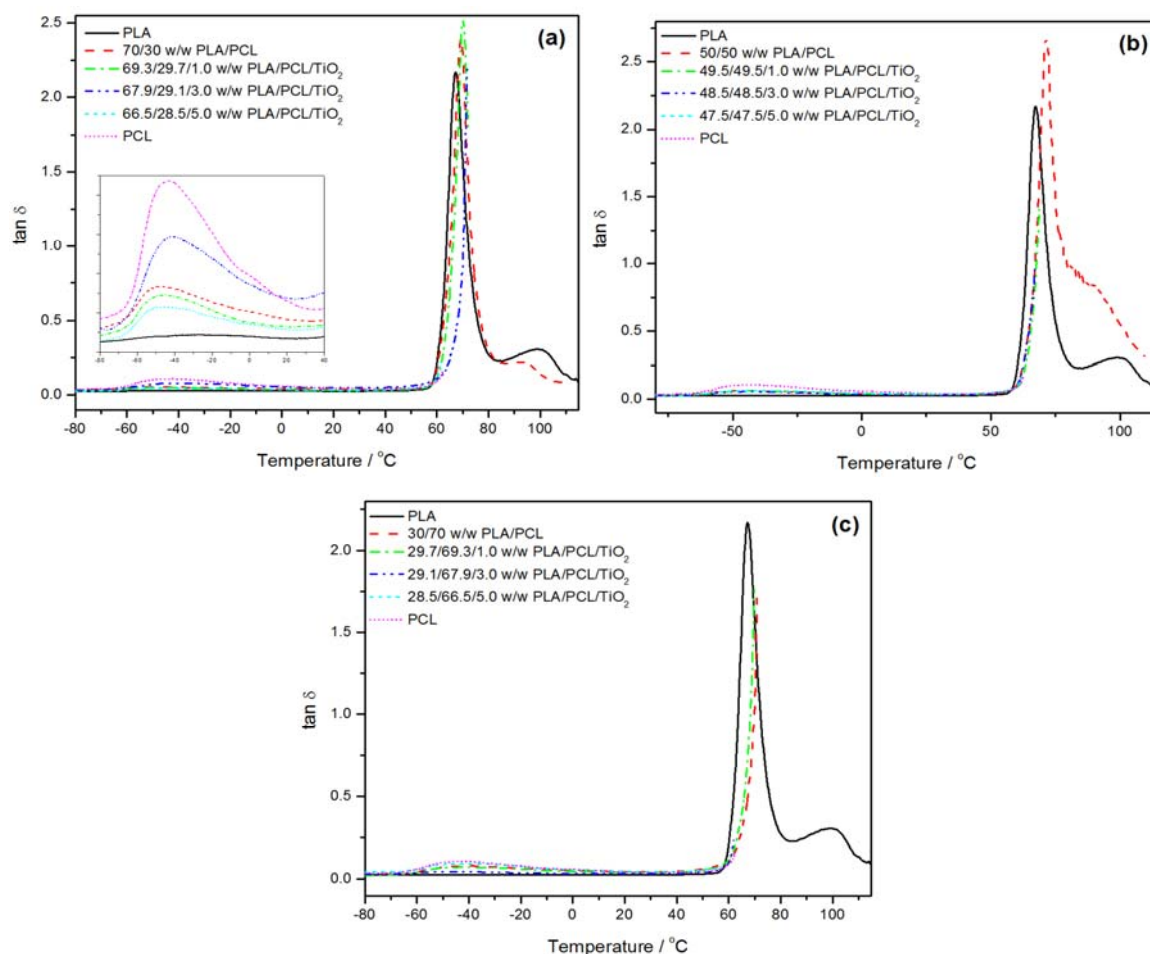


are lower for PCL in the blends (Figure 5.8 and Table 5.2), which was expected because of the decreasing amounts of PCL in the blends. The presence and amount of titania filler has little effect on the maximum  $\tan \delta$  values for PCL, and no trend is observed. This is probably because PCL anyway shows extremely low damping as a result of its fairly high chain mobility, and the interaction between the nanoparticles and the PCL chains is not strong enough to cause a significant change in the loss tangent of this polymer. The intensity of the  $\beta$ -relaxation peaks for PLA increases with increasing PCL content, although this peak was not completely formed for 30/70 w/w PLA/PCL because of PCL melting which interrupted the DMA analysis. This increase is the result of larger PLA chain mobility in the presence of the softer PCL. Unfortunately the effect of the nanoparticles on the PLA chain mobility could not be determined from these results because of the interruption of the DMA analyses in this temperature region.

**Table 5.3 Glass transition temperatures, obtained from the DMA loss modulus and  $\tan \delta$  curves, of all investigated samples in the PLA/PHBV system**

Sample	PLA $T_g$ / °C from $E''$ curve	PCL $T_g$ / °C from $E''$ curve	PLA $T_g$ / °C from $\tan \delta$ curve	PCL $T_g$ / °C from $\tan \delta$ curve
PLA	61.8	-	67.5	-
70/30 w/w PLA/PCL	62.0	-54.5	69.5	-47.6
50/50 w/w PLA/PCL	63.4	-51.0	71.3	-45.1
30/70 w/w PLA/PCL	-	-49.2	-	-40.7
PCL	-	-51.7	-	-43.2
69.3/29.3/1.0 w/w PLA/PCL/TiO <sub>2</sub>	63.1	-51.8	70.1	-46.8
49.5/49.5/1.0 w/w PLA/PCL/TiO <sub>2</sub>	64.0	-49.8	-	-42.0
29.3/69.7/1.0 w/w PLA/PCL/TiO <sub>2</sub>	-	-50.2	-	-40.7
67.9/29.1/3.0 w/w PLA/PCL/TiO <sub>2</sub>	63.1	-49.7	-	-41.0
48.5/48.5/3.0 w/w PLA/PCL/TiO <sub>2</sub>	63.7	-50.0	-	-43.0
29.1/67.9/3.0 w/w PLA/PCL/TiO <sub>2</sub>	-	-53.0	-	-47.1
66.5/28.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	65.5	-51.5	-	-46.9
47.5/47.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	62.7	-49.5	-	-42.7
28.5/66.5/5.0 w/w PLA/PCL/TiO <sub>2</sub>	-	-51.6	-	-41.5

$T_g$  = glass transition temperature,  $E''$  = loss modulus

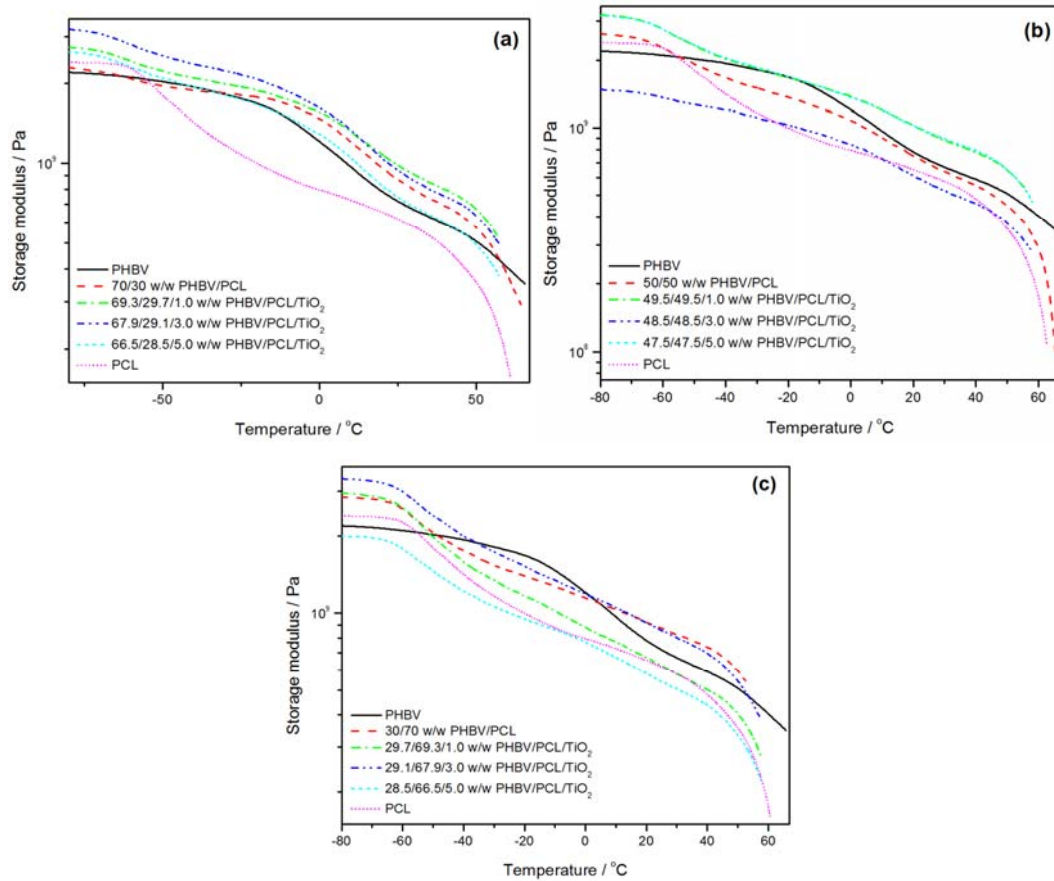


**Figure 5.8** The  $\tan \delta$  curves of neat PLA, neat PCL, PLA/PCL blends and PLA/PCL/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and 30/70 PLA/PCL with 1, 3, and 5 wt% TiO<sub>2</sub>

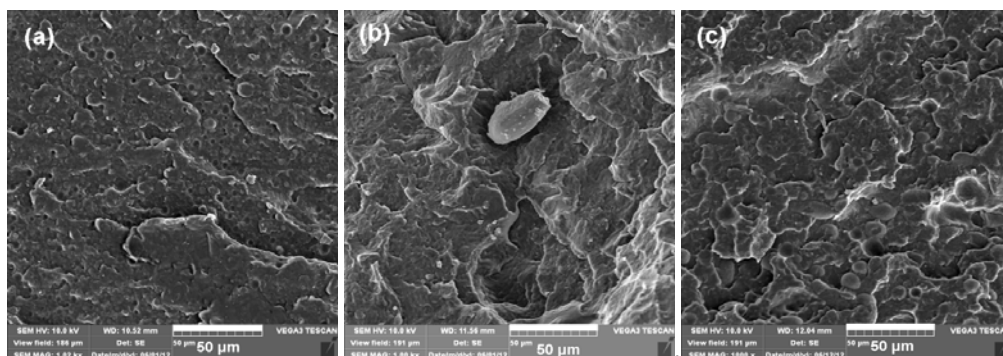
### 5.3.3 DMA analysis of PHBV/PCL blends and their nanocomposites with 1, 3, and 5 wt% TiO<sub>2</sub> nanoparticles

The  $E'$  values for the investigated blends and nanocomposites do not show any trends over the whole temperature range of the analysis (Figure 5.7), and therefore no conclusion can be drawn about the influence of blending and the addition of nanoparticles on this property. The SEM pictures in Figure 5.10 clearly show a change from a sea-island morphology for the 70/30 w/w PHBV/PCL blend (in which PCL should be the dispersed phase), through a co-continuous morphology for the 50/50 w/w blend, to a sea-island morphology for the 30/70 w/w blend in which PHBV should be the dispersed phase. The lack of interaction between the two polymers,

and the absence of strong and well-defined glass transition steps, may be amongst the reasons for not observing clear trends in this case.



**Figure 5.9** The  $E'$  curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1,3, and 5 wt% TiO<sub>2</sub>



**Figure 5.10** SEM pictures of PHBV/PCL blends at (a) 70/30, (b) 50/50, and 30/70 w/w ratios

The loss modulus curves of PCL and PHBV (Figure 5.11) show broad glass transitions at respectively -52 °C and -17 °C. This is in line with the fairly high degrees of crystallinity of these two polymers; PHBV, which has a very high degree of crystallinity shows a very broad, ill-defined glass transition peak. Loss modulus is the viscous response of the material, and it is associated with unrecoverable energy dissipation by the material. In semicrystalline polymers there is a mixture of amorphous and crystalline phases, intimately interconnected. This has an influence on the relaxation at the  $T_g$ , resulting in a broadening of the glass transition peak in the loss modulus curve. The broadness of the glass transition peak is influenced by a number of factors such as extent of crystallinity, crosslinking, or incompatibility/immiscibility/microphase separation in polymer blends, or microphase separation/immiscibility in polymers due to their different structures [12]. The data in Table 4 show a very clear increase in the broadness of the PCL glass transition peak after blended with PHBV, which could have been the result of an increase in the PCL crystallinity after being blended with PHBV or the incompatibility/immiscibility/microphase separation in these blends, or both. DSC analyses of the blends were performed to establish whether blending with PHBV changed the degree of crystallinity of PCL. The crystallinity values of neat PCL and PCL in the blends were found to be very similar within experimental error, which means that the strong incompatibility between PCL and PHBV is the most probable reason for the increase in the broadness of the  $\beta$ -relaxation of PCL.

In polymers that develop a high degree of crystallinity like PHBV, the  $T_g$  is suppressed, and the glass transition in the loss modulus curve appears as a relatively minor event, and in some cases it may even be difficult to detect [12], which is exactly what we observed in our loss modulus curves (Figure 5.11). It was therefore not possible to quantify any changes in the broadness of the PHBV glass transition peak in the loss modulus curves.

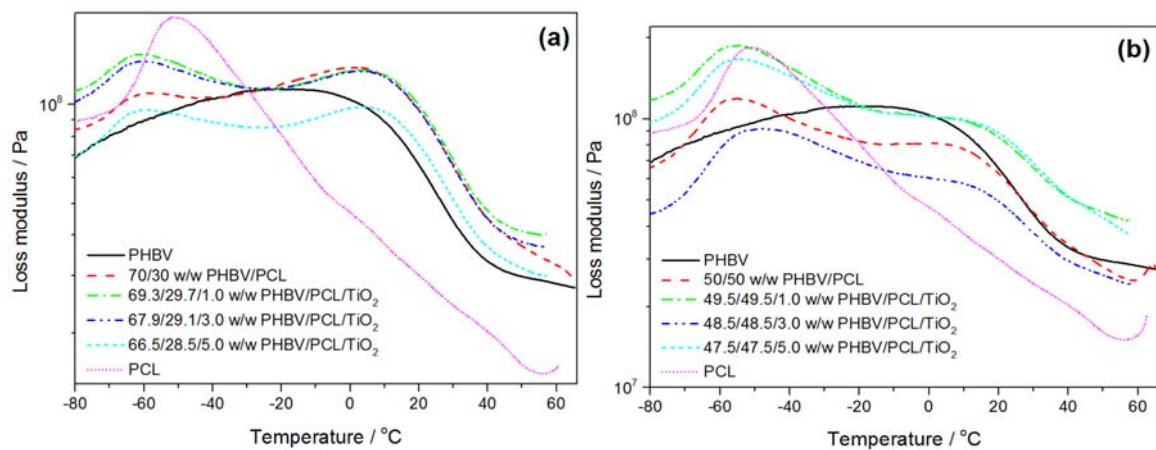
The  $T_g$ s of the polymers show adverse behaviour in the blends. Normally the  $T_g$ s should be the same as those of the neat polymers if there is complete immiscibility, or move closer to each other when there is partial miscibility (Table 5.5). In this case the  $T_g$ s moved further from each other, which means the presence of PCL in some way immobilized the PHBV chains, while the presence of PHBV created more free volume in which the PCL chains can move. The presence of

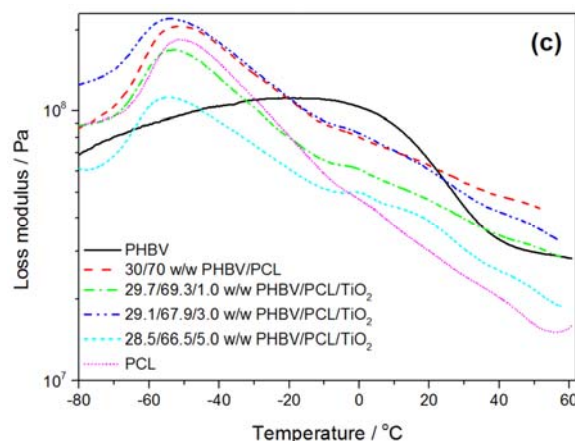
the TiO<sub>2</sub> nanoparticles in the blends did not have a significant effect on the T<sub>gs</sub> of the respective polymers in the blend, which is more clear from the tan  $\delta$  results (Figure 5.12 and Table 5.5).

**Table 5.4 Data from the DMA loss modulus and loss tangent curves of all the investigated PHBV/PCL samples**

Sample	PW <sub>HH</sub> / °C	tan $\delta$ at peak maximum for PHBV	tan $\delta$ at peak maximum for PCL
PHBV	-	0.09	-
70/30 w/w PHBV/PCL	21.4	0.10	0.05
50/50 w/w PHBV/PCL	43.6	0.09	0.06
30/70 w/w PHBV/PCL	37.1	-	0.10
PCL	27.3	-	0.11
69.3/29.3/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	33.1	0.10	0.06
49.5/49.5/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	35.4	0.08	0.08
29.3/69.7/1.0 w/w PHBV/PCL/TiO <sub>2</sub>	31.9	-	0.09
67.9/29.1/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	35.4	0.09	0.05
48.5/48.5/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	54.6	0.08	0.07
29.1/67.9/3.0 w/w PHBV/PCL/TiO <sub>2</sub>	34.1	-	0.09
66.5/28.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	33.5	0.09	0.04
47.5/47.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	42.6	0.09	0.07
28.5/66.5/5.0 w/w PHBV/PCL/TiO <sub>2</sub>	34.5	-	0.08

PW<sub>HH</sub> is the peak width at half height of the PCL glass transition peak in the loss modulus curves





**Figure 5.11** The  $E''$  curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/ $\text{TiO}_2$  nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1,3, and 5 wt%  $\text{TiO}_2$

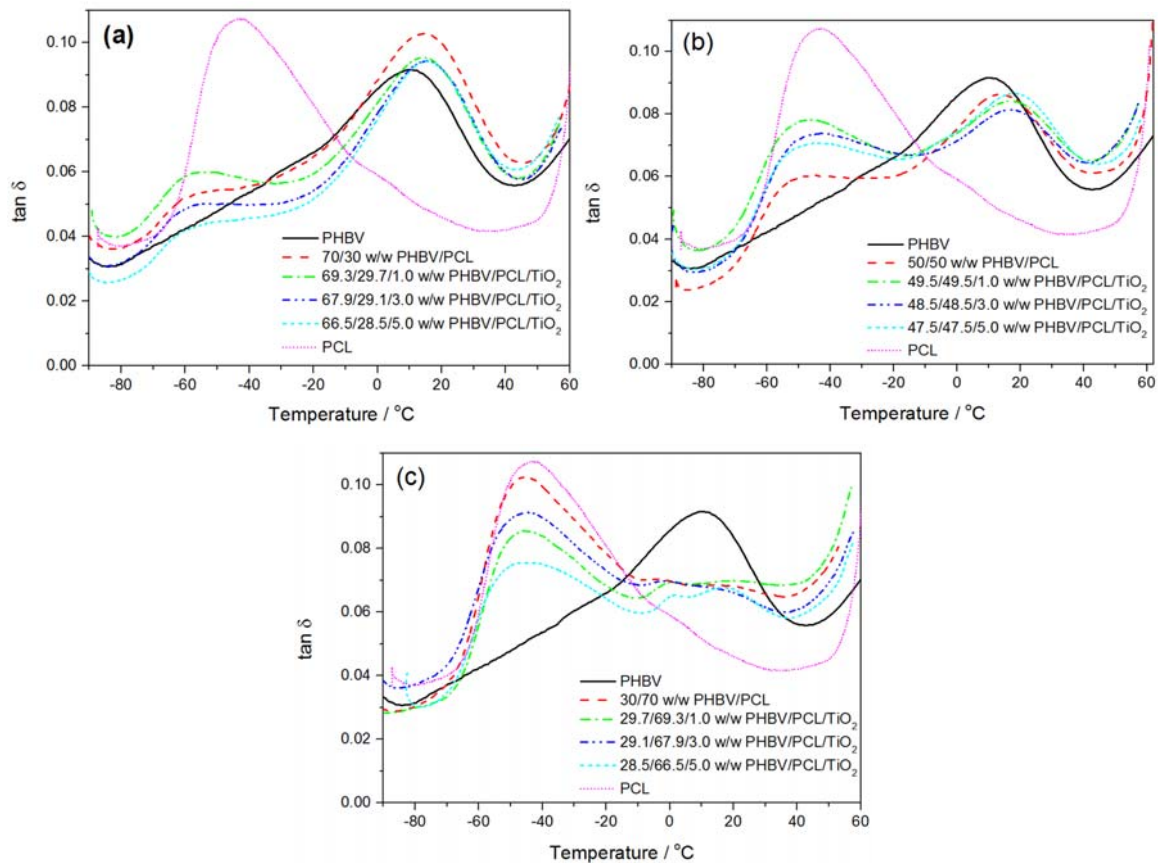
**Table 5.5** DMA storage modulus and glass transition temperatures of all investigated samples in PHBV/PCL system

Sample	PHBV $T_g$ / °C from $E''$ curve	PCL $T_g$ / °C from $E''$ curve	PHBV $T_g$ / °C from $\tan \delta$ curve	PCL $T_g$ / °C from $\tan \delta$ curve
Neat PHBV	-17.6	-	10.9	-
70/30 w/w PHBV/PCL	1.2	-59.2	14.8	-56.0
50/50 w/w PHBV/PCL	2.2	-57.1	13.1	-49.3
30/70 w/w PHBV/PCL	-3.4	-52.0	-2.9 / 21.1	-45.6
Neat PCL	-	-51.7	-	-43.2
69.3/29.3/1.0 w/w PHBV/PCL/ $\text{TiO}_2$	3.0	-60.7	14.6	-56.2
49.5/49.5/1.0 w/w PHBV/PCL/ $\text{TiO}_2$	10.0	-55.4	17.1	-45.7
29.3/69.7/1.0 w/w PHBV/PCL/ $\text{TiO}_2$	-2.0	-53.1	1.2 / 18.9	-45.6
67.9/29.1/3.0 w/w PHBV/PCL/ $\text{TiO}_2$	2.8	-60.8	15.2	-56.3
48.5/48.5/3.0 w/w PHBV/PCL/ $\text{TiO}_2$	11.2	-47.8	16.5	-41.8
29.1/67.9/3.0 w/w PHBV/PCL/ $\text{TiO}_2$	-2.2	-53.5	-1.6 / 18.5	-45.0
66.5/28.5/5.0 w/w PHBV/PCL/ $\text{TiO}_2$	3.8	-59.7	15.2	-56.6
47.5/47.5/5.0 w/w PHBV/PCL/ $\text{TiO}_2$	10.8	-54.1	17.9	-44.5
28.5/66.5/5.0 w/w PHBV/PCL/ $\text{TiO}_2$	-1.1	-54.6	1.7 / 17.0	-46.7

$T_g$  = glass transition temperature,  $E''$  = loss modulus

The  $\tan \delta$  curves (Figure 5.12) and the results in Tables 5.4 and 5.5 show that there is a reduction in the intensity of the  $\beta$ -relaxation of PCL in the presence of and with an increase in  $\text{TiO}_2$  nanoparticle content in the blends, but very little change in that of the PHBV relaxation. It

seems as if the nanoparticles have a much stronger influence on the mobility of the amorphous chains in PCL than on that of PHBV, although according to the interfacial interaction data in our previous paper [44], TiO<sub>2</sub> should not interact more strongly with PCL than with PHBV.



**Figure 5.12** The  $\tan \delta$  curves of neat PHBV, neat PCL, PHBV/PCL blends and PHBV/PCL/TiO<sub>2</sub> nanocomposites (a) 70/30, (b) 50/50, and (c) 30/70 PHBV/PCL with 1, 3, and 5 wt% TiO<sub>2</sub>

#### 5.4. Conclusions

The purpose of this work was to investigate the dynamic mechanical properties of melt-blended PLA/PHBV, PLA/PCL and PHBV/PCL prepared in the absence and presence of small amounts of TiO<sub>2</sub> nanoparticles. Blending PHBV and PLA increased the glassy modulus to values above those of the individual neat polymers, while the presence of nanoparticles had little effect. Changes in the glass transition temperatures of these two polymers in the blends indicated partial



miscibility at their interfaces, which was also observed from the reduced maximum damping values. The cold crystallization transition of PLA shifted to lower temperatures after blending with PHBV, which was attributed to more free volume created by the presence of the more mobile PHBV chains. The dynamic mechanical properties of PLA/PCL and PHBV/PCL were little influenced by blending and the presence of TiO<sub>2</sub> nanoparticles. The glass transitions of PHBV and PCL in the blends were, however, seen to be more separated than those of the individual neat polymers, which we explained as the immobilization of PHBV chains through the presence of PCL, and the creation of more free volume for the movement of PCL chains through the presence of PHBV. The broadness of the PCL  $\beta$ -relaxation peaks increased when blended with PHBV, probably because of the incompatibility between PHBV and PCL in the blends.

## 5.5. References

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## Chapter 6

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### Conclusions

The aim of this project was to prepare completely biodegradable polymer blends and blend nanocomposites from PLA, PHBV, and PCL with small amounts of titania ( $\text{TiO}_2$ ) nanoparticles. This was done to contribute in combating the environmental issues associated with waste plastic management which results from short shelf life products and packaging material. PLA/PHBV, PLA/PCL and PHBV/PCL blends without and with  $\text{TiO}_2$  nanoparticles were prepared through melt-blending, and the morphologies, thermal stability, degradation kinetics and dynamic mechanical properties were investigated.

The main objective of this research was to investigate the use of  $\text{TiO}_2$  nanofiller in biodegradable polymers to reinforce the blends, to stabilize the interface, and to positively affect the thermal stability and degradation behaviour of the different polymers in the blends. To achieve this objective, it would have been necessary that (i) the nanoparticles disperse well with no agglomeration in one or more of the polymers or on the interface, and (ii) the nanoparticles interact well with the polymer(s) they are in contact with. The melt-mixing was successful as a preparation method in this research. We did find that, even when selectively localized, the nanoparticles were well dispersed in the phase of their choice, although some agglomeration was observed. The agglomeration, which has been caused by the nanoparticles still having stronger affinity for each other than for the polymer(s), is one observation that should be attended to in future research. It may be overcome by functionalizing the nanoparticles through different methods, amongst which is the treatment with alkane phosphonic acid that will attach alkane monolayers to the titanium dioxide surfaces. Phosphonic acids are known to form strong bonds with metal oxides, and the functionalized  $\text{TiO}_2$  nanoparticles will form chemical bonds with polymers in the blends, which should improve their dispersion in and interaction with the polymer(s). Another functionalization method that can be used is a mixture of maleic anhydride (MA) and dicarboxylic acid in the presence of toluene and ethanol. The dicarboxylic acid will form grafting sides on the  $\text{TiO}_2$  nanoparticles, which should attach to the polymers in the blends. The advantage of the latter method is that dicarboxylic acids have biodegradable and biocompatible amino acids that make them an excellent choice as compatibilizing agents when

mixing titania into biodegradable polymers. The degree of functionalization can also be controlled by controlling the MA concentration.

To some extent we achieved our objective, although the property improvements were not always as significant as we would have liked it to be. Generally the thermal stabilities of both polymers in the blends were improved in the presence of the TiO<sub>2</sub> nanoparticles, although they had little effect on the viscoelastic properties and glass transition temperatures. It is also possible that the nano-filler did not really improve the thermal stabilities, but merely retarded the evolution of the volatile degradation products. Since the thermal stability and degradation kinetics were monitored through TGA analysis in which weight loss is measured as function of temperature, this would have caused weight losses to occur at higher temperatures, giving the impression of higher thermal stability. The small changes in viscoelastic properties may have been the result of low filler contents or weak interactions between the filler particles and the polymer(s). This should be further investigated through increasing the filler content and/or functionalizing the filler particles, as discussed above.

This research can also be extended to include kinetic investigations on the crystallization of the respective polymers in the blends and nanocomposites, and determination of the influence of blending and nanoparticle addition on the tensile, flexural and impact properties of these materials. There are also a number of other suitable nanoparticles, e.g. zirconia and conductive particles like nano-copper and nano-silver, that may be used in similar investigations. It will further be interesting to see if the crystal structure (anatase or rutile) of, for example, titania will have a different influence on the properties of the blends.

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---

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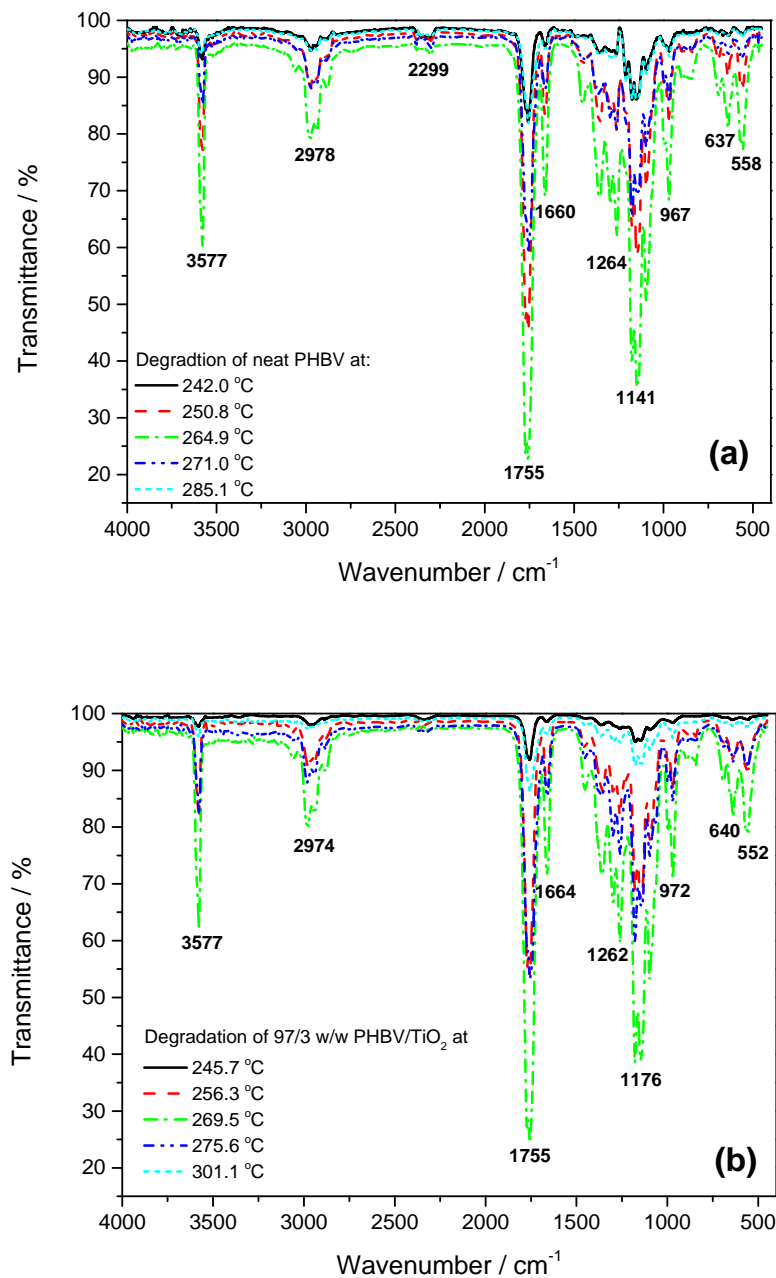
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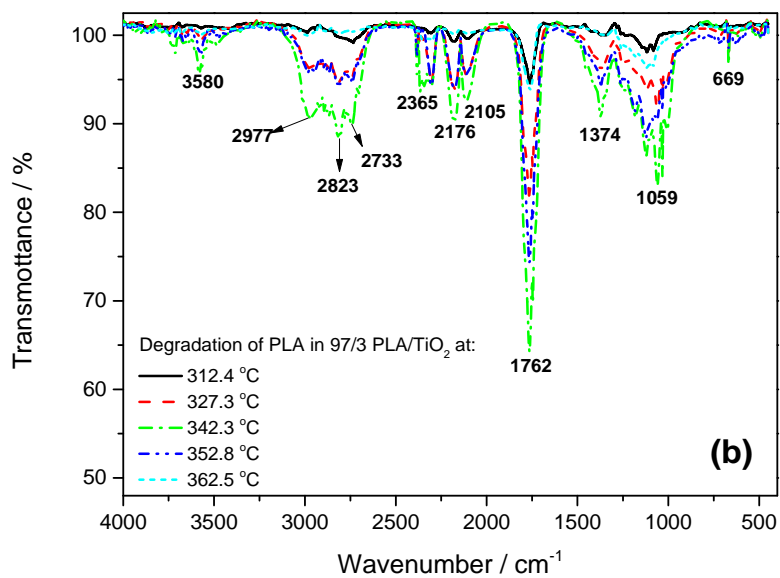
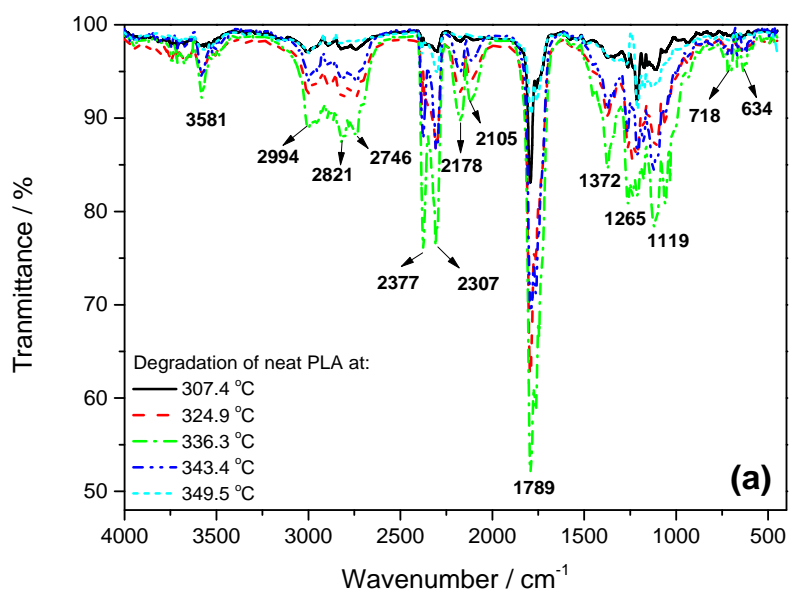
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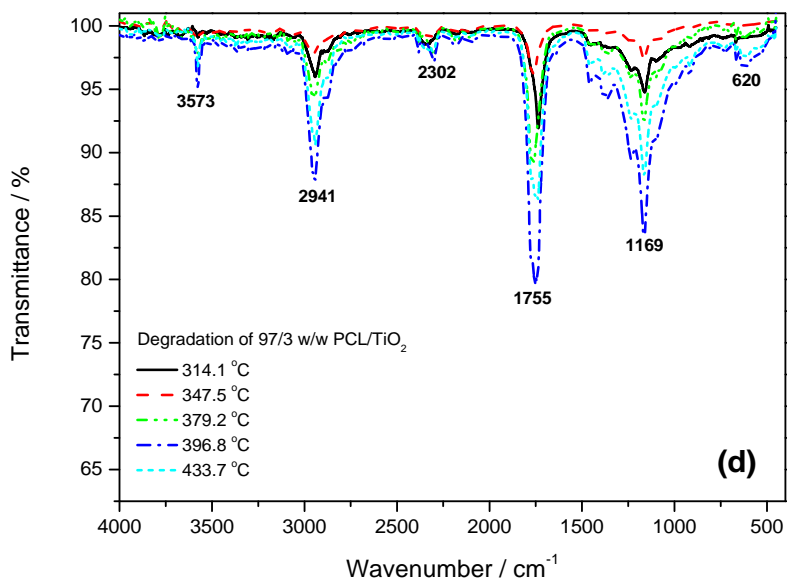
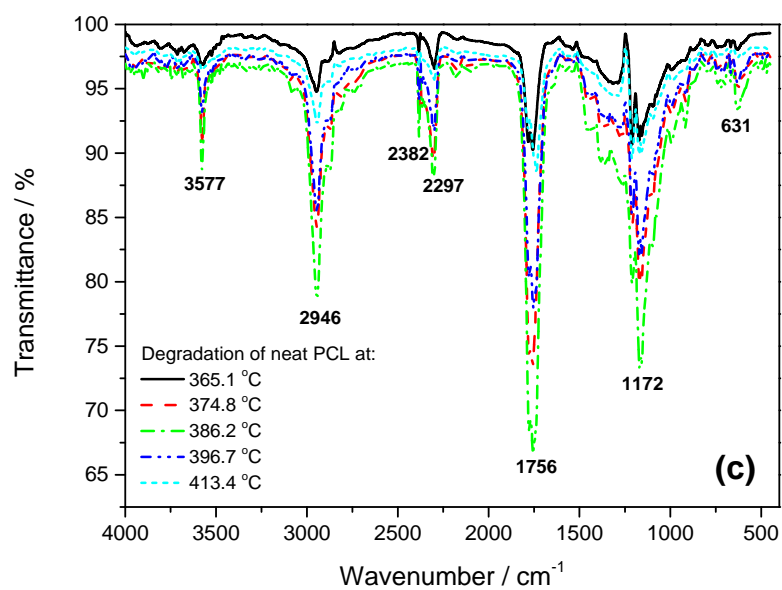
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## Appendix

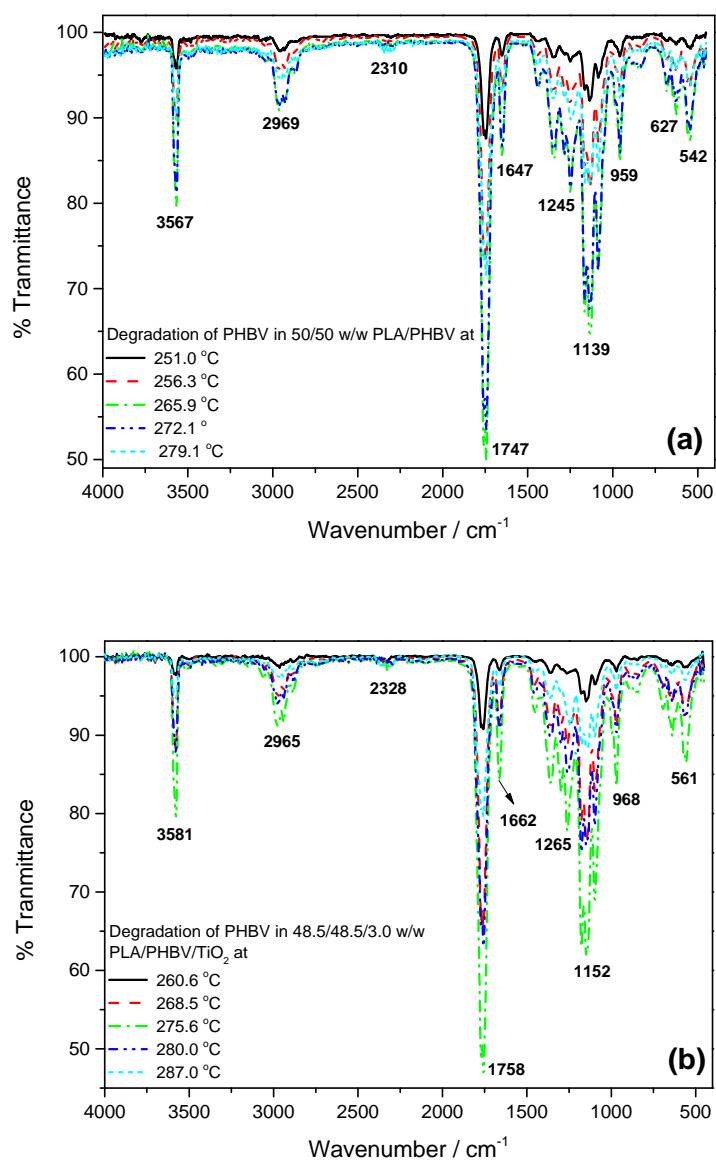


**Figure A.1** TGA-FTIR spectra at different temperatures of the degradation of (a) neat PHBV, and (b) 97/3 w/w PHBV/ $\text{TiO}_2$

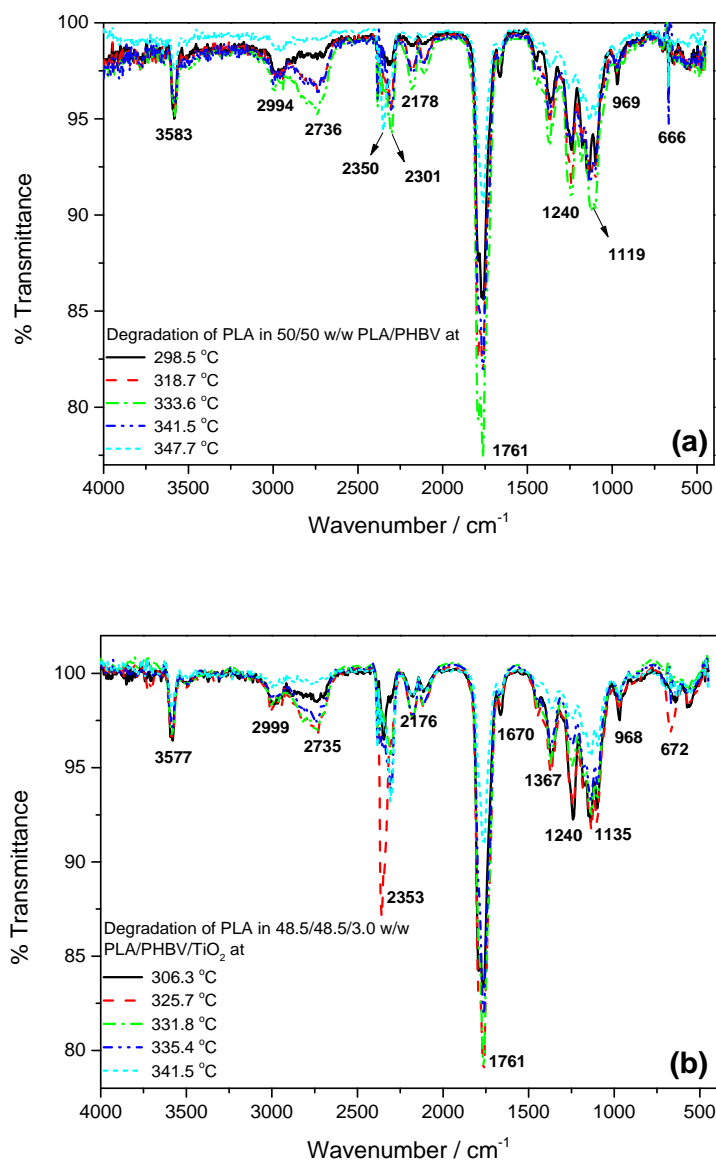




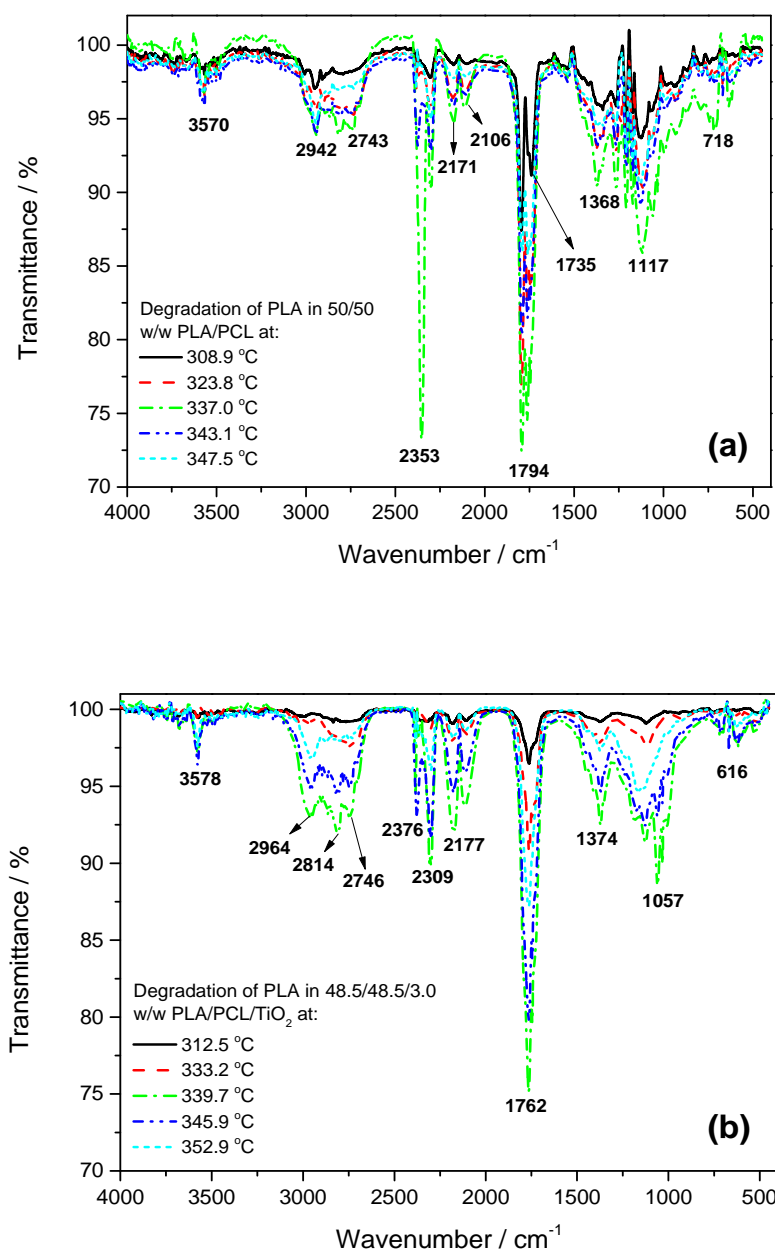
**Figure A.2** TGA-FTIR spectra at different temperatures of the degradation of (a) neat PLA, (b) 97/3 w/w PLA/ $\text{TiO}_2$ , (c) neat PCL, and (d) 97/3 w/w PCL/ $\text{TiO}_2$



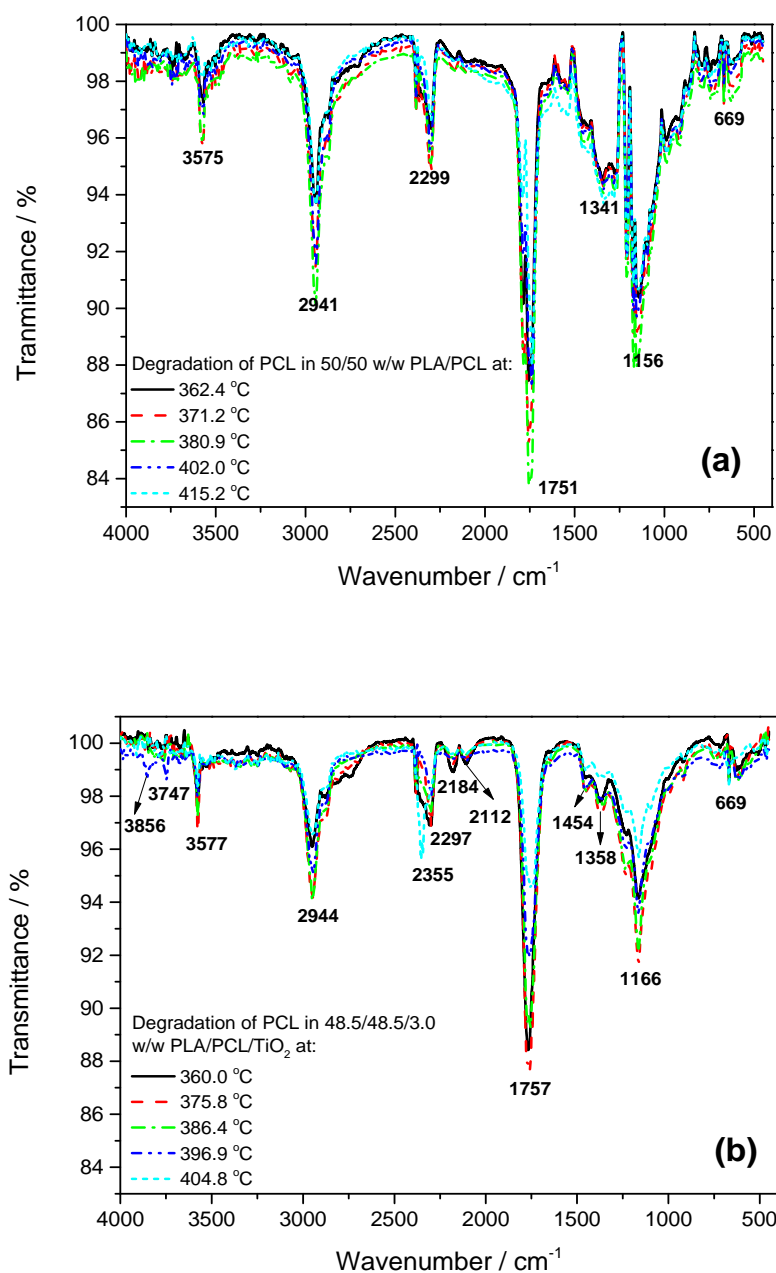
**Figure A.3 TGA-FTIR spectra at different temperatures of (a) PHBV degradation in a (a) 50/50 w/w PLA/PHBV blend and (b) 48.5/48.5/3.0 w/w PLA/PHBV/TiO<sub>2</sub> blend nanocomposite**



**Figure A.4 TGA-FTIR spectra at different temperatures of (a) PLA degradation in 50/50 w/w PLA/PHBV blend (b) PLA degradation in a 48.5/48.5/3.0 w/w PLA/PHBV/ $\text{TiO}_2$  blend nanocomposite**

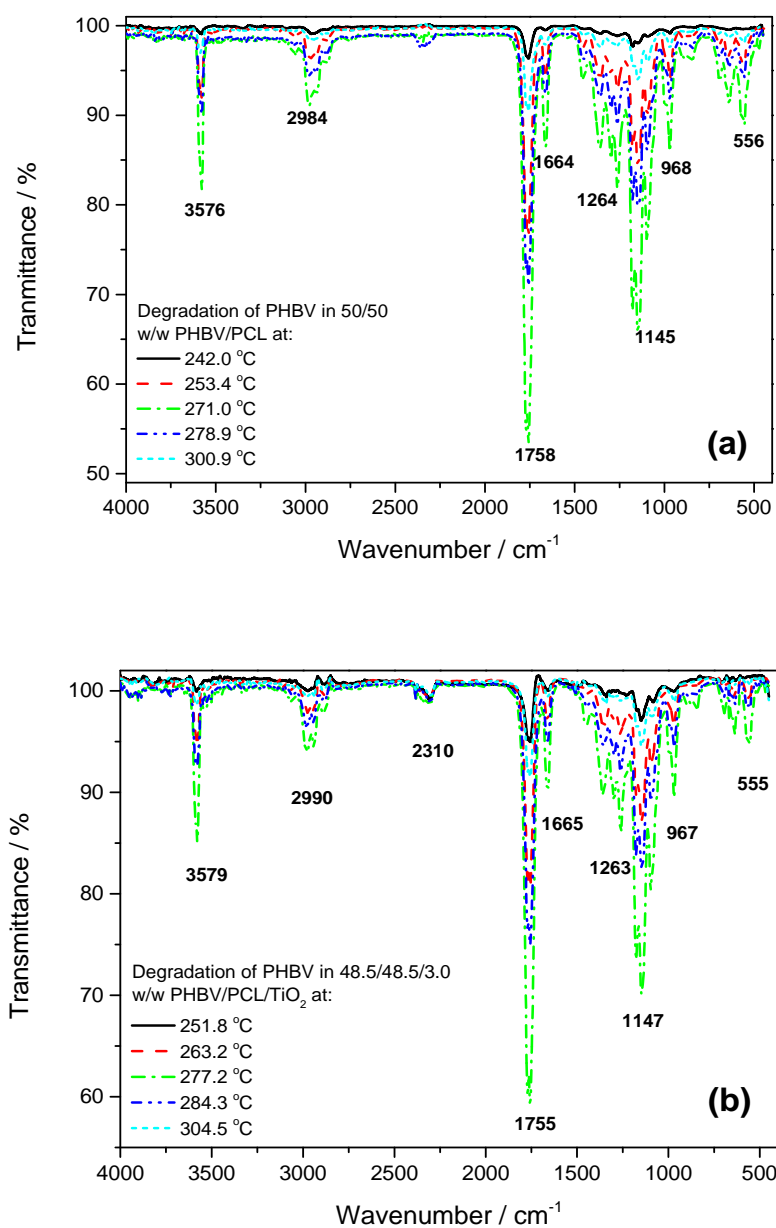


**Figure A.5** TGA-FTIR spectra at different temperatures of (a) PLA degradation in 50/50 w/w PLA/PCL blend and (b) PLA degradation in a 48.5/48.5/3.0 w/w PLA/PCL/TiO<sub>2</sub> blend nanocomposite

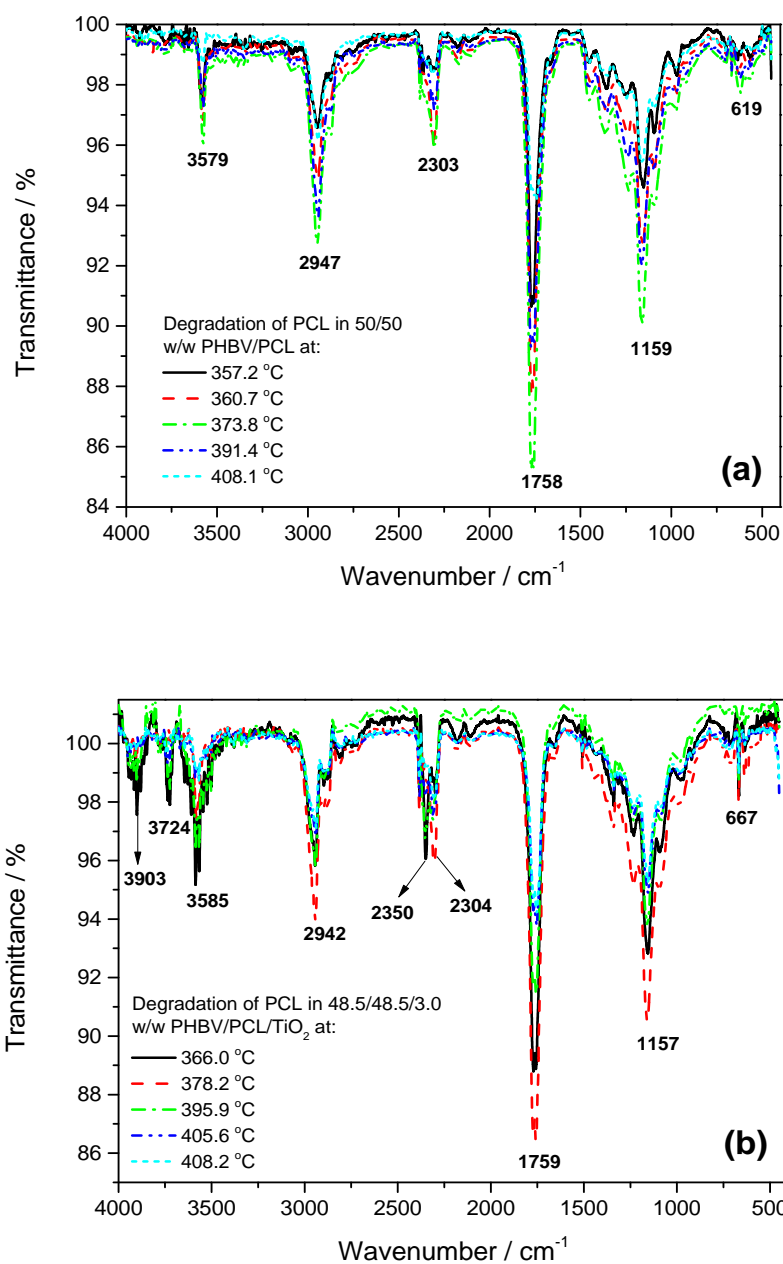


**Figure A.6** TGA-FTIR spectra at different temperatures of (a) PCL degradation in 50/50 w/w PLA/PCL blend and (b) PCL degradation in a 48.5/48.5/3.0 w/w PLA/PCL/TiO<sub>2</sub> blend nanocomposite





**Figure A.7** TGA-FTIR spectra at different temperatures of (a) PHBV degradation in 50/50 w/w PHBV/PCL blend, and (b) PHBV degradation in a 48.5/48.5/3.0 w/w PHBV/PCL/TiO<sub>2</sub> blend nanocomposite



**Figure A.8** TGA-FTIR spectra at different temperatures of (a) PCL degradation in 50/50 w/w PHBV/PCL blend, and (b) PCL degradation in a 48.5/48.5/3.0 w/w PHBV/PCL/TiO<sub>2</sub> blend nanocomposite